

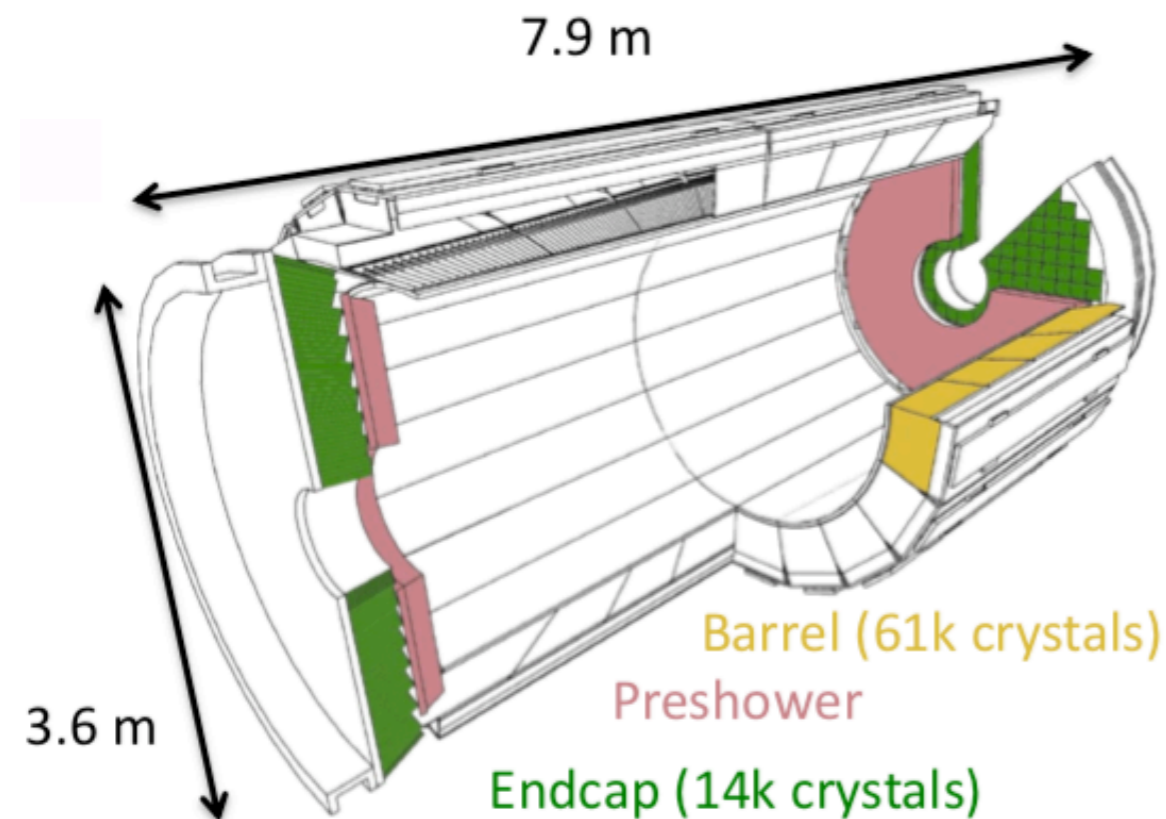
# Status of the CMS ECAL phase 2 upgrade for high precision timing and energy measurements

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(INFN Roma)  
for CMS ECAL Collaboration

IEEE 2019 - 30/10/2019

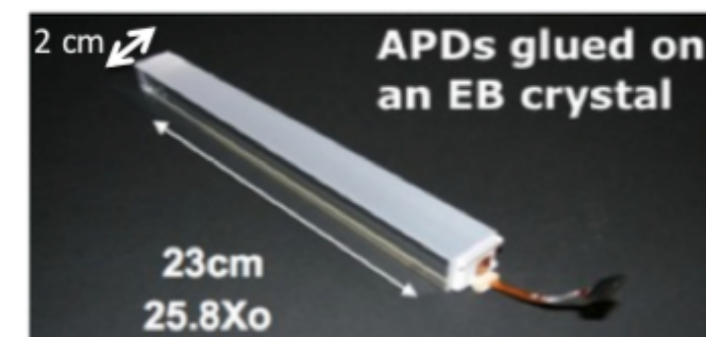
# CMS ECAL TODAY



Total  $\sim 75k$   $\text{PbWO}_4$  crystals  
( $r_M = 2.19$  cm,  $X_0 = 0.89$  cm)

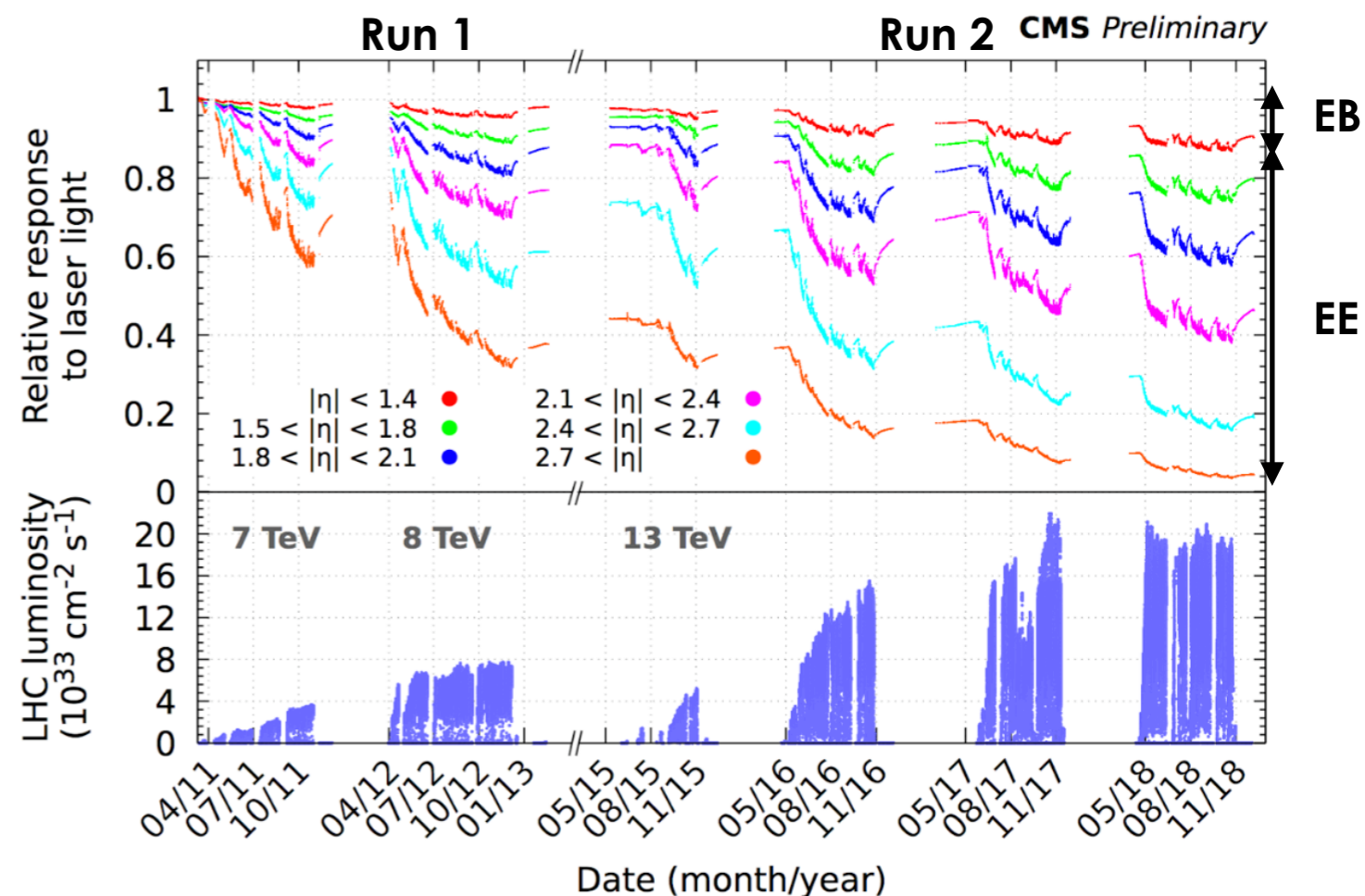
Photosensors:

- Barrel (EB): Avalanche Photo Diode (APD)
- Endcap (EE): Vacuum Photo Triode (VPT)



**Excellent energy resolution maintained throughout entire LHC Run1 & Run2**

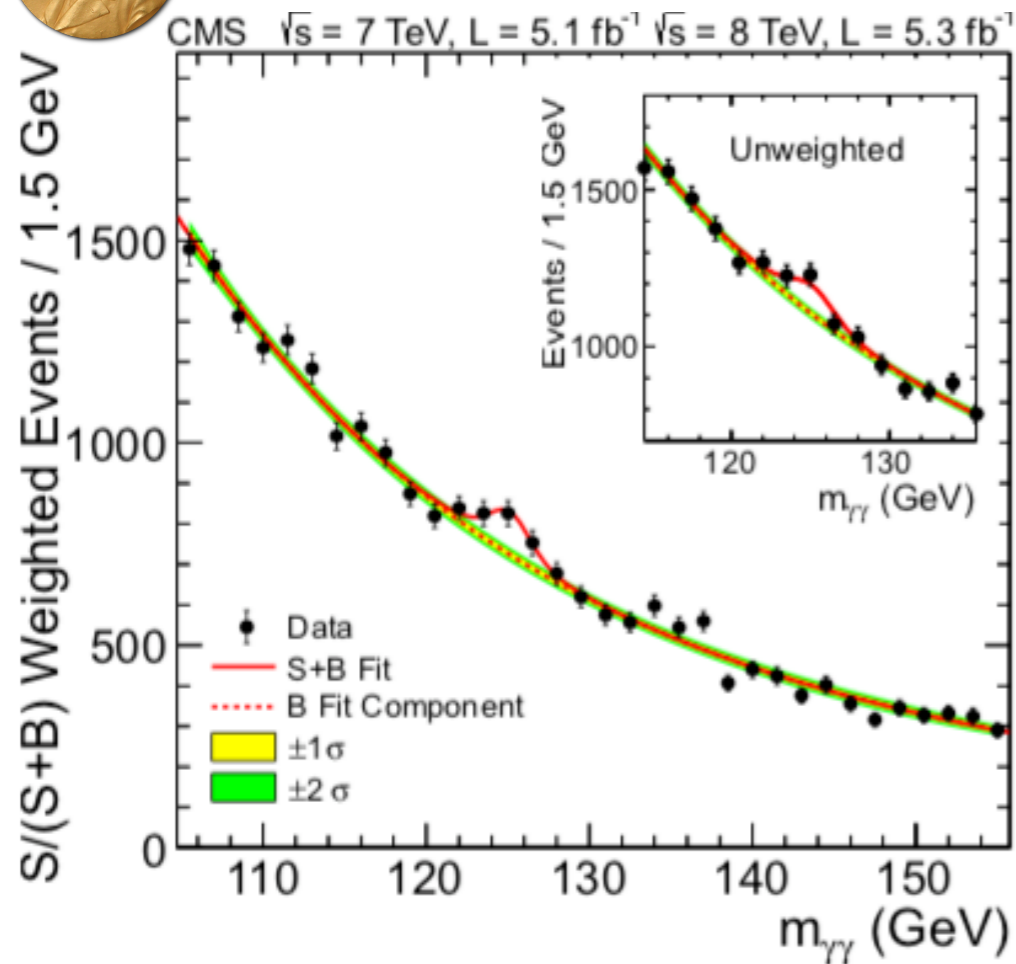
- laser monitoring system allowing to track crystal transparency variation at 0.1%
- in-situ calibration at crystal level  $< 1\%$  ( $\pi^0/\eta$ ,  $Z \rightarrow ee$ ,  $W \rightarrow ev$ )



# PHYSICS WITH CMS ECAL

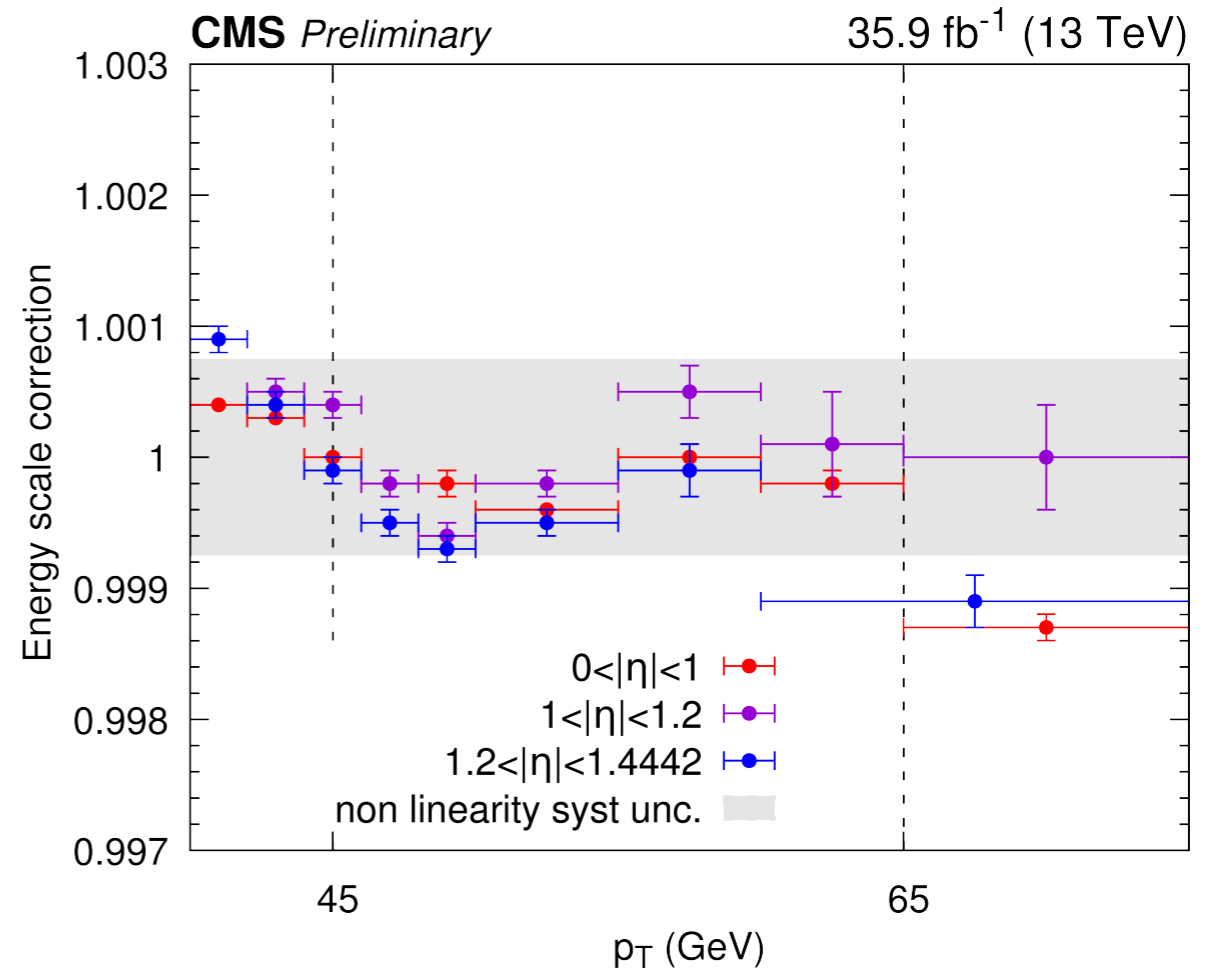


Physics Letters B 716 (2012) 30–61



**ECAL performing as expected:**  
Excellent  $\gamma\gamma$  mass resolution (<1%)

**Higgs discovery with  $H \rightarrow \gamma\gamma$  in 2012**



**Prominent ECAL role in all CMS physics program.** E.g. Higgs mass:

- $\gamma$  energy scale well known (<0.1% level).
- Enables Higgs mass to be measured with ~0.1% systematic error (CMS HIG-19-004)



# THE HL-LHC CHALLENGE (>2026)

## HL-LHC (>2026): exploit the LHC full potential!

- Higgs couplings (at % level), access Higgs self-coupling (HH)
- Precise SM measurements and search for new physics

## Challenging experimental conditions

	LHC	HL-LHC baseline	HL-LHC ultimate*
$\mathcal{L}_{inst}(\text{cm}^{-2}\text{s}^{-1})$	$2 \times 10^{34}$	$5 \times 10^{34}$	$7.5 \times 10^{34}$
PU ( $n_{vtxs}$ )	40-60	140	200

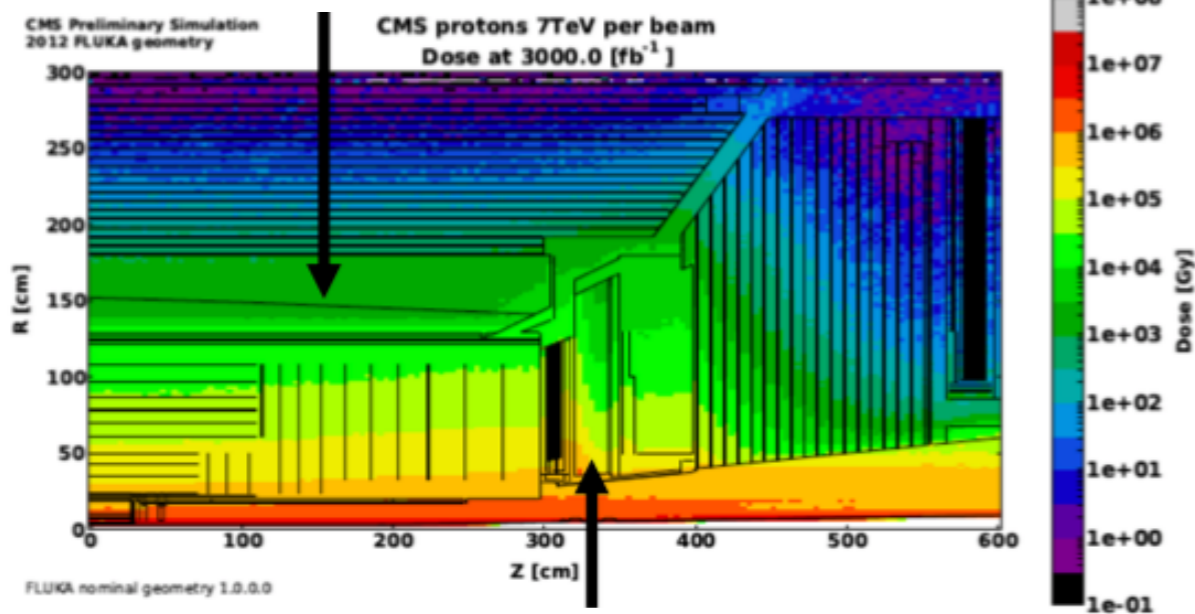
**x 10 total luminosity (>3000 fb<sup>-1</sup>)**

High radiation doses

**x 5-7 inst. luminosity (>5E34 cm<sup>-2</sup>s<sup>-1</sup>)**

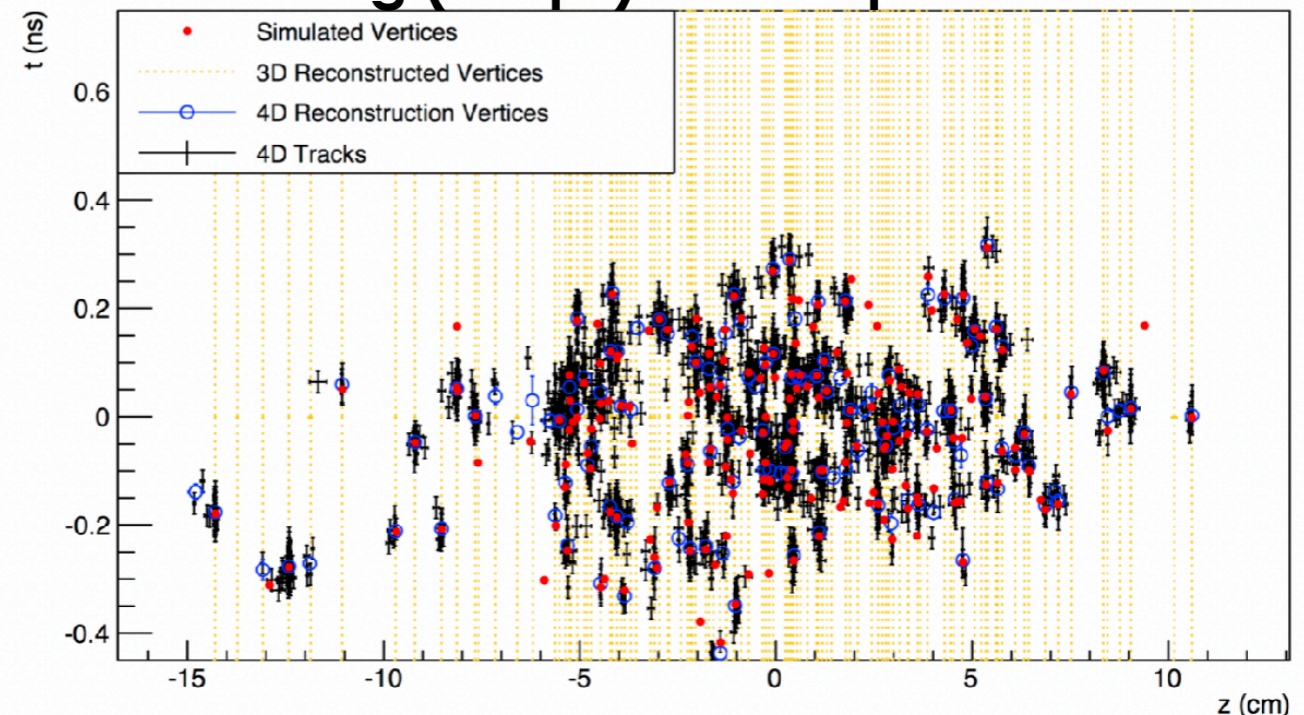
High pile-up (>150)

**EB up to ~50 K Gy**



**EE up to ~10<sup>3</sup> K Gy**

**Precision timing (~30ps) can help vertex location**

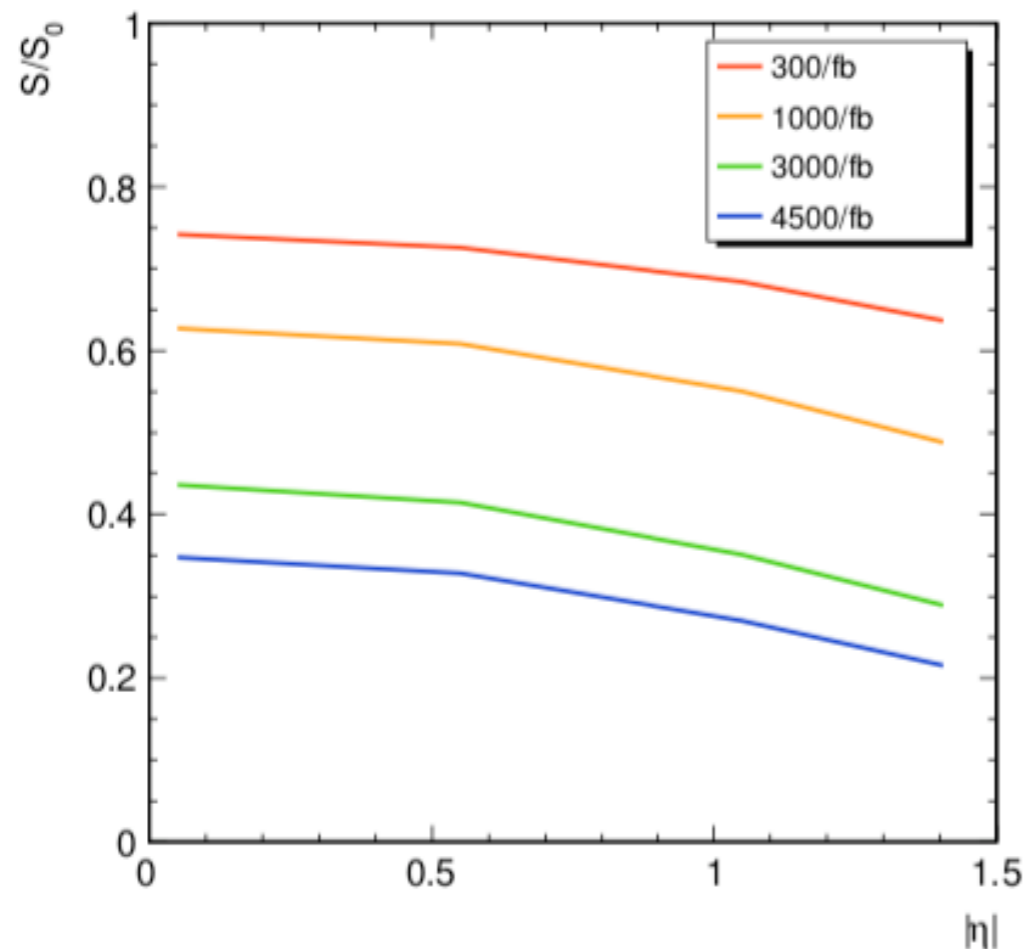


**CMS ECAL designed for 300 fb<sup>-1</sup>@ 1E34 cm<sup>-2</sup>s<sup>-1</sup>: upgrade is required**



# ECAL SENSORS @ HL-LHC

Crystal signal loss vs  $\eta$  vs lumi

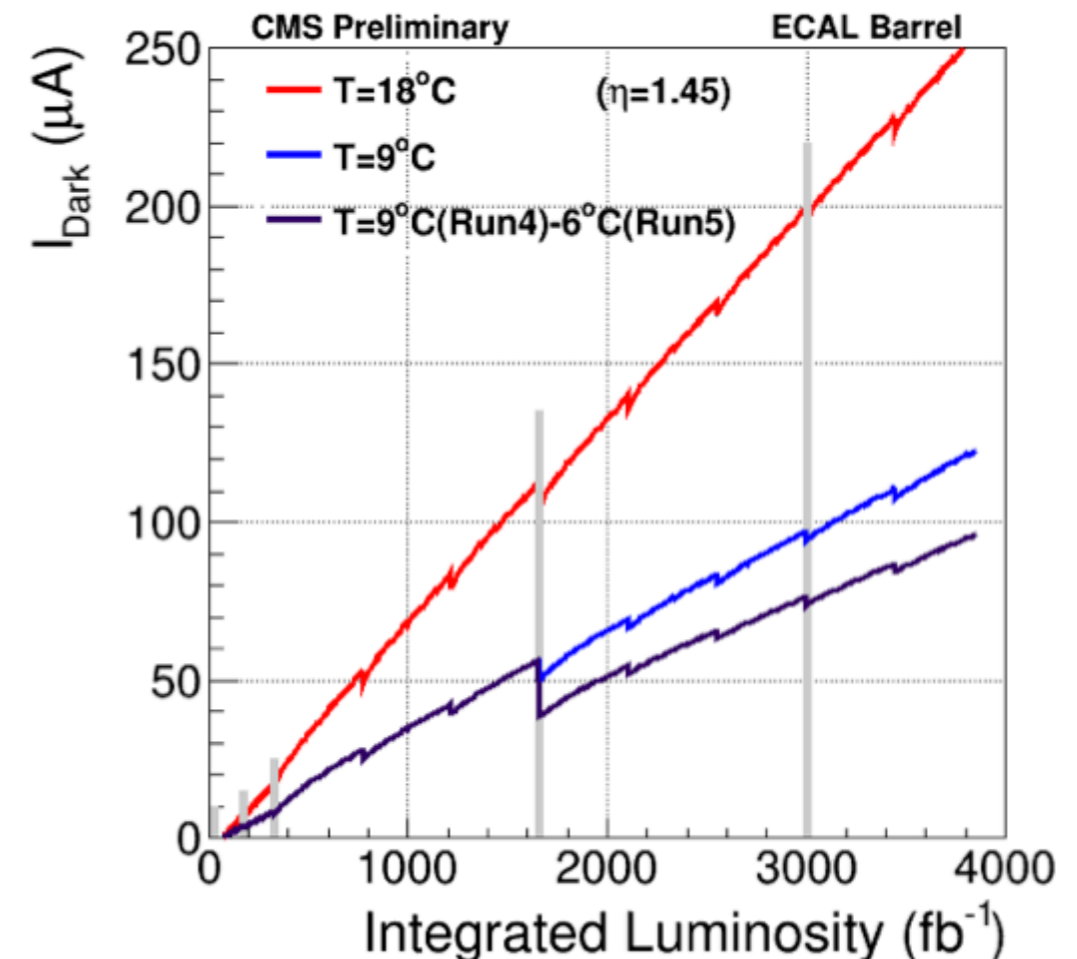


@3000 fb<sup>-1</sup>: expected LO loss in ECAL barrel from 55% to 70% ( $|\eta|$  0→1.5)

**Crystal replacement NOT needed in ECAL Barrel**

ECAL Endcap needs to be fully replaced  
→ High Granularity Calorimeter

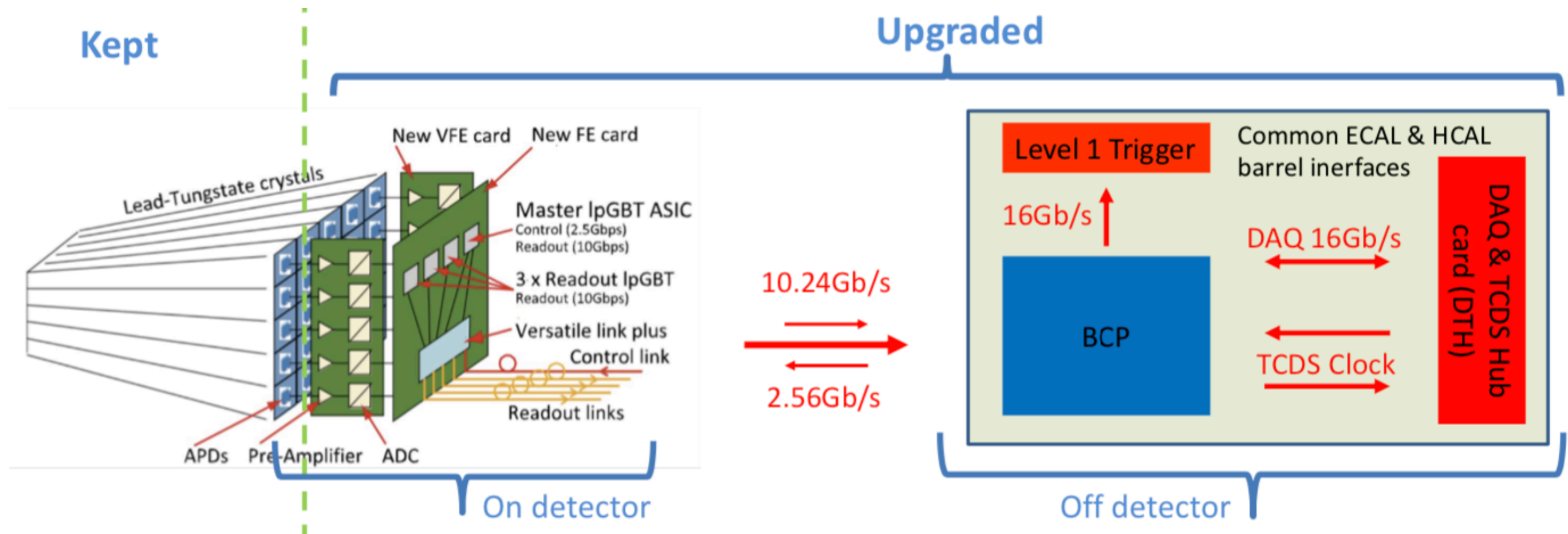
APD dark current at  $\eta=1.45$  vs lumi



APD increased dark current (higher noise)

**Decrease operating temperature  
18°C→9°C**

- APD dark current reduced by 50%
- LO increased by 18%



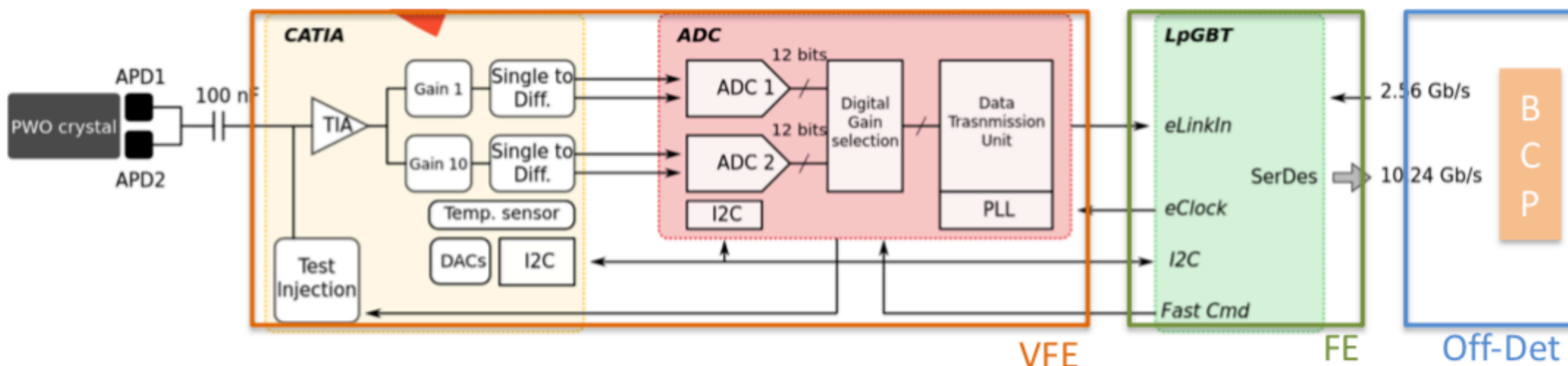
## Faster front-end electronics

- Improve timing resolution ( $H \rightarrow \gamma\gamma$  vertex identification at high pile-up)
- Improve discrimination between scintillation and direct hits in the APD (“spikes”)

## New off-detector electronics

- Cope with increased CMS-wide L1 rate (100 kHz  $\rightarrow$  750 KHz) and latency (3.4  $\mu$ s  $\rightarrow$  12.5  $\mu$ s)
- Improve trigger flexibility: stream all crystal data off-detector

# UPGRADED READOUT SCHEME



## VFE board (serves 5 crystals)

- New faster preamplifier: Trans-impedance amplifier (TIA), CATIA ASIC
  - bandwidth 35 MHz (x2)
  - 2 gains (x1 and x10) dynamic range from 50 MeV to 2 TeV
- New ADC: Lite-DTU ASIC
  - 12 bit @ 160 MS/s (x4 sampling rate), data transmission with compression

## FE (serves 5 VFEs)

- Fast optical links to stream all crystal data off-detector @ 40 MHz using LpGBT
- VFE clock distribution using LpGBT eClock

## Off-detector: Barrel Calorimeter Processor (BCP)

- FPGA based
- Pulse reconstruction
- Zero suppression
- Spike rejection
- L1 trigger primitives
- Receive & distribute LHC clock to FE



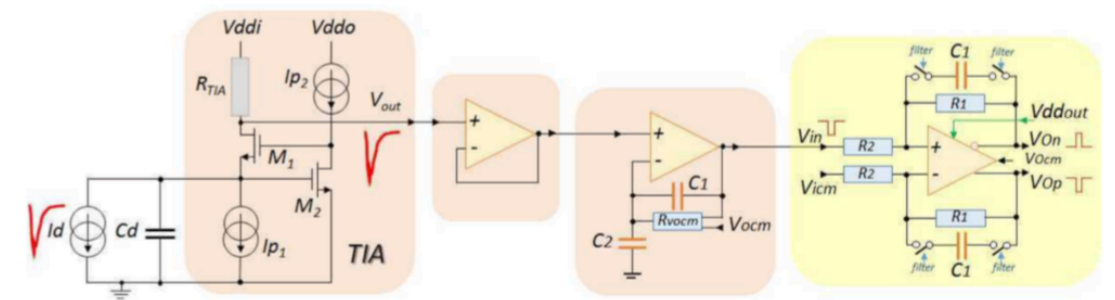
## Calorimeter Trans-Impedance Amplifier

- bandwidth 35 MHz (imposed by APD/kapton impedance)
- TSMC 130nm CMOS
- 2 gains (x1-x10), test pulse, ADC calibration

Two prototypes (V0 & V1) already tested in lab and in test beam

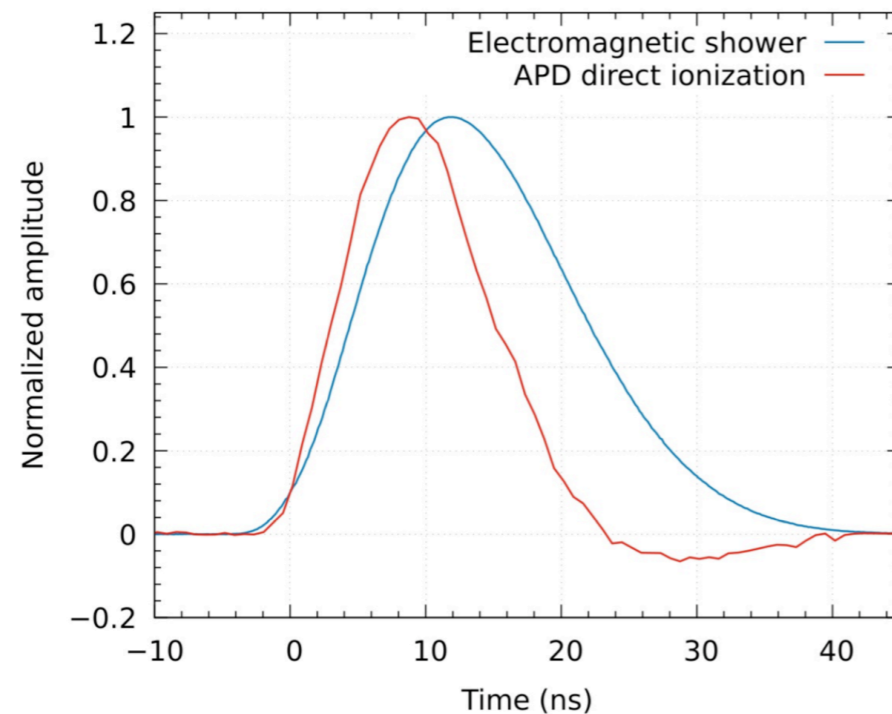
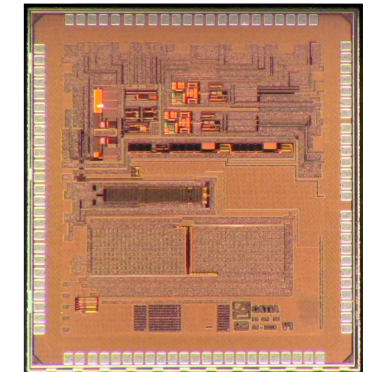
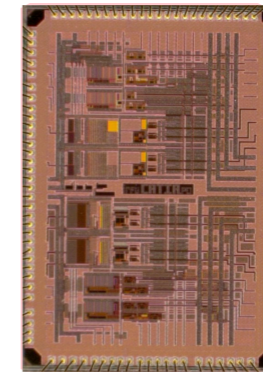
- performance as expected for noise and linearity
- preliminary radiation hardness tests encouraging

**Last iteration v2: foreseen in 2020**

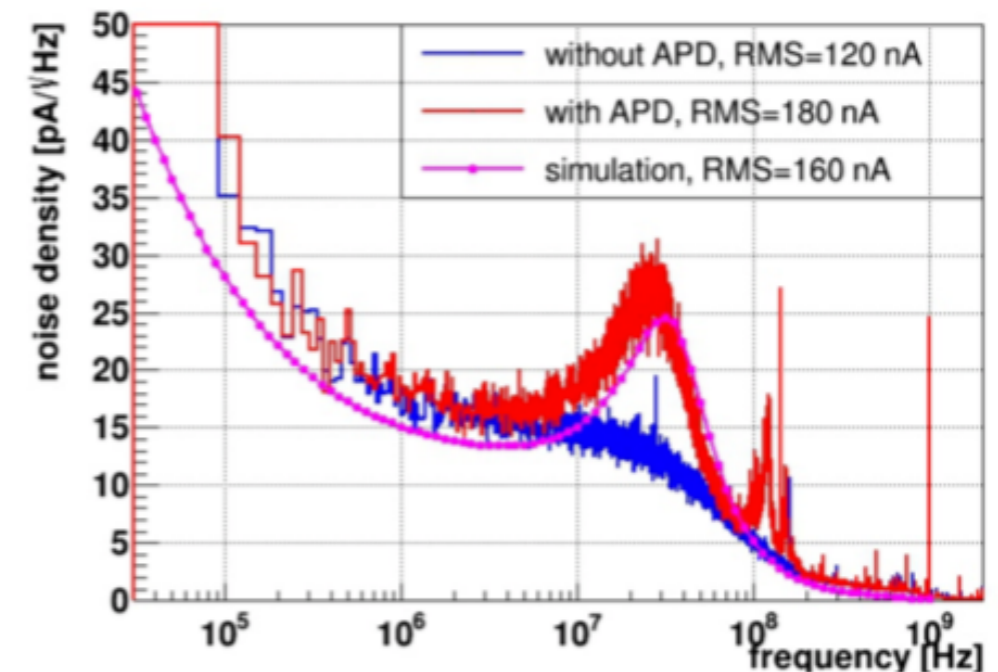


**V0**

**V1**



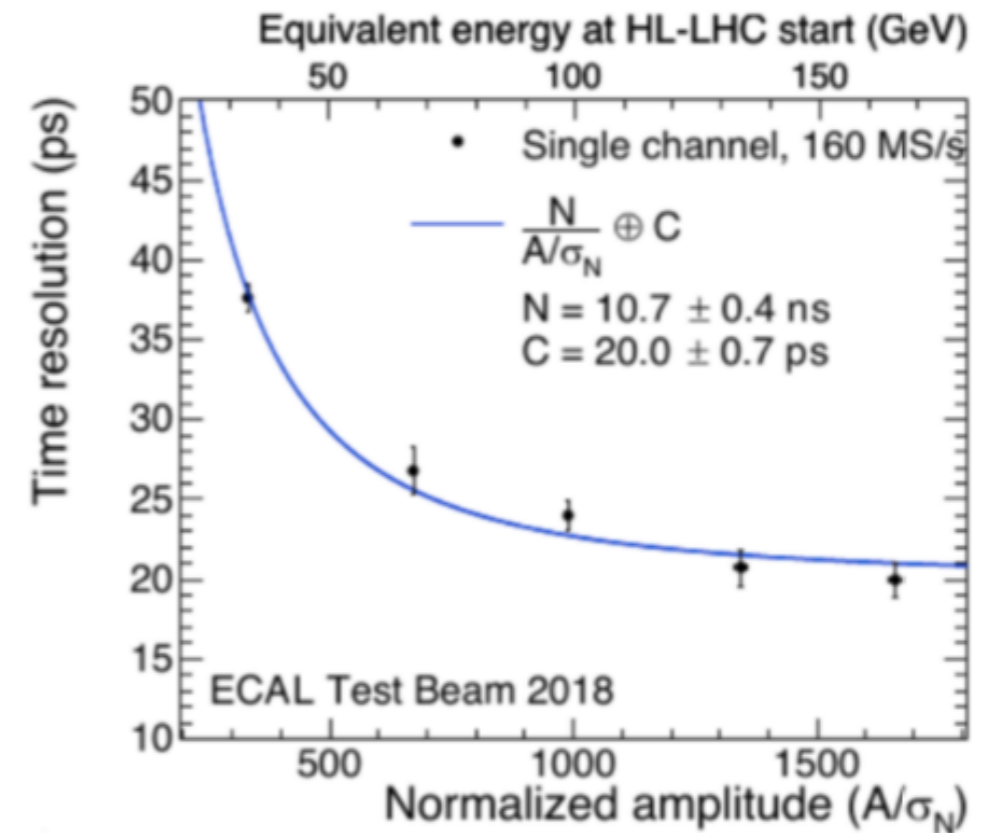
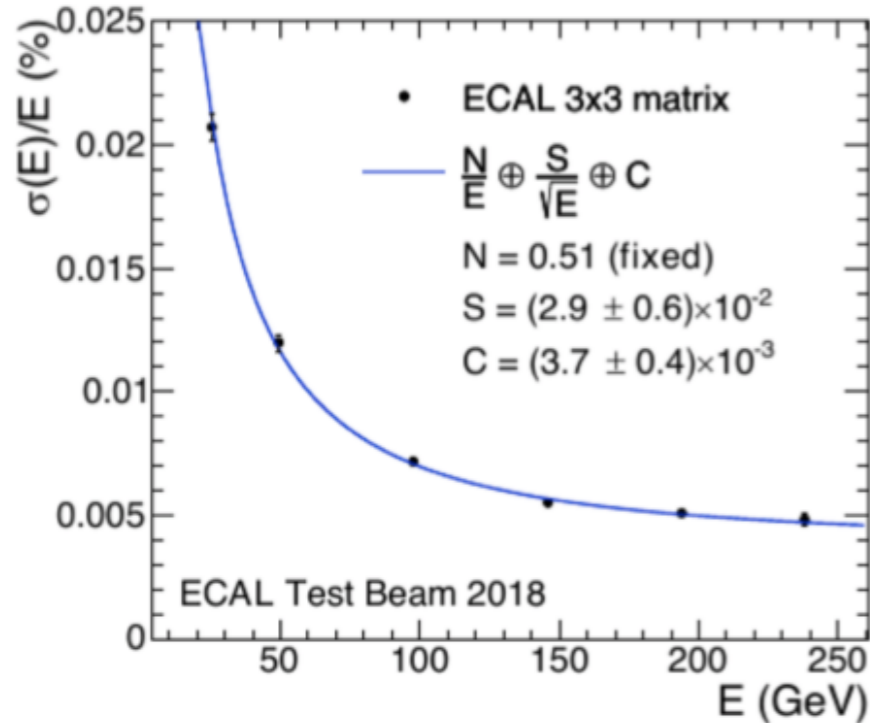
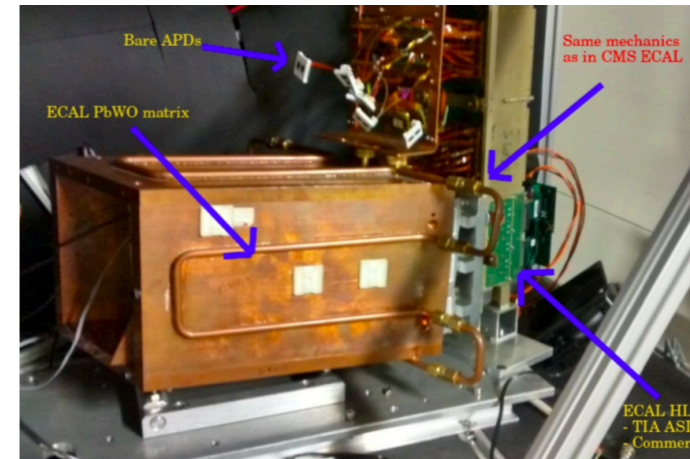
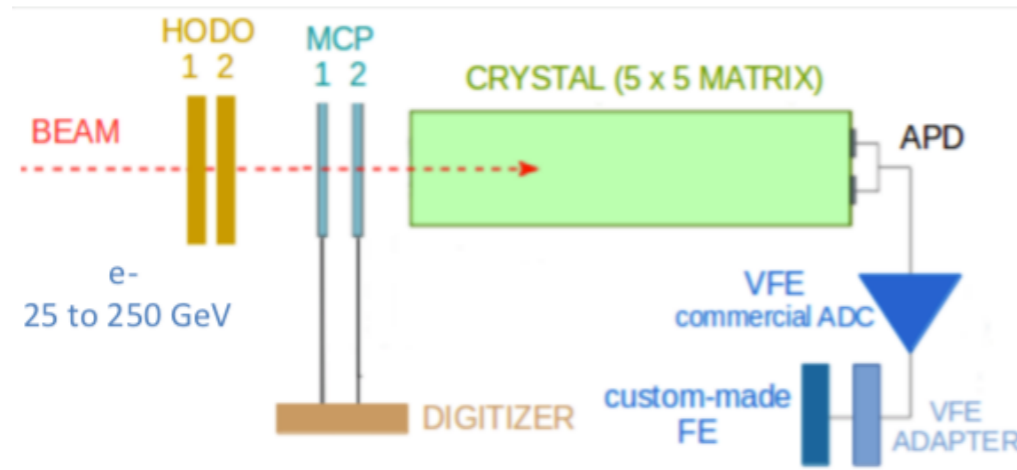
**Much improved separation between scintillation/spikes**



**Noise within 10% from simulation**

# TEST BEAM 2018 RESULTS

Test beam 2018@ CERN: 5x5 matrix, prototype VFE with CATIA, commercial ADC @ 160 MHz



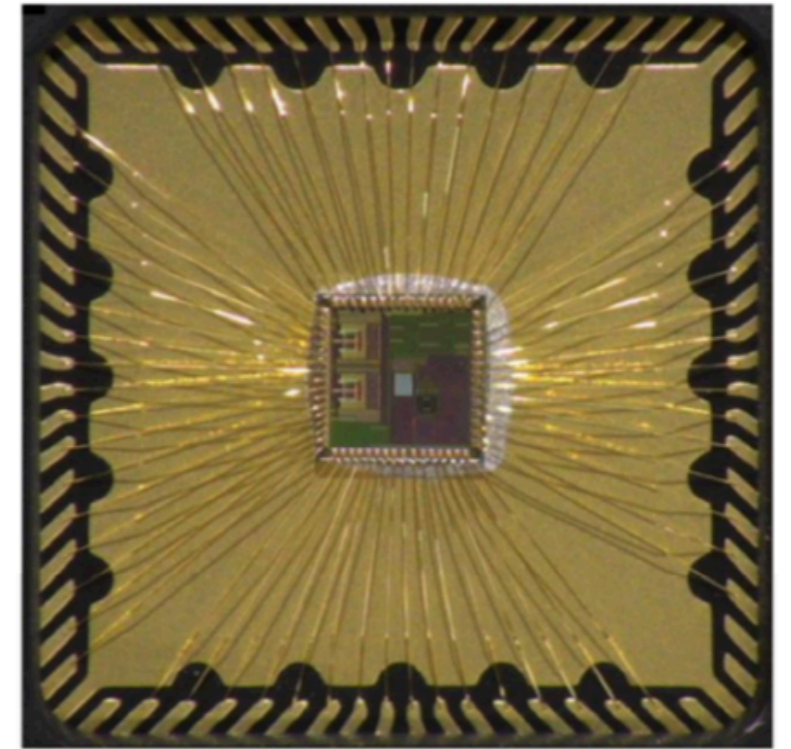
Energy resolution matching current performance

Time resolution <30ps for E>50 GeV matching ECAL HL-LHC target



## Digital ASIC in 65nm CMOS

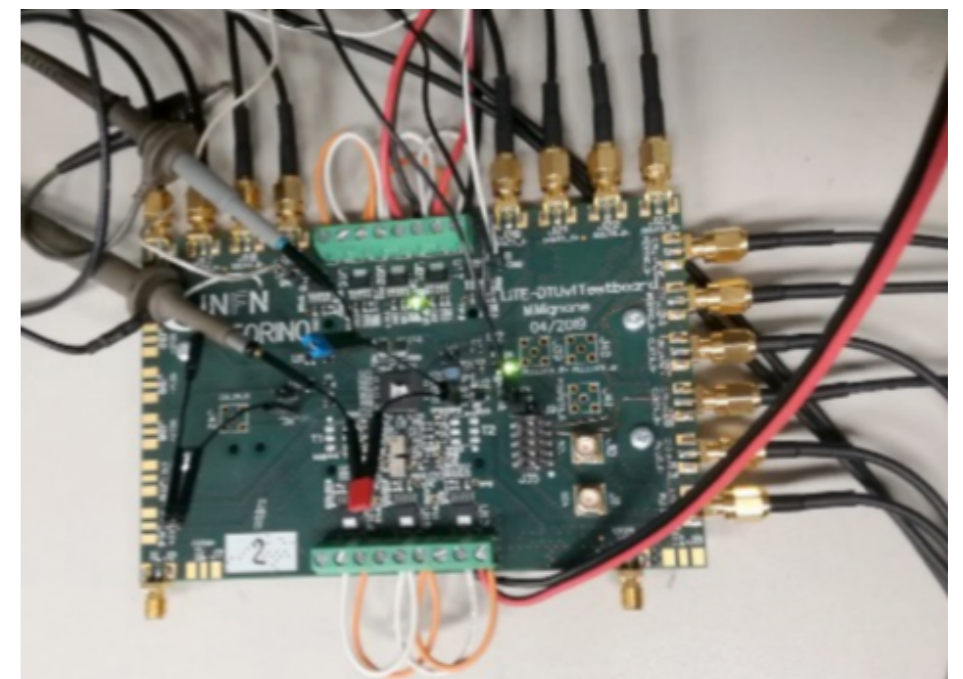
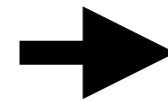
- 2 x 12bit 160MS/s ADC: commercial IP block
- Data Transmission Unit (DTU): baseline subtraction, gain selection, serialisation and lossless data compression
- PLL from LpGBT
- TID tolerance up to 100 K Gy, SEU protected logic



LITE-DTU v1 currently under test. Checking:

- ADC noise/linearity
- PLL and clock distribution
- selection and compression algorithms validation
- radiation hardness

Foreseen also a combined test with CATIA v1

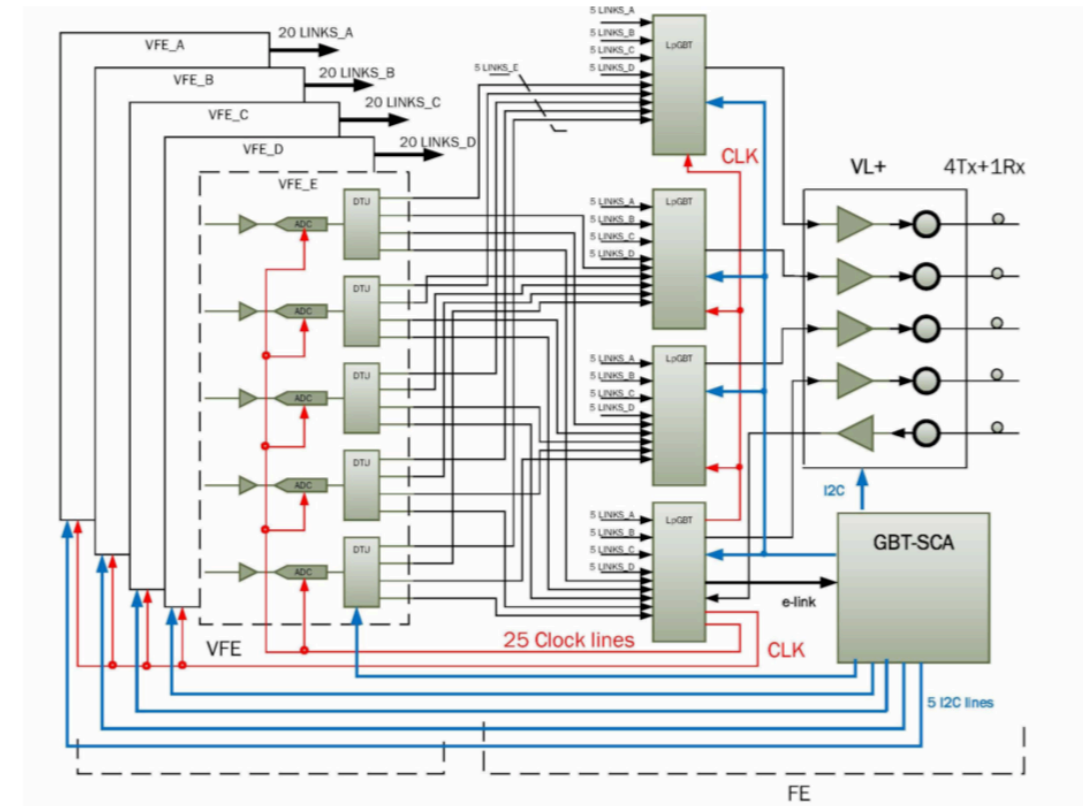




# FE CARD

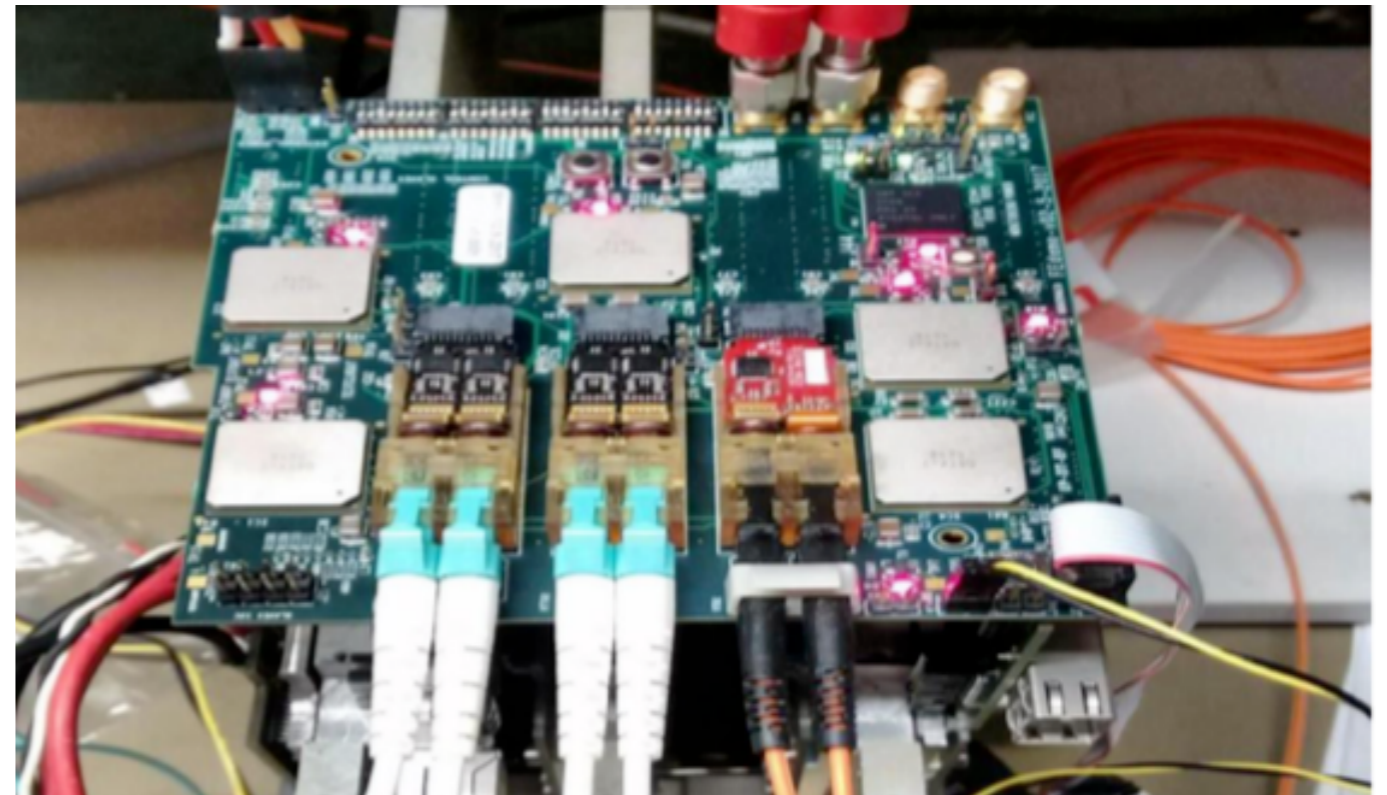
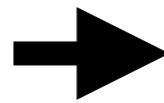
Streaming VFE digitised data off-detector  
Precise clock distribution to VFE  
Initialisation and control of VFE

- 4 uplinks @ 10.24 Gb/s
- 1 uplink @ 2.56 GB/s
- eLink serial interface to ADC
- i<sup>2</sup>C interface



v1 prototype

- 5 Gb/s links (using GBTx)
- 1 VTRx + 2 VTTx VL modules



Next steps:

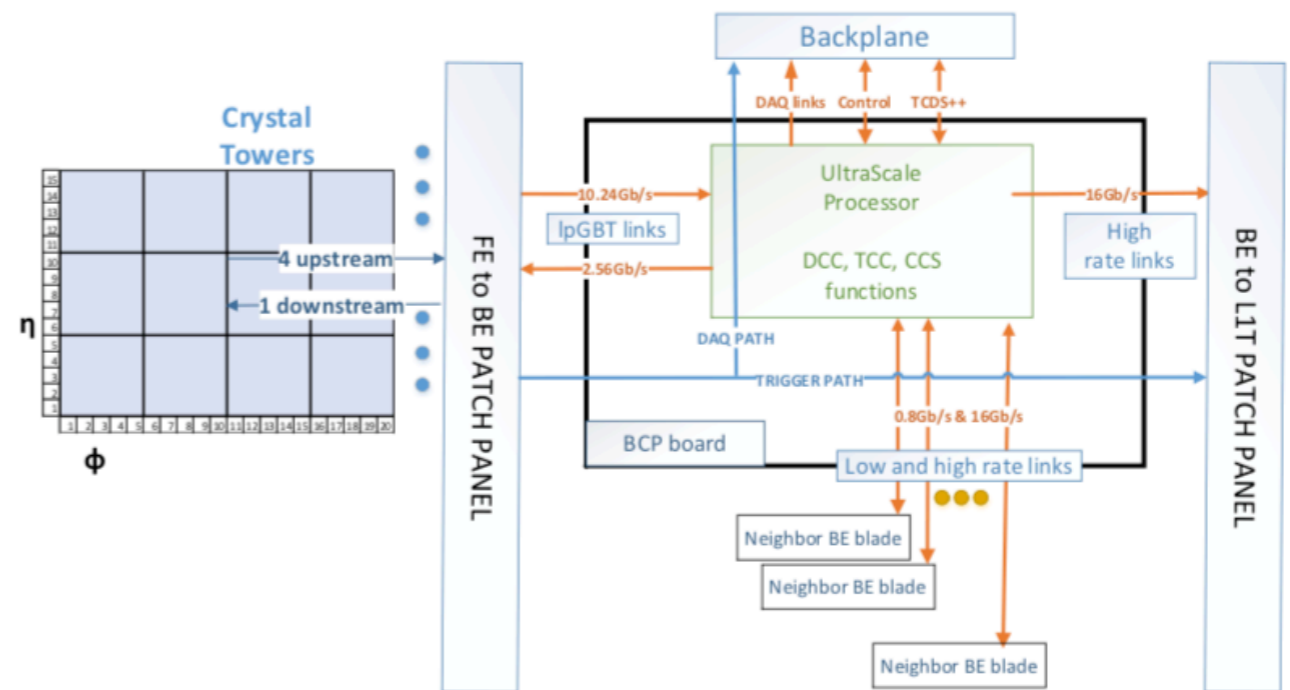
- v2: 10 Gb/s links
- v3: fine tuning and bug fixes

# OFF-DETECTOR & TRIGGER

BCP in numbers: 9 ATCA crates, 108 ATCA blades, 216 FPGAs, 3060 optical links to FE

## Barrel Calorimeter Processor

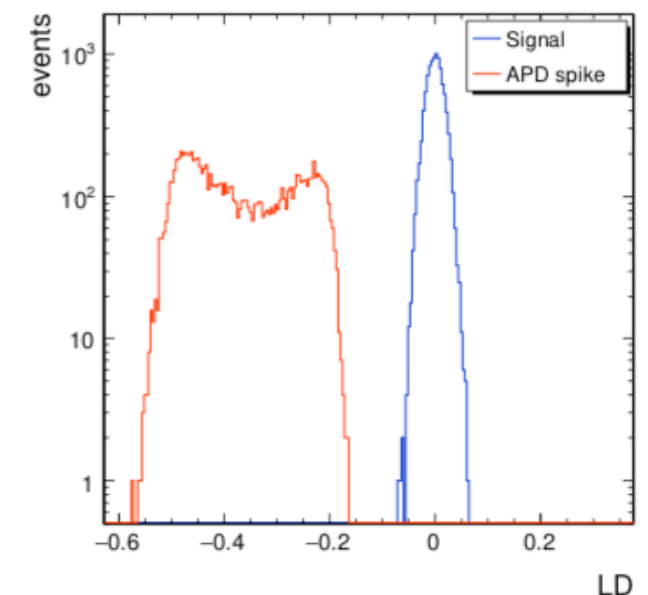
- Control & data readout, decompression, clock distribution, trigger primitives formation, common for ECAL and HCAL
- FPGA based, ATCA blade form factor



**Discrimination using digital filter on crystal samples for scintillation/spike**

Streaming all samples off-detector. Allows:

- Granularity at crystal level for L1 trigger primitives (instead of 5x5 matrix)
- Large flexibility for developing pulse reconstruction/selection algorithms: PU subtraction, anomalous signal rejection, ...

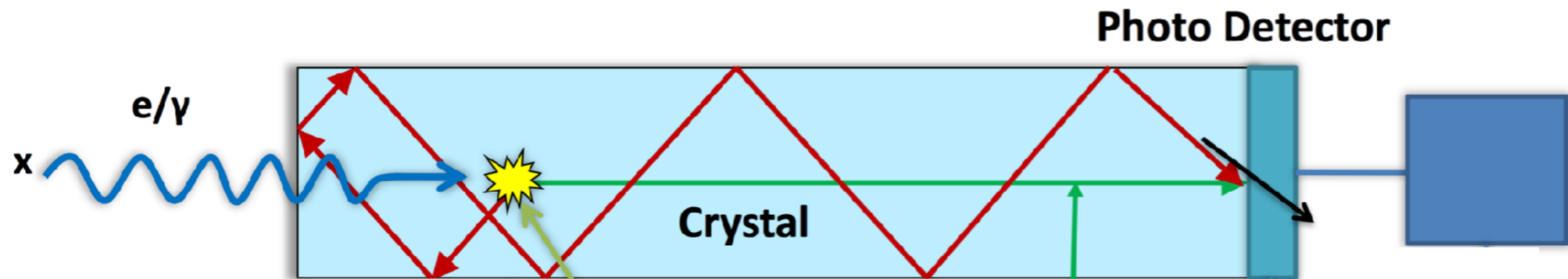


**HL-LHC exciting physics opportunities but also significant experimental challenges:** high radiation dose and >5 increase in detector occupancy

**CMS ECAL Barrel will be upgraded bringing improved readout/trigger flexibility, maintaining excellent energy resolution and improve timing resolution (~30ps @ 50 GeV)**

- keep crystals and photosensors, lowering operating temperature from 18 °C → 9 °C
- new readout chain:
  - faster front-end
  - higher sampling rate
  - stream all data off-detector, trigger primitives formation off-detector





**Several ingredients determine the time resolution of an electromagnetic shower in a homogeneous crystal calorimeter**

- **Intrinsic EM shower fluctuations**

  - longitudinal shower fluctuations

  - optical transit time spread: scintillation rise/decay time, light propagation

- **Photodetector + electronics**

  - photodetector: rise time, transit time

  - noise: dark current, electronic noise

- **DAQ**

  - clock distribution

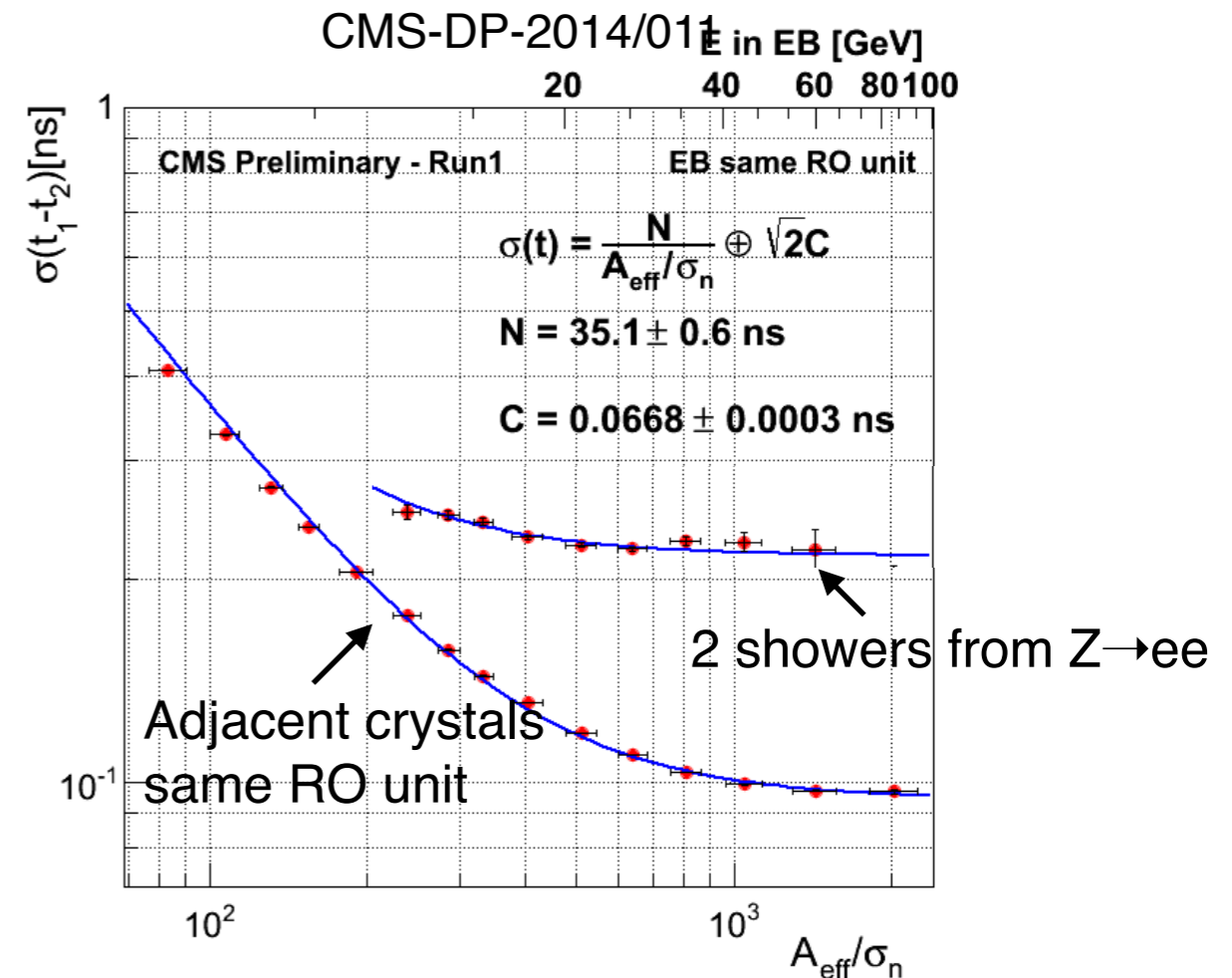
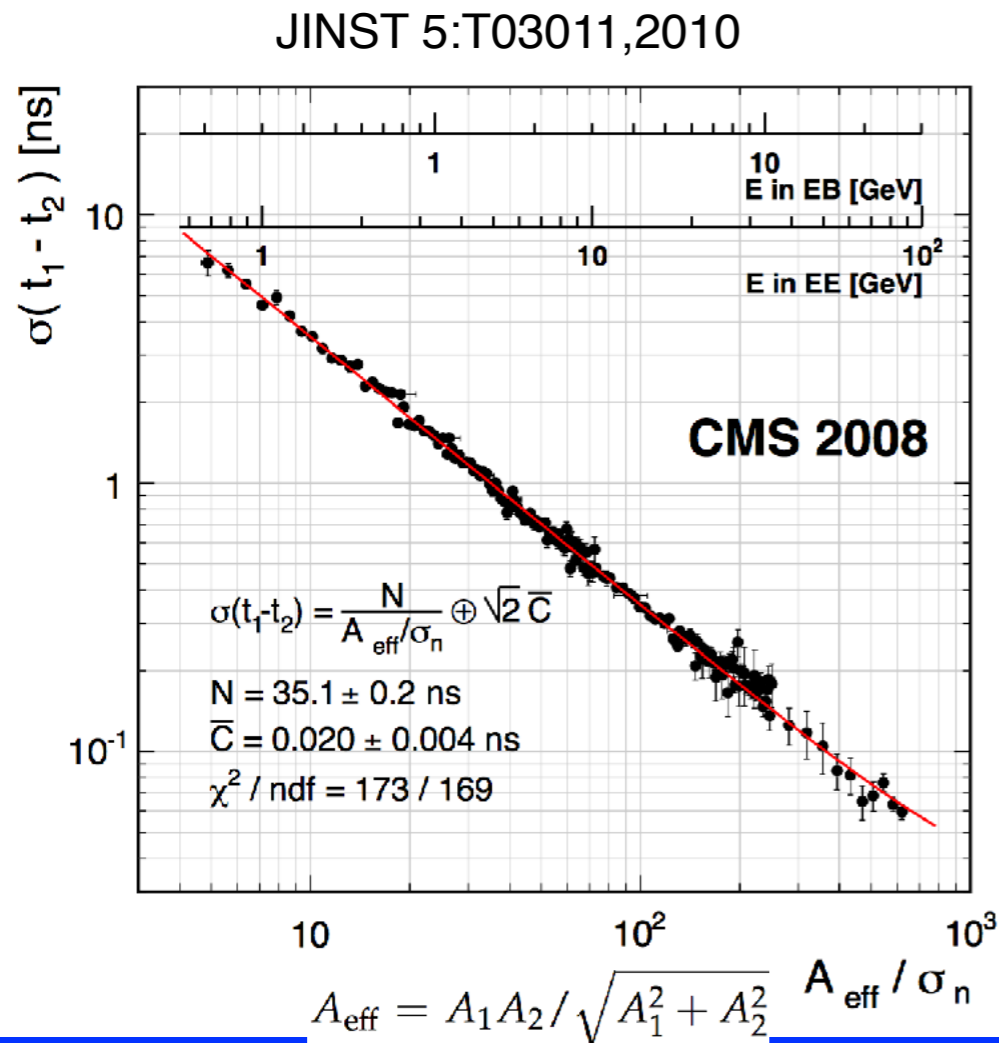
# CURRENT ECAL TIMING PERFORMANCE

## Test beam (2008)

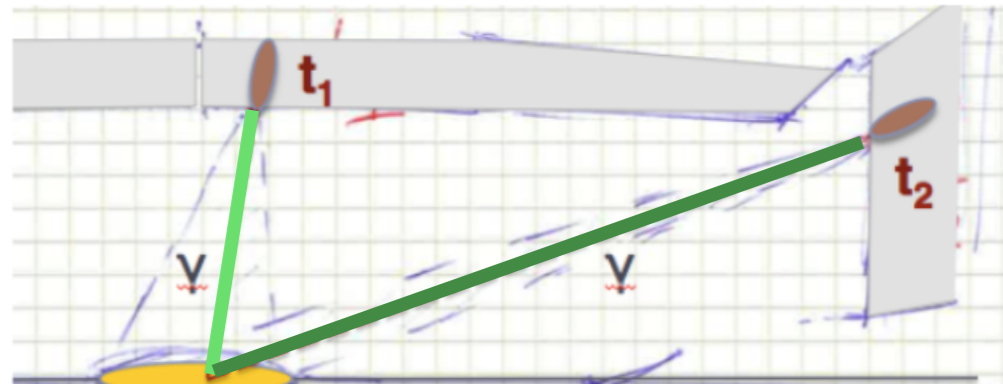
- 2 crystals in the same EM shower: **20 ps constant term**

## In-situ (Run1)

- 2 crystals in the same EM shower & same readout unit: **70 ps constant term**, degradation due to time calibration stability
- 2 crystals in different showers from  $Z \rightarrow ee$ : **150 ps constant term**, additional degradation from clock distribution



# PHOTON TIMING & $H \rightarrow \gamma\gamma$



**CMS Projection**

$3000 \text{ fb}^{-1}$  (13 TeV)

$H \rightarrow \gamma\gamma$

fiducial volume :

$$p_T^{\text{gen}}(\gamma_{1(2)}) > \frac{1}{3} \left( \frac{1}{4} \right) m_{\gamma\gamma}$$

$$|\eta^{\text{gen}}(\gamma_{1,2})| < 2.5$$

$$\text{Iso}_{R=0.3}^{\text{gen}}(\gamma_{1,2}) < 10 \text{ GeV}$$

— S2 (80% Vertex Efficiency)

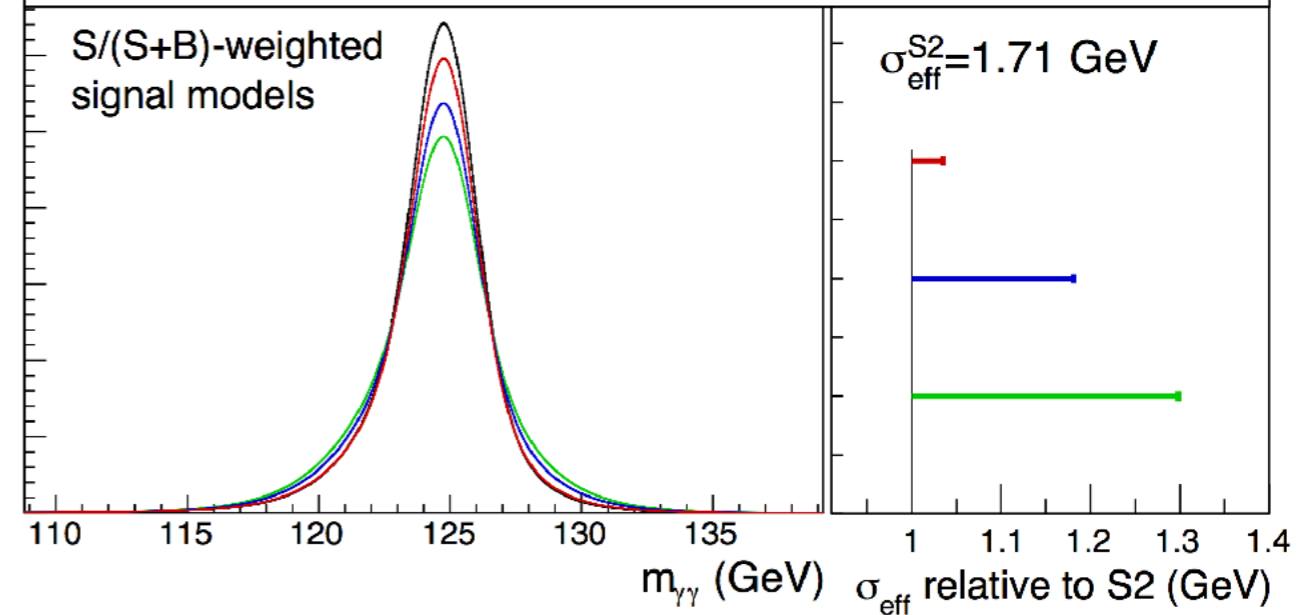
— S2+ Optimistic (75% Vertex Efficiency)

— S2+ Intermediate (55% Vertex Efficiency)

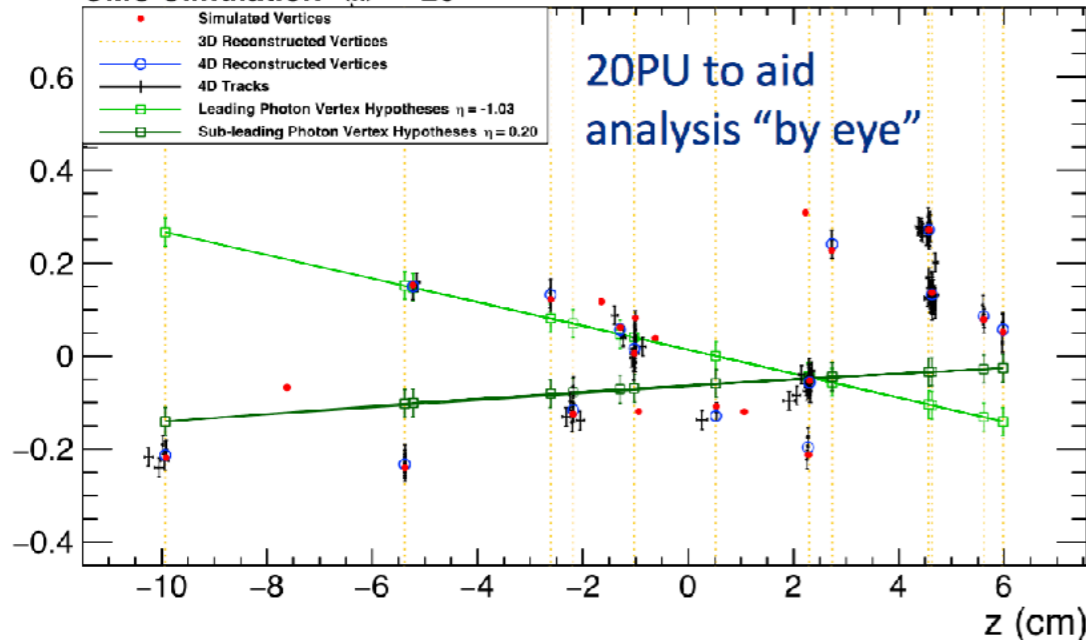
— S2+ Pessimistic (40% Vertex Efficiency)

arbitrary units

S/(S+B)-weighted signal models



**CMS Simulation  $\langle \mu \rangle = 20$**



**Photon timing (<30ps) allows to determine di-photon interaction vertex position (and time)**

Vertex currently determined using recoiling tracks properties. Efficiency ~80% with current pile-up LHC conditions, will become 30% @ PU200

**ECAL+track precision timing allows to ~ keep current vertex efficiency @ PU200**