

# Measuring the electron neutrino mass with bolometer detectors

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Incontri di Fisica delle Alte Energie 2004

Torino 14-16 aprile 2004

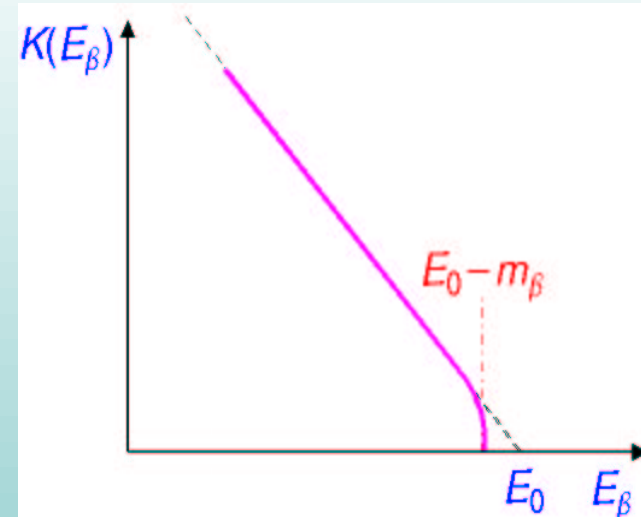
# Direct neutrino mass measurement

- ◆ neutrino oscillation evidence  $\Rightarrow$  finite  $m_\nu$
- ◆ neutrino oscillations give only  $\Delta m^2$
- ◆ to fix absolute scale  $\Rightarrow$  study of  $\beta$  decay kinematics:

- gives electron antineutrino mass
- 2 possible experimental approaches
  - ▷ spectrometry ( $\beta$  source  $\neq$  detector)
  - ▷ calorimetry ( $\beta$  source  $\subseteq$  detector)

calorimeters measure all the energy released in the decay except for that carried away by the  $\bar{\nu}_e$

due to the different systematic effects calorimetric and spectrometric approaches are complementary



- in case of mixing with 3 degenerate neutrinos:

$$m_\beta \equiv \frac{\sum m_i |U_{ei}|^2}{\sum |U_{ei}|^2}$$

- in case of no mixing:

$$m_\beta \equiv m_{\bar{\nu}_e}$$

# Calorimetric approach /1

- ◆ Calorimeters measure the entire spectrum at once
  - ⇒ use low  $E_0$   $\beta$  decaying isotopes to achieve enough statistics near the end-point
  - ⇒ best choice  $^{187}\text{Re}$ :  $E_0 = 2.47 \text{ keV}$ 
    - $\Rightarrow F(\delta E = 10 \text{ eV}) \sim 2 (\delta E/E_0)^3 = 1.3 \times 10^{-7}$
- ◆ Calorimetry advantages (in principle)
  - ▲ no backscattering
  - ▲ no energy losses in the source
  - ▲ no atomic/molecular final state effects
  - ▲ no solid state excitation
- ◆ Calorimetry drawbacks
  - ▼ systematics due to pile-up effects
  - ▼ energy dependent background

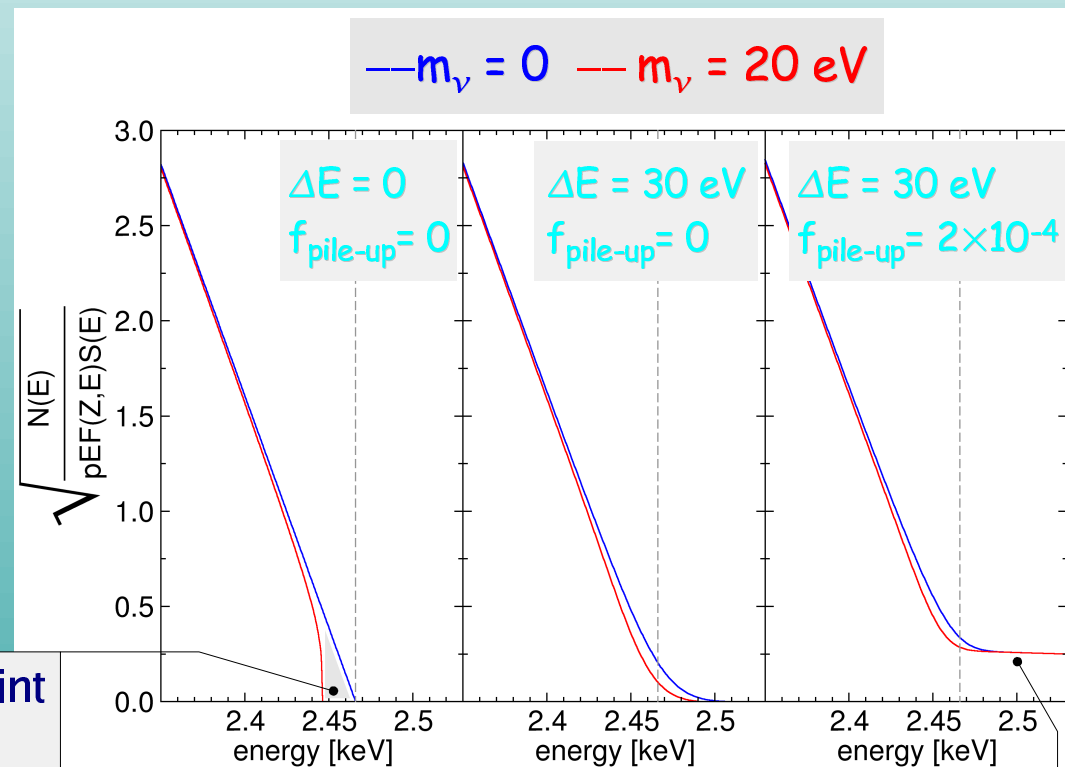
# Calorimetric approach /2

Pile-up:

- time unresolved superposition of  $\beta$  decays
- for a source activity  $A_\beta$ , a time resolution  $\tau_R$  and an energy resolution function  $R(E_\beta)$ :

$$N^{\text{exp}}(E_\beta) \approx (N(E_\beta) + \tau_R A_\beta \cdot N(E_\beta) \otimes N(E_\beta)) \otimes R(E_\beta)$$

Pile-up and energy resolution effects:

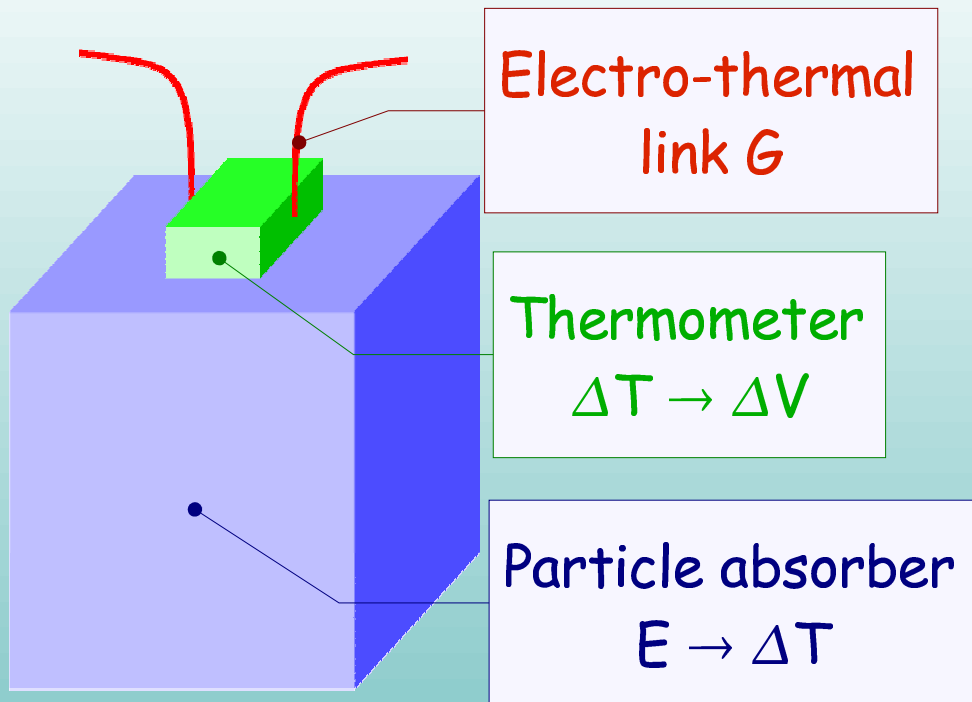


fraction  $F$  of decays below the end-point

$$F(\delta E) = \int_{E_0 - \delta E}^{E_0} N(E_\beta, m_{\bar{\nu}_e} = 0) dE \approx 2 \left( \frac{\delta E}{E_0} \right)^3$$

pile-up fraction:  $f_{\text{pile-up}} = \tau_R A_\beta$

# Thermal detectors



## Thermal detector properties

- ▲ high energy resolution
- ▲ large choice of absorber materials
- ▲ true calorimeters
- ▼ slow  $\tau = C/G \sim 10^{-1} \div 10^3$  ms

## Detection principle:

- $\Delta T = E/C$   
 $C$  thermal capacity  
⇒ low  $C$   
→ low  $T$  (i.e.  $T \ll 1K$ )  
→ dielectrics, superconductors
- ultimate limit to energy resolution: statistical fluctuation of internal energy  $U$

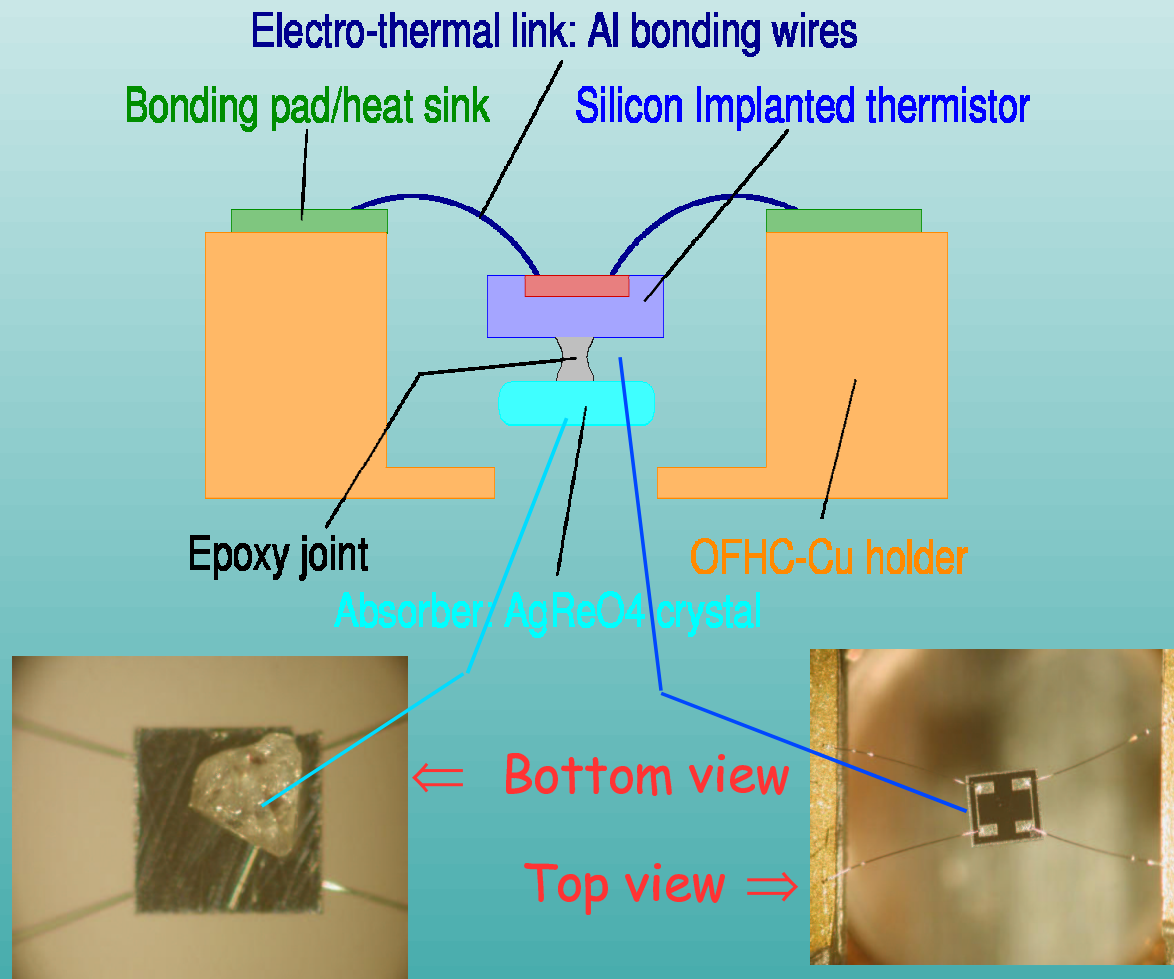
$$\langle \Delta U^2 \rangle = k_B T^2 C$$

- example: 1 mg of Si @ 100 mK  
 $C \sim T^3$  (Debye)  $\Rightarrow C \sim 10^{-13}$  J/K  
6 keV X-ray  $\Rightarrow \Delta T \sim 10$  mK  
 $\Rightarrow \Delta U \sim 1$  eV

# Milano $\mu$ -calorimeters for $^{187}\text{Re}$ $\beta$ decay study

Neutrino mass measurement with arrays of 10  $\text{AgReO}_4$   $\mu$ -calorimeters.

- $\Rightarrow$
- lower pile-up
  - higher statistics



## Absorbers

$\text{AgReO}_4$  single crystals

$^{187}\text{Re}$  fraction  $\sim 0.32$

$A_\beta \approx 5.4 \times 10^{-4} \text{ Hz}/\mu\text{g}$

Mass 250  $\sim$  300  $\mu\text{g}$



# Measurement statistics and Detector performance

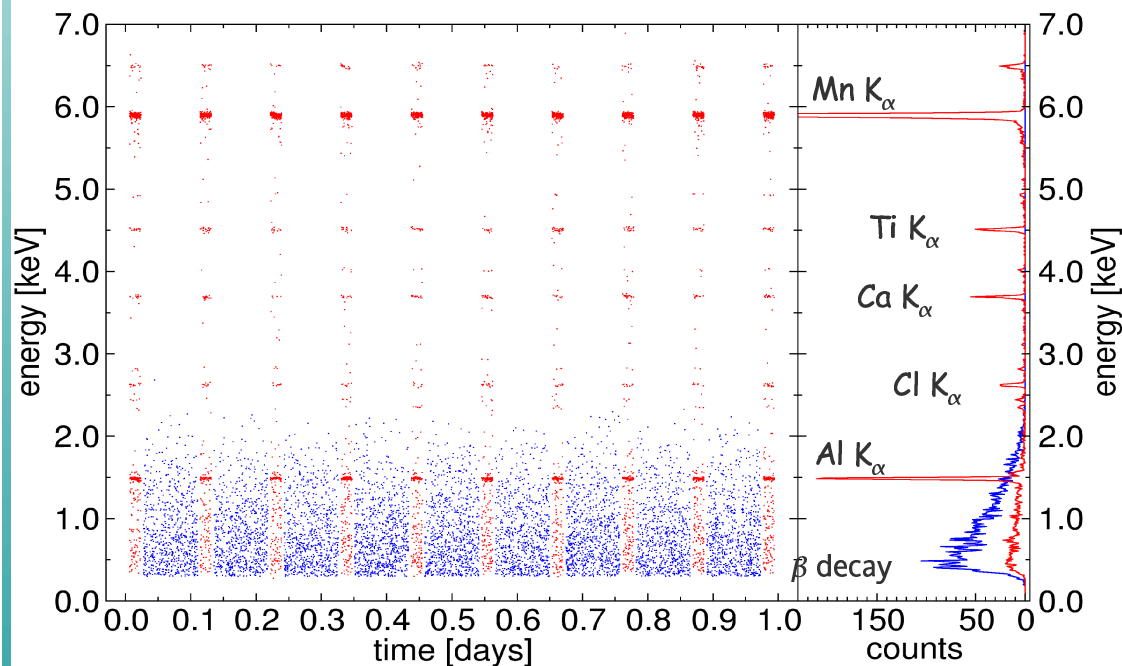
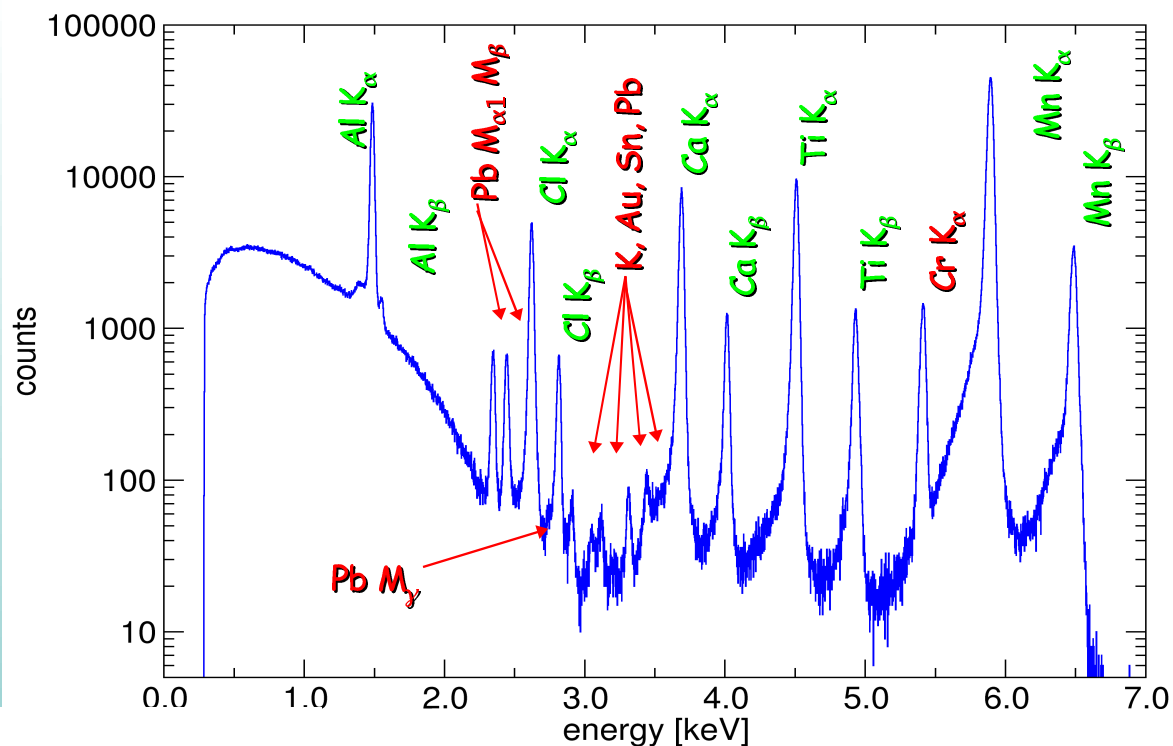
- Measurement started on June 21<sup>st</sup> 2002 and ended on April 29<sup>th</sup> 2003
  - ↗ 313 days of measurement (including daily service to cryostat, test calibrations, ...)
- Total acquired AgReO<sub>4</sub> mass: 2.174 mg  $\Rightarrow$  <sup>187</sup>Re activity: 1.17 Hz
- total statistics of  $\beta$  decay acquisition: 168 days  $\Rightarrow$  "beta acquisition efficiency": 54%
  - ↗ 8751 hours $\times$ mg (AgReO<sub>4</sub>)
  - ↗  $16.8 \times 10^6$  <sup>187</sup>Re decays
- total statistics of calibration (X-ray source open): 42 days  $\Rightarrow$  2168 hours $\times$ mg (AgReO<sub>4</sub>)
- Total effective measuring time: 210 days  $\Rightarrow$  "measurement efficiency": 67%

|      | AgReO <sub>4</sub><br>mass<br>[ $\mu$ g] | T <sub>op</sub><br>[mK] | R <sub>op</sub><br>[M $\Omega$ ] | shutter<br>ON<br>[h] | shutter<br>OFF<br>[h] | Beta<br>threshold<br>[eV] | $\Delta E_{FWHM}$<br>baseline<br>[eV] | $\Delta E_{FWHM}$<br>at 1.5 keV<br>[eV] | $\Delta E_{FWHM}$<br>at 2.6 keV<br>[eV] | $\tau_{rise}$<br>[ $\mu$ s] |
|------|------------------------------------------|-------------------------|----------------------------------|----------------------|-----------------------|---------------------------|---------------------------------------|-----------------------------------------|-----------------------------------------|-----------------------------|
| 1    | 272.0 $\pm$ 3.0                          | 104.0                   | 1.3                              | 996.7                | 4037.2                | 350                       | 20.1                                  | 23.7                                    | 26.3                                    | 440                         |
| 2    | 259.0 $\pm$ 6.0                          | 91.3                    | 1.9                              | 991.7                | 4069.2                | 350                       | 19.4                                  | 23.2                                    | 26.6                                    | 340                         |
| 3    | 280.3 $\pm$ 6.5                          | 104.0                   | 1.3                              | 1005.9               | 4105.2                | 350                       | 25.8                                  | 28.7                                    | 30.5                                    | 670                         |
| 4    | 227.7 $\pm$ 3.2                          |                         |                                  |                      |                       |                           |                                       | bad performances                        |                                         | 375                         |
| 5    | 249.7 $\pm$ 2.0                          | 107.1                   | 1.2                              | 996.3                | 3803.2                | 470                       | 21.0                                  | 25.4                                    | 28.9                                    | 590                         |
| 6    | 284.0 $\pm$ 3.0                          | 86.9                    | 2.2                              | 1006.7               | 4075.2                | 350                       | 22.5                                  | 25.7                                    | 29.2                                    | 500                         |
| 7    | 281.0 $\pm$ 3.7                          |                         |                                  |                      |                       |                           |                                       | broken crystal                          |                                         | 370                         |
| 8    | 282.0 $\pm$ 3.4                          | 72.2                    | 4.0                              | 1013.9               | 4106.4                | 400                       | 24.6                                  | 29.3                                    | 33.4                                    | 510                         |
| 9    | 268.7 $\pm$ 5.9                          | 96.7                    | 1.6                              | 981.5                | 4017.1                | 400                       | 18.4                                  | 21.3                                    | 24.9                                    | 430                         |
| 10   | 278.3 $\pm$ 1.5                          | 101.3                   | 1.4                              | 981.9                | 3967.1                | 700                       | 23.9                                  | 29.2                                    | 34.5                                    | 445                         |
| TOT: |                                          |                         |                                  | 7974.65              | 32180.7               |                           | 21.9                                  | 25.5                                    | 29.1                                    |                             |
|      |                                          |                         |                                  |                      |                       |                           | Array summed spectrum                 |                                         |                                         |                             |

# Calibration spectra

7975 hours×detector with  
fluorescence source open

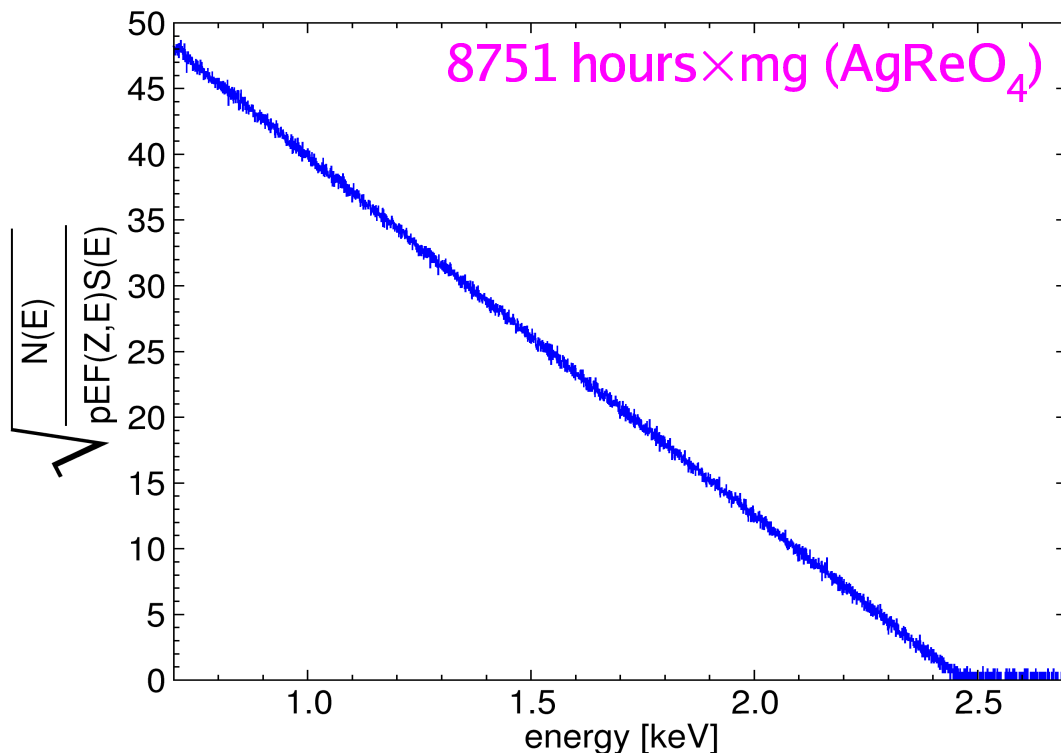
a typical single channel one-day  
measurement ↴



- many extra peaks due to fluorescence of surrounding materials
- Cl  $K_{\alpha}$  position nominal position ( $E_{Cl K_{\alpha}} = 2.6217$  keV) missed by 0.3 eV

# $^{187}\text{Re}$ $\beta$ spectrum - summed Kurie plot

- $6.2 \times 10^6$   $^{187}\text{Re}$  decays collected above 700 eV
- Fit with the function:  $F = (f_{\text{th}} + f_{\text{pup}} + f_{\text{bck}}) \otimes f_{\text{det}}$ 
  - $f_{\text{th}}$  → theoretical spectrum
  - $f_{\text{pup}} \propto f_{\text{th}} \otimes f_{\text{th}}$  → pile-up spectrum
  - $f_{\text{bck}}$  → background spectrum
  - $f_{\text{det}}$  → detector response function



Free fit parameters:

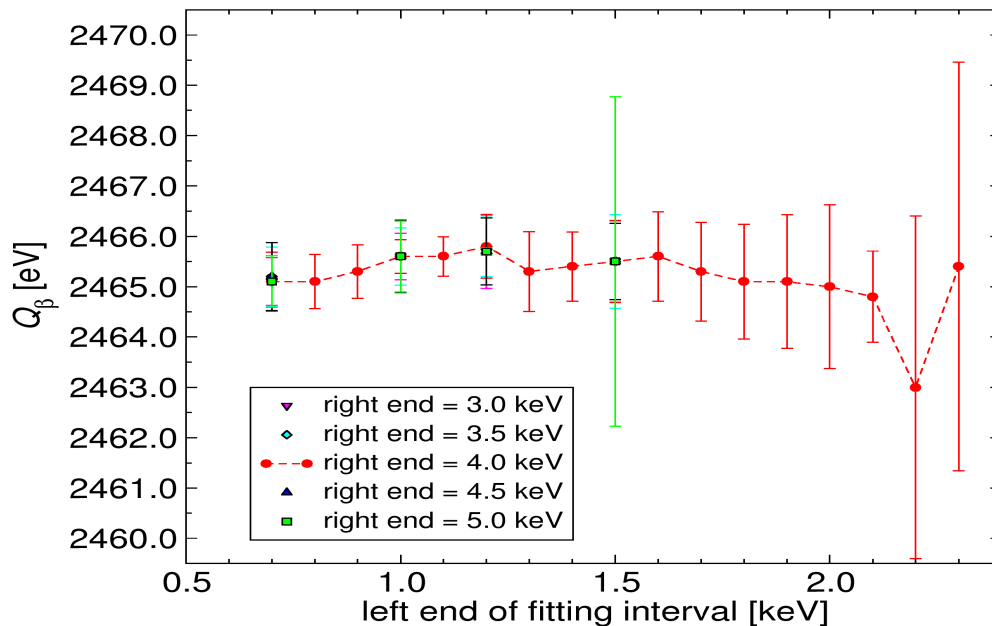
- $\beta$  end-point
- $m_v^2$
- $\beta$  spectrum normalization
- pile-up spectrum normalization
- background level

# $^{187}\text{Re}$ end-point energy

$$Q = 2465.3 \pm 0.5_{\text{stat}} \pm 1.6_{\text{sys}} \text{ eV}$$

Fitting interval:  $0.9 \div 4 \text{ keV}$

→ Most precise value for  $^{187}\text{Re}$



Systematic errors come from uncertainties in:

- ✓ theoretical function for  $^{187}\text{Re}$  decay;
- ✓ detector response function and energy resolution;
- ✓ background shape below the  $\beta$  spectrum.

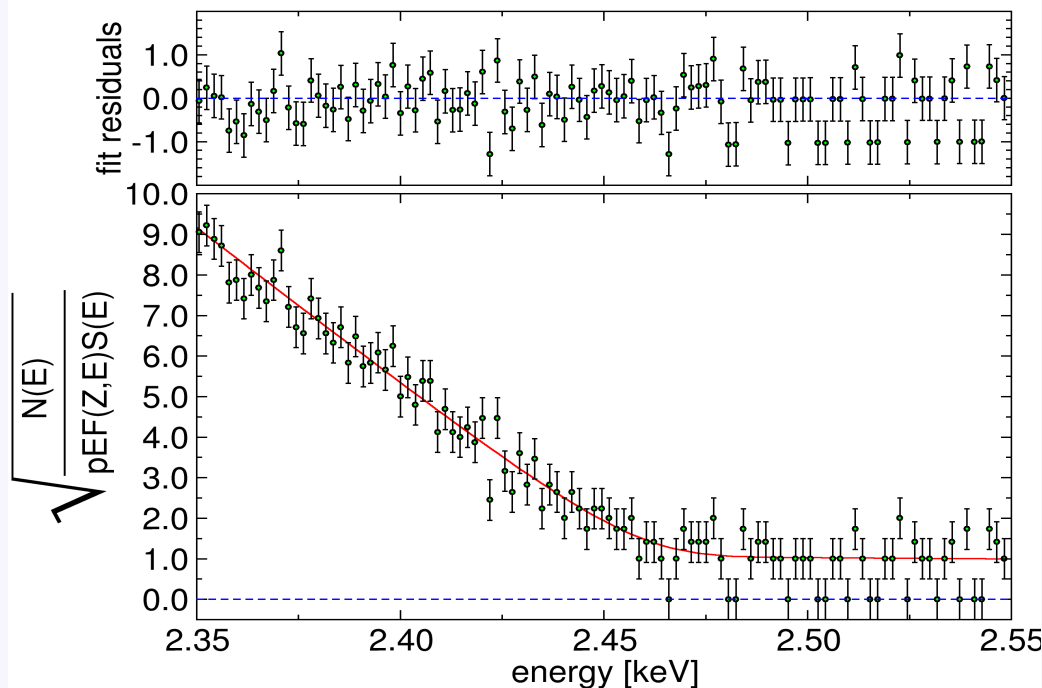
↪ Q value is stable with respect to fitting interval  $\Rightarrow$  good description by fit function F

# Neutrino mass

$$m_\nu^2 = -112 \pm 207_{\text{stat}} \pm 90_{\text{sys}} \text{ eV}^2$$



$$m_\nu < 15 \text{ eV (90 \% C.L.)}$$



Fitting interval:  $0.9 \div 4 \text{ keV}$

- ◆ gaussian response function with  $\Delta E_{\text{FWHM}} = 28.5 \text{ eV}$
- ◆ free constant backg.:  $7 \times 10^{-3} \text{ c/keV/h}$ 
  - ◇  $S/N (Q-30 \text{ eV} \div Q) \sim 12$
- ◆ free pile-up fraction  $f_{\text{pile-up}}: 1.9 \times 10^{-4}$ 
  - ◇  $\chi^2/\text{d.o.f.} = 0.905$

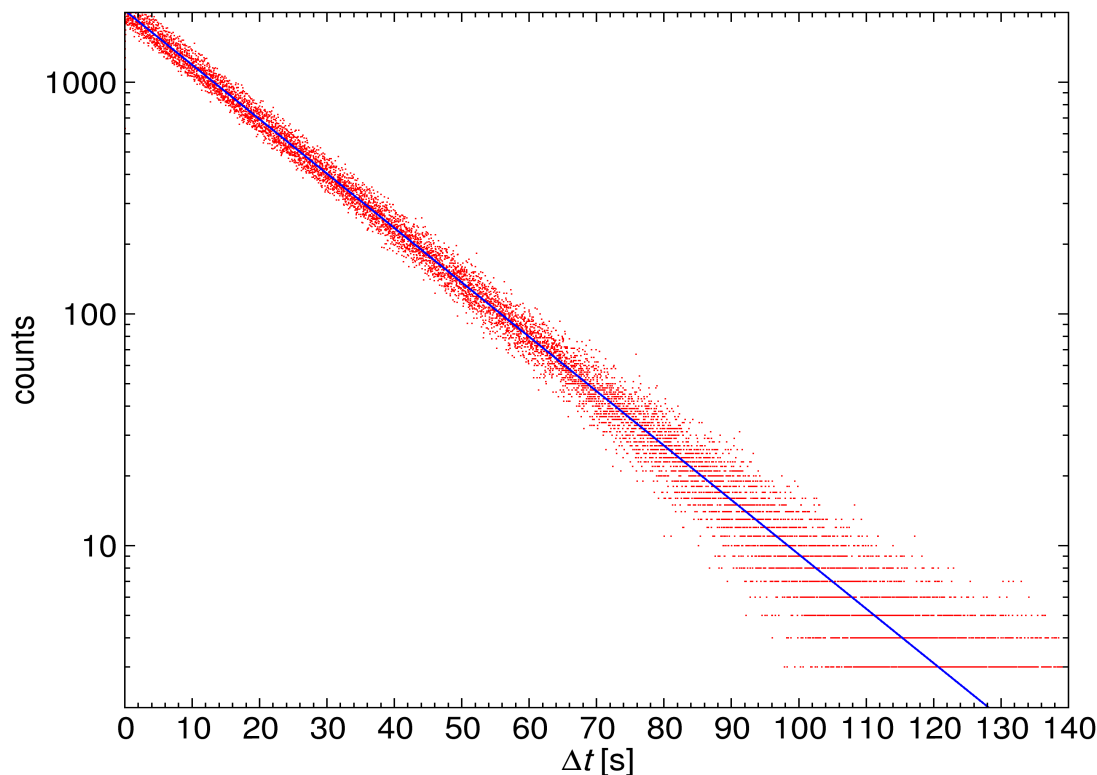
→ same sources of systematics as for the end-point

Preliminary results on a smaller data set in:  
C.Arnaboldi et al., Phys. Rev. Letters 91 (2003) 161802

# $^{187}\text{Re}$ half lifetime

$$\tau_{1/2} = 43.2 \pm 0.2_{\text{stat}} \pm 0.1_{\text{sys}} \text{ Gy}$$

- ◆ from distribution of time intervals  $\Delta t$  between  $^{187}\text{Re}$   $\beta$  decays
- ◆ statistical error mainly from uncertainties in the masses
- ◆ systematic error mainly from uncertainties in the pile-up discrimination
  - ✧ most precise published value; especially relevant for geochronology



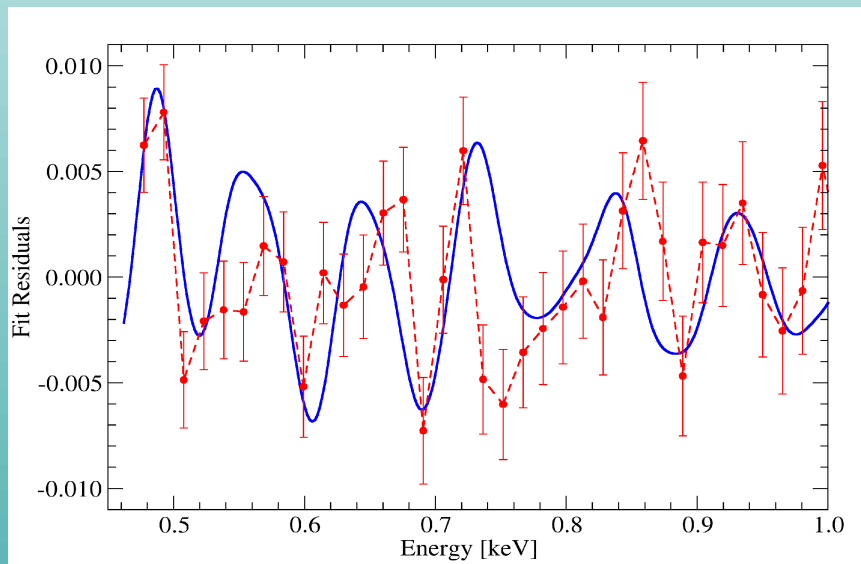
$$N(\Delta t) = A e^{-r \Delta t}$$

$r \equiv \beta$  decay rate  
 $r \propto 1 / \tau_{1/2}$

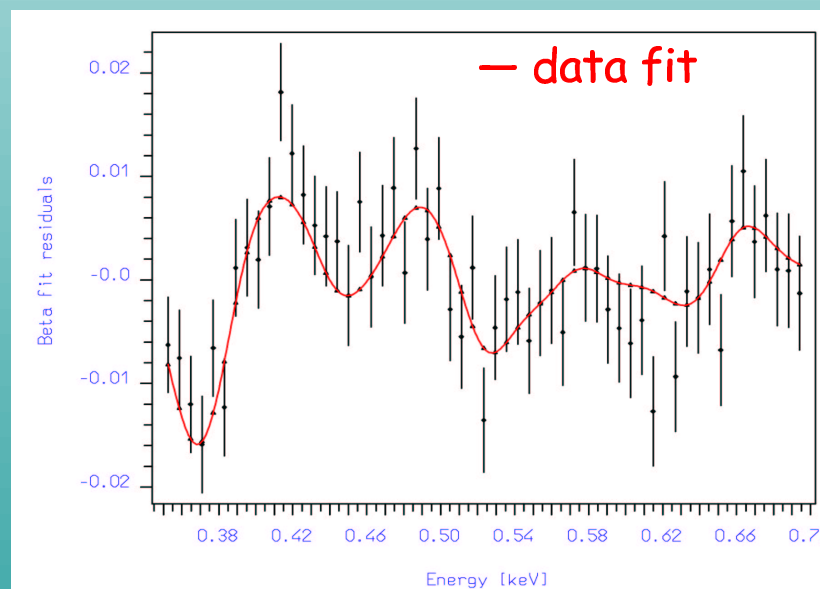
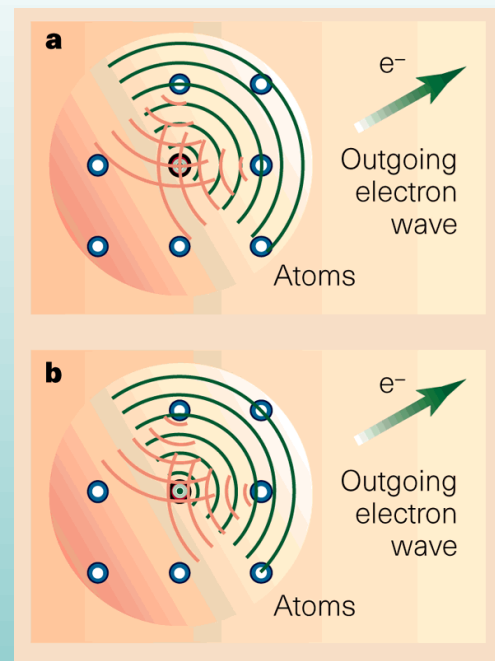
# Beta Environmental Fine Structure (BEFS)

Modulation of the electron emission probability due to the atomic and molecular surrounding of the decaying nucleus: it is explained by the wave structure of the electron

## BEFS experimental evidence in $\text{AgReO}_4$



↪ analysis still in progress ...



# Future strategy

an experiment for the measurement of  $m_{\bar{\nu}_e}$  with an approach other than the spectrometric one is important

phase I: experiment that reaches a sensitivity of  $\approx 2 \text{ eV}$  before the end of Katrin experiment

phase 2: experiment with a sensitivity similar to that of Katrin  $\approx 0.3 \text{ eV}$

|              | 2004 | 2005 | 2006 | 2007   | 2008 | 2009   | 2010 | 2011 | 2012 | 2013 | 2014 |
|--------------|------|------|------|--------|------|--------|------|------|------|------|------|
| Katrin       |      |      |      | 0.3 eV |      |        |      |      |      |      |      |
| phase I      |      |      |      | 2 eV   |      |        |      |      |      |      |      |
| phase II (?) |      |      |      |        |      | 0.3 eV |      |      |      |      |      |

phase I is needed:

- because it's the only possible one with present technology
- to check systematics

$$\Sigma(m_{\bar{\nu}_e}) \propto \sqrt[4]{\frac{E_0^3 \Delta E}{A_\beta t_M}} \propto \sqrt[4]{\frac{1}{N_{\text{eventi}}}}$$

# $m_\nu$ sensitivity estimation by Montecarlo method

## Simulation inputs

- ▷  $N_{ev} = N_{det} \times t_M \times A_\beta$  total number of events
  - ▼  $N_{det}$  number of detectors
  - ▼  $t_M$  measuring time
  - ▼  $A_\beta$   $^{187}\text{Re}$  activity for single detector
- ▷  $f_{pile-up} \approx \Delta t \times A_\beta$  pile-up event fraction
  - ▼  $\Delta t \approx 3\tau_{rise}$  time resolution for pile-up identification
- ▷  $g(E)$ : gaussian energy resolution function
  - ▼  $\Delta E$  FWHM detector energy resolution

experiment

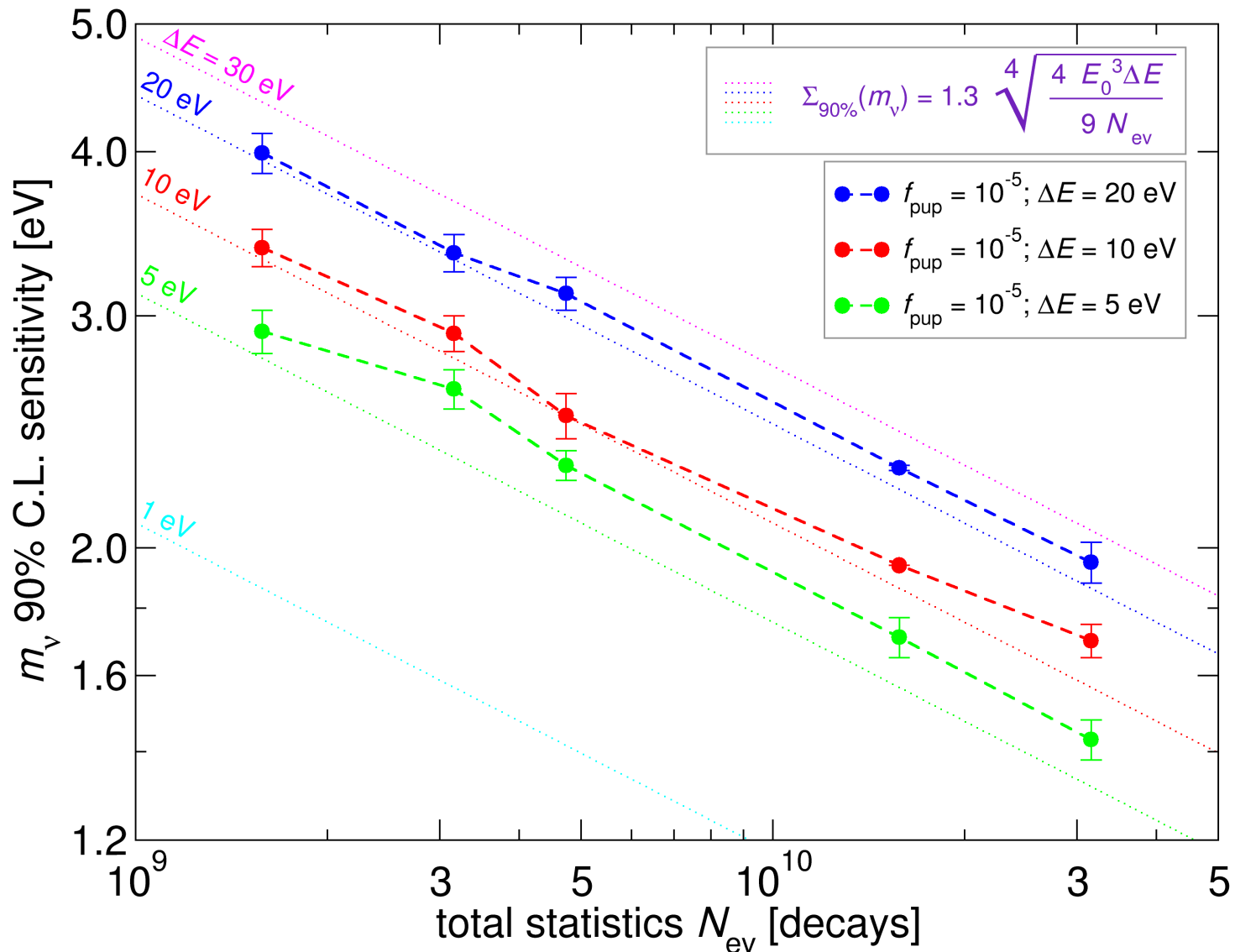
|                                                 |      |
|-------------------------------------------------|------|
| $N_{det}$                                       | 8    |
| $t_M$ [y]                                       | 0.59 |
| $\langle A_\beta \rangle$ [dec/s]               | 0.15 |
| $\langle m_{AgReO_4} \rangle$ [ $\mu\text{g}$ ] | 271  |
| $N_{ev}$ [ $\times 10^6$ ]                      | 16.7 |
| $\langle \tau_{rise} \rangle$ [ $\mu\text{s}$ ] | 490  |
| $\langle \Delta E \rangle$ [eV]                 | 28.5 |
| $\langle b \rangle$ [c/keV/det]                 | 26.3 |
| $m_\nu$ 90% CL limit [eV]                       | 15   |



|                            |                    |
|----------------------------|--------------------|
| $N_{ev}$ [ $\times 10^6$ ] | 17                 |
| $f_{pile-up}$              | $2 \times 10^{-4}$ |
| $\Delta E$ [eV]            | 29                 |
| $b$ [c/keV]                | 210                |
| $m_\nu$ 90% CL limit [eV]  | $16 \pm 1$         |

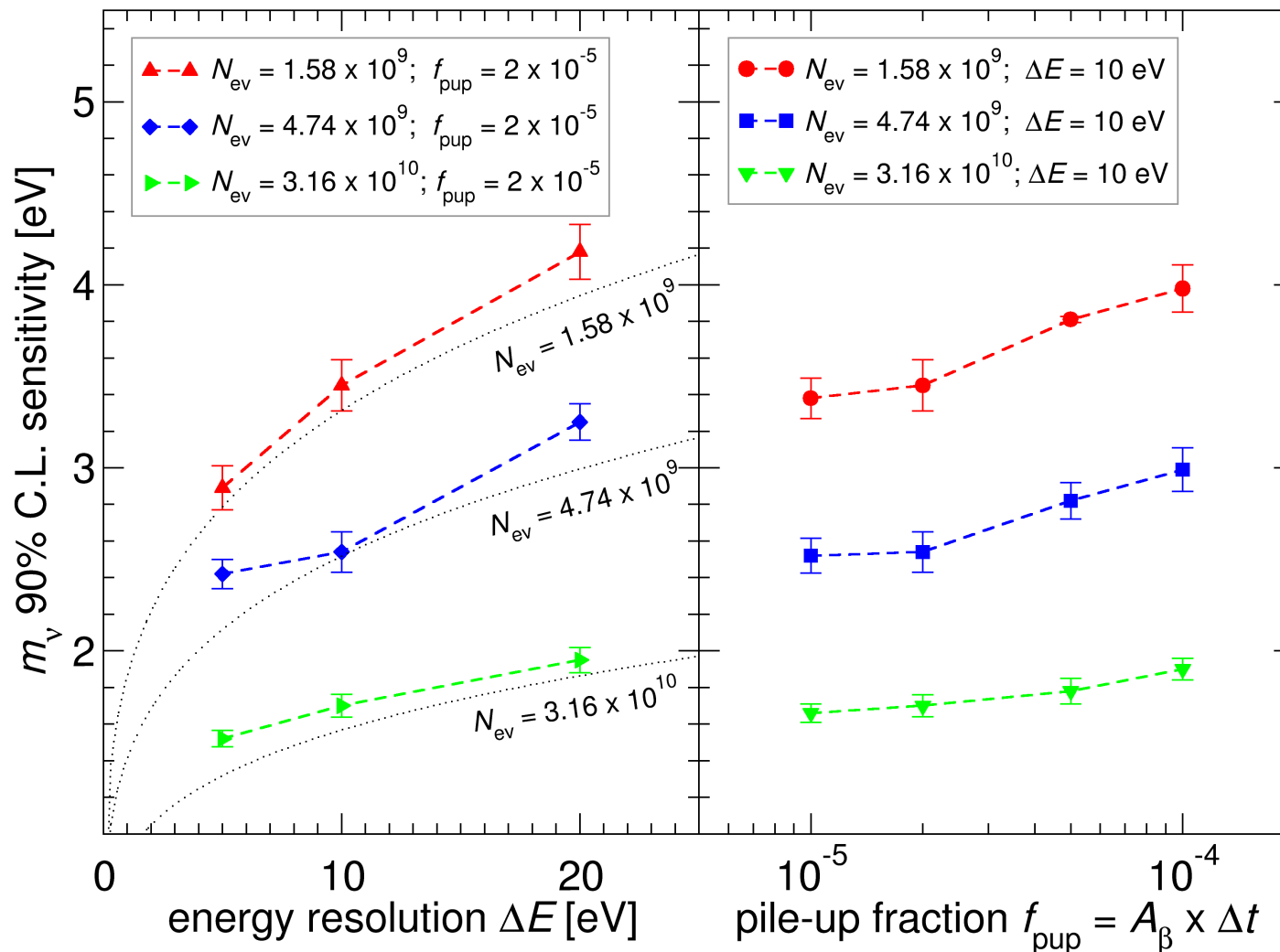
MC simulation

# $m_\nu$ sensitivity vs. statistics

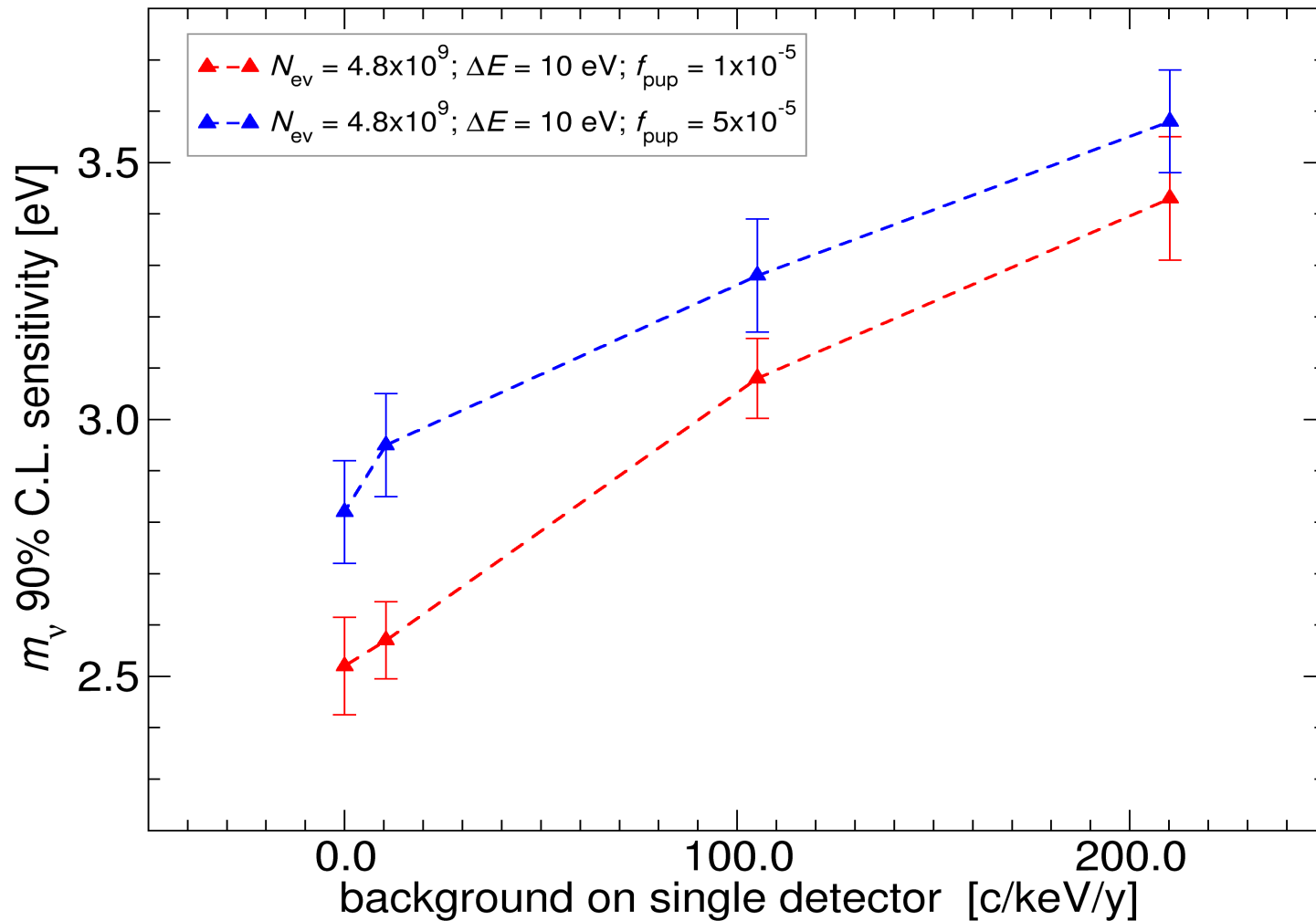


# $m_\nu$ sensitivity vs. energy resolution

# $m_\nu$ sensitivity vs. time resolution



# $m_\nu$ sensitivity vs. background



# Phase I: experimental configuration

| Montecarlo input parameters          |                                              |                    | 90% CL sensitivity | Possible experimental configurations |              |                                      |                                                 |
|--------------------------------------|----------------------------------------------|--------------------|--------------------|--------------------------------------|--------------|--------------------------------------|-------------------------------------------------|
| $N_{\text{ev}}$<br>[ $\times 10^9$ ] | $f_{\text{pile-up}}$<br>[ $\times 10^{-5}$ ] | $\Delta E$<br>[eV] | $m_\nu$<br>[eV]    | $N_{\text{det}}$                     | $t_M$<br>[y] | $\langle A_\beta \rangle$<br>[dec/s] | $\langle \Delta t \rangle$<br>[ $\mu\text{s}$ ] |
| 1.4                                  | 2.0                                          | 10                 | 3.5                | 100                                  | 2            | 0.20                                 | 100                                             |
| 3.2                                  | 2.5                                          | 10                 | 3.0                | 200                                  | 2            | 0.25                                 | 100                                             |
| 4.7                                  | 2.5                                          | 10                 | 2.5                | 200                                  | 3            | 0.25                                 | 100                                             |

our present  $\mu$ calorimeters

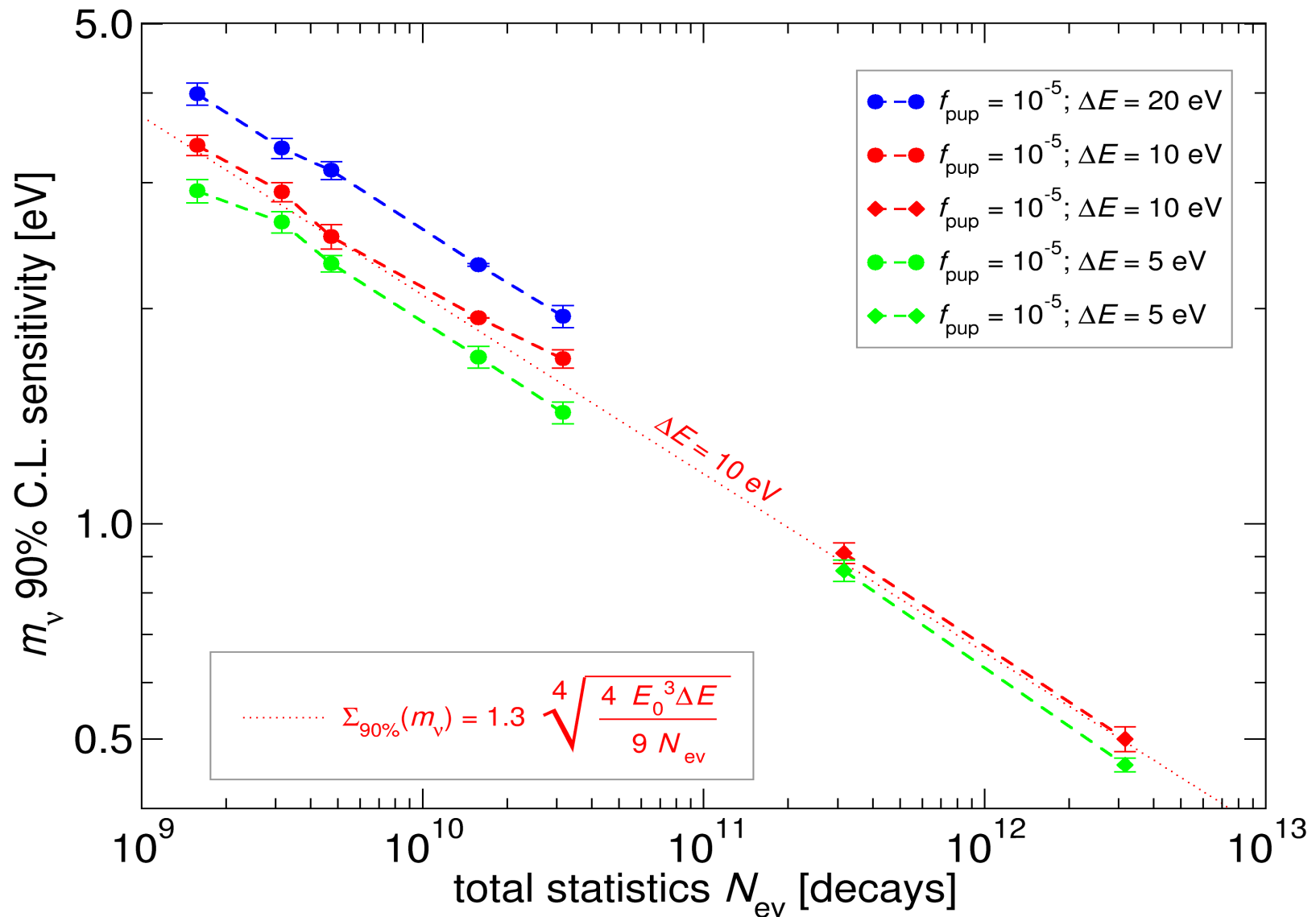
- $m_{\text{AgReO}_4} = 250 \div 285 \mu\text{g}$ 
  - ▶  $\langle m_{\text{AgReO}_4} \rangle = 270 \mu\text{g}$
  - ▶  $\langle A_\beta \rangle = 0.15 \text{ Hz}$
- $\tau_R = 340 \div 670 \mu\text{s}$ 
  - ▶  $\langle \tau_R \rangle = 490 \mu\text{s}$
- $\langle f_{\text{pup}} \rangle = \langle \Delta\tau \cdot A_\beta \rangle = 2 \times 10^{-4}$ 
  - ▶  $\Delta\tau \approx 3 \cdot \tau_R \approx 1 \div 2 \text{ ms}$
- $\Delta E_{\text{FWHM}} = 24 \div 34 \text{ eV}$ 
  - ▶  $\langle \Delta E_{\text{FWHM}} \rangle = 28 \text{ eV}$



required  $\mu$ calorimeters

- $A_\beta = 0.25 \text{ Hz}$ 
  - ▶  $m_{\text{AgReO}_4} = 460 \mu\text{g}$
- $\langle f_{\text{pup}} \rangle = \langle \Delta\tau \cdot A_\beta \rangle = 2.5 \times 10^{-5}$ 
  - ▶  $\Delta\tau \approx 3 \cdot \tau_R = 100 \mu\text{s}$
- $\Delta E_{\text{FWHM}} = 10 \text{ eV}$

# Phase II: $m_\nu$ sensitivity vs. statistics



# Phase II: possible experimental configurations

| Montecarlo input parameters |                                       |                 | 90% CL sensitivity | Possible experimental configurations |           |                                   |                                              |
|-----------------------------|---------------------------------------|-----------------|--------------------|--------------------------------------|-----------|-----------------------------------|----------------------------------------------|
| $N_{\text{ev}}$             | $f_{\text{pile-up}} [\times 10^{-4}]$ | $\Delta E$ [eV] | $m_\nu$ [eV]       | $N_{\text{det}}$                     | $t_M$ [y] | $\langle A_\beta \rangle$ [dec/s] | $\langle \Delta t \rangle$ [ $\mu\text{s}$ ] |
| $3.2 \times 10^{10}$        | 1.0                                   | 5               | 1.7                | 500                                  | 2         | 1                                 | 100                                          |
| $3.2 \times 10^{12}$        | 1.0                                   | 5               | 0.5                | 1000                                 | 10        | 10                                | 10                                           |
| $3.2 \times 10^{13}$        | 1.0                                   | 5               | 0.3                | 1000                                 | 10        | 100                               | 1                                            |

- goal: be an alternative to the KATRIN experiment
- conditions to meet to go for phase II:
  - ▷ phase I must be successful
    - ▼ no unexpected source of systematics
  - ▷ a very large collaboration is needed
  - ▷ really innovative techniques are required
- phase II results would come much later than the KATRIN experiment
  - ▷ it must be also considered that KATRIN could fail

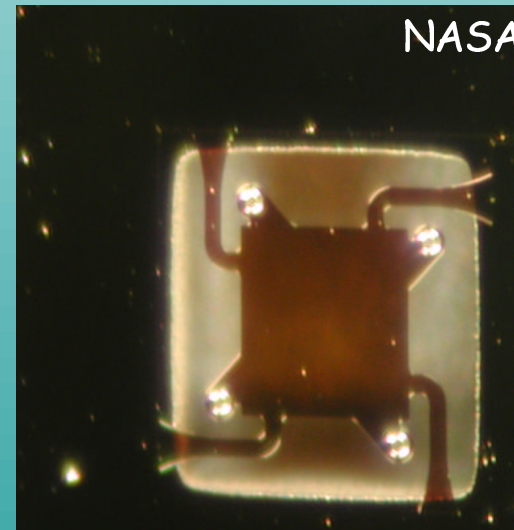
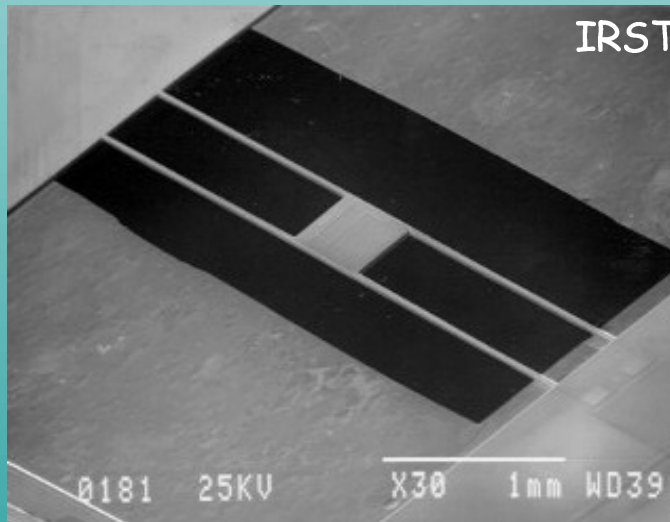
## Conclusions

- ✓ Bolometric detectors, even if relatively young, are giving interesting and competitive scientific results (e.g. double beta decay, dark matter, etc.)
- ✓ Calorimetric technique for the direct measurement of the neutrino mass is an appealing complementary approach
- ✓ The Milano neutrino mass experiment with microbolometers has set an upper limit of 15 eV on  $m_\nu$  after less than 1 year of continuous running
- ✓ A new experiment to improve this limit is now starting the R&D phase

more info at <http://crio.mib.infn.it/wig>

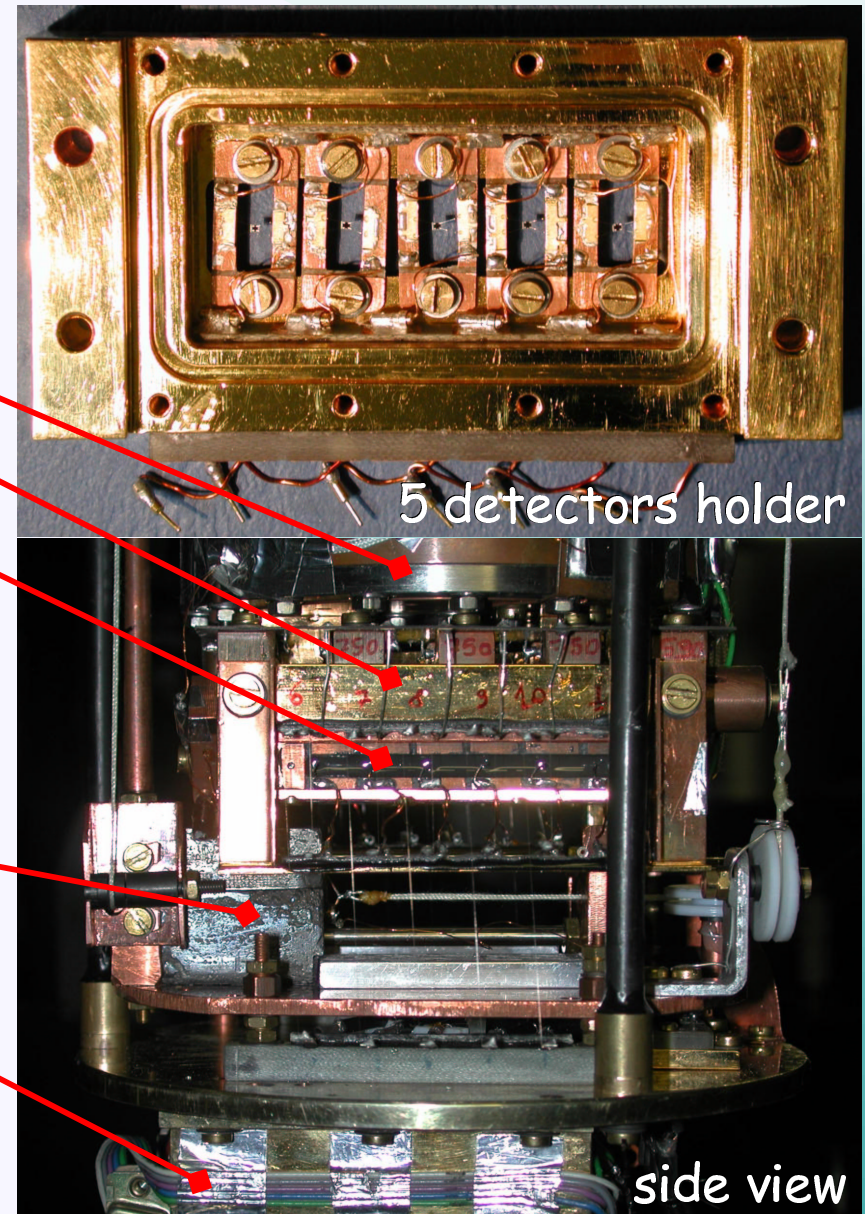
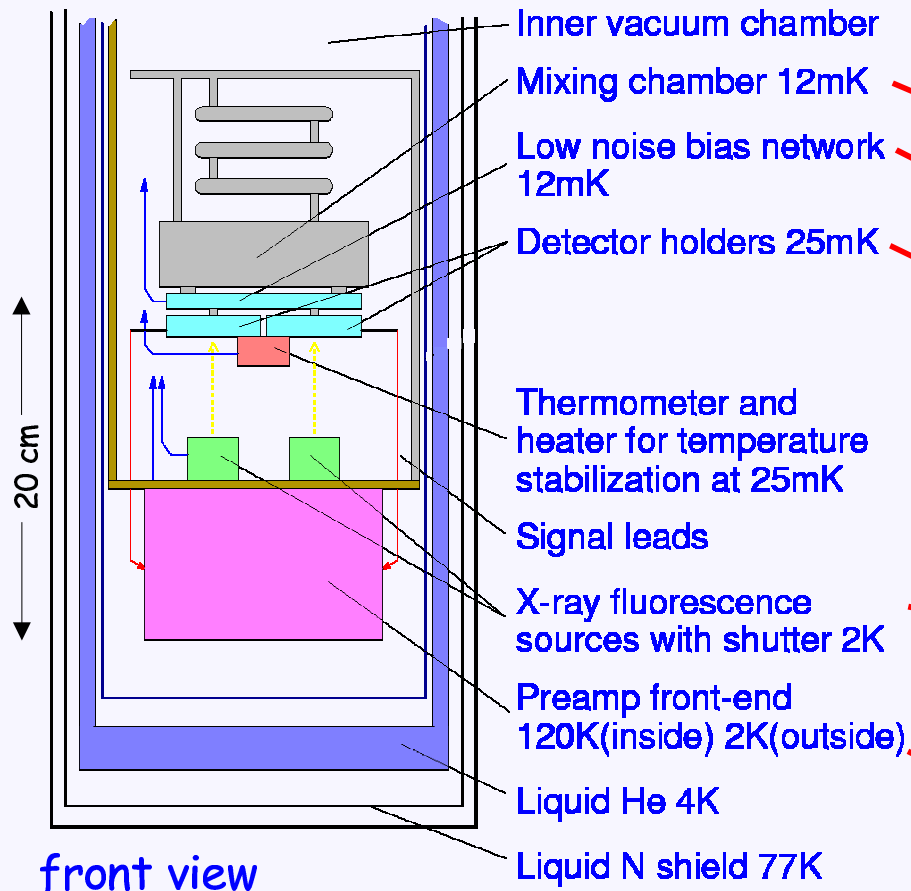
# Phase I R&D

- apply and improve silicon micromachined devices (collaboration with **IRST** and **NASA/Wisconsin** groups)
- optimize implant doping and geometry
- improve  $\text{AgReO}_4$  crystal quality
- optimize and standardize crystal-thermistor coupling
- improve electronic cryogenic front-end with new JFETs and new design
- develop new gain stabilization techniques
  - ▶ 200 hundred microcalorimeters array
  - ▶ single detector absorber mass increased to  $450 \mu\text{g}$
  - ▶ energy resolution  $\Delta E_{\text{FWHM}}$  improved to  $10 \div 15 \text{ eV}$
  - ▶ time resolution  $\Delta\tau$  improved to  $0.2 \div 0.1 \text{ ms}$

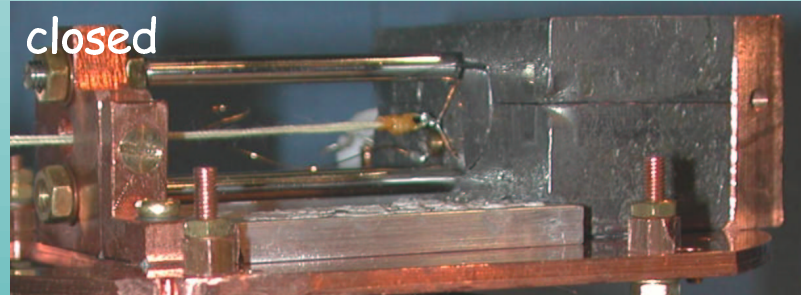
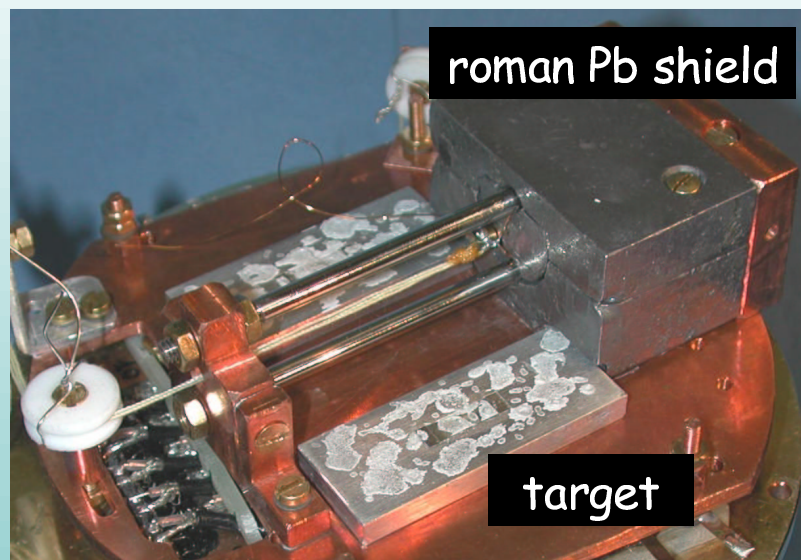


# Experimental set-up

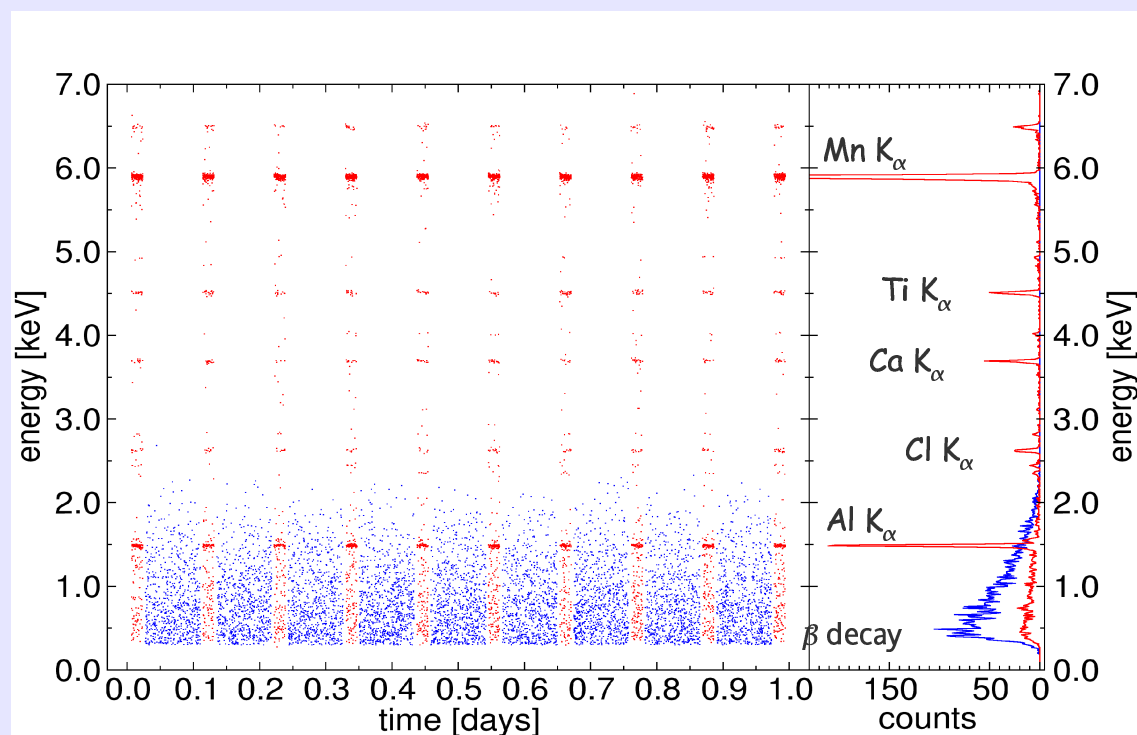
## Dilution refrigerator set-up for 10 detector experiment



# X-ray fluorescence source for periodic calibration

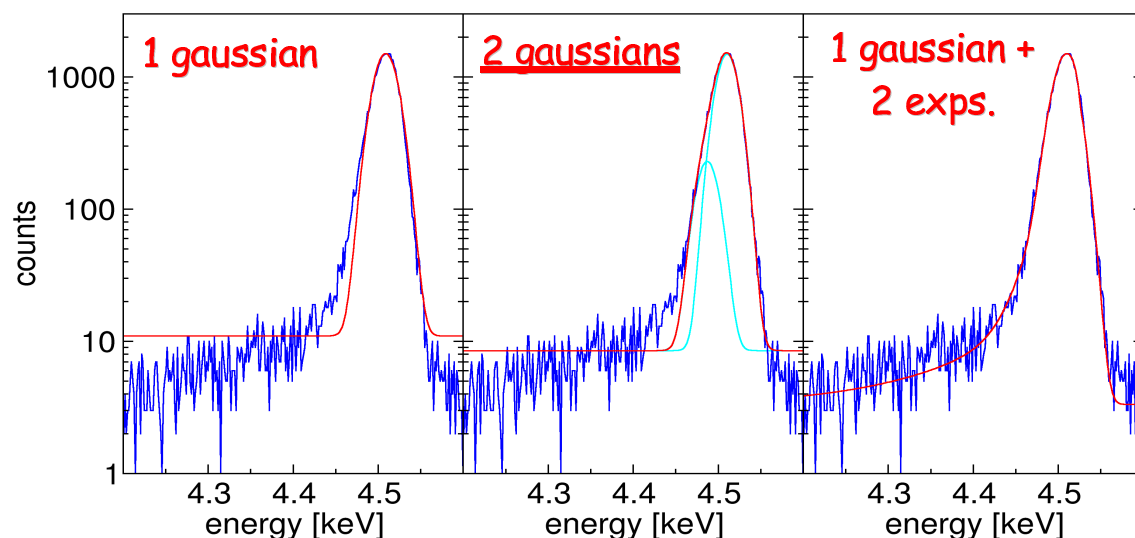


- remotely controlled for continuous stability control  
→ 1 cycle: 25 minutes open, 2 hours closed
- primary sources:  $2 \times ^{55}\text{Fe}$  5 mCi
- roman lead to shield  $^{55}\text{Fe}$  Inner-Bremsstrahlung
- fluorescence target:
  - Al  $K_{\alpha}$  → 1.5 keV
  - Ca  $K_{\alpha}$  → 3.7 keV
  - Cl  $K_{\alpha}$  → 2.6 keV
  - Ti  $K_{\alpha}$  → 4.5 keV



# Detector response function

- are the response functions for X-rays and for  $\beta$ s from  $^{187}\text{Re}$  decay the



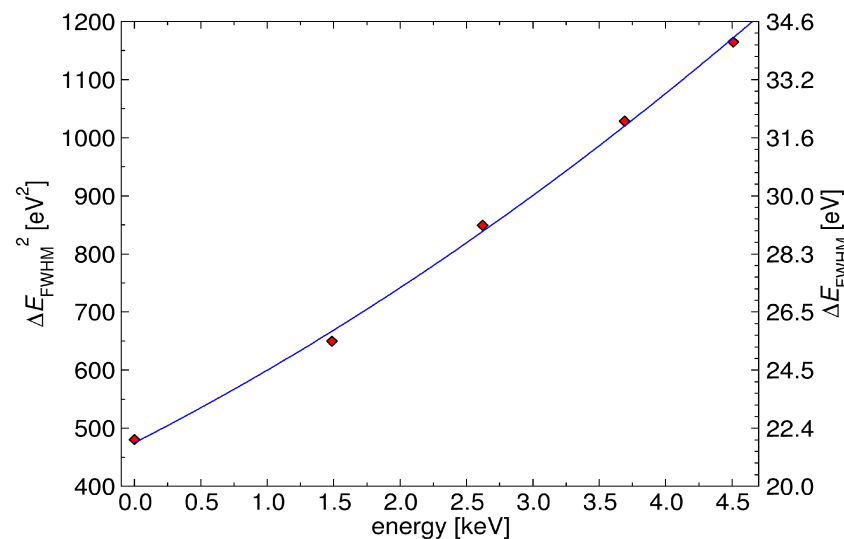
◆  $\lambda(6 \text{ keV}) \approx 3 \mu\text{m}$

◆ Absorber dimension  $\approx 300 \mu\text{m}$

Ti  $K_{\alpha}$  line:  $E = 4.511 \text{ keV}$

- Energy resolution depends on energy

$\Delta E_{\text{FWHM}} = 28.5 \text{ eV}$   
at  $E = 2.466 \text{ keV}$   
( $^{187}\text{Re}$   $\beta$  end-point)



# "Internal" calibration of $\text{AgReO}_4$ detectors

- Escape peaks allow internal calibration
  - ▷  $\lambda(6 \text{ keV}) \approx 3 \text{ } \mu\text{m}$
  - ▷  $\lambda(70 \text{ keV}) \approx 400 \text{ } \mu\text{m}$
- Escape peaks following incident X-rays are intrinsically broad  $\Rightarrow$  seen in previous high statistic measurement with Pb-Re K-K escapes
  - ▷  $\Gamma_{\text{escape KK}} = \Gamma_{\text{PbK}} + \Gamma_{\text{ReK}} \approx 60.2 \text{ eV} + 42.1 \text{ eV} = 102 \text{ eV}$

## Internal calibration with $^{44}\text{Ti}$

$\rightarrow \gamma$  rays @ 78.4 keV  
 $\Rightarrow$  no intrinsic broadening

Re K-edge @ 71.7 keV

$\gamma$ -X escape peaks have only ReK intrinsic width ( $\sim 42 \text{ eV}$ )

