

The CUORE Collaboration

*J. Beeman*¹, *M. Dolinski*¹, *T.D. Gutierrez*¹, *E.E. Haller*^{1,2}, *R. Maruyama*¹, *B. Quiter*¹, *A.R. Smith*¹ and *N. Xu*¹

¹Lawrence Berkeley National Laboratory

²University of California, Berkeley CA 94720, USA

A. Giuliani, M. Pedretti and S. Sangiorgio

Dipartimento di Fisica e Matematica dell'Università dell'Insubria e Sezione di Milano dell' INFN, Como I-22100, Italy

M. Barucci, E. Olivieri, L. Risegari, and G. Ventura

Dipartimento di Fisica dell' Università di Firenze e Sezione di Firenze dell' INFN, Firenze I-50125, Italy

M. Balata, C. Bucci, and S. Nisi

Laboratori Nazionali del Gran Sasso, I-67010, Assergi (L'Aquila), Italy

V. Palmieri

Laboratori Nazionali di Legnaro, Via Romea 4, I-35020 Legnaro (Padova)

A. de Waard

Kamerling Onnes Laboratory, Leiden University, 2300 RAQ Leiden

E.B. Norman

Lawrence Livermore National Laboratory, Livermore, California, 94550, USA

C. Arnaboldi, C. Brofferio, S. Capelli, F. Capozzi, L. Carbone, M. Clemenza, O. Cremonesi, E. Fiorini, C. Nones, A. Nucciotti, M. Pavan, G. Pessina, S. Pirro, E. Previtali, M. Sisti, L. Torres and L. Zanotti

Dipartimento di Fisica dell'Università di Milano-Bicocca e Sezione di Milano dell'INFN, Milano I-20126, Italy

R. Ardito, G. Maier

Dipartimento di Ingegneria Strutturale del Politecnico di Milano, Milano I-20133, Italy

E. Guardincerri, P. Ottonello and M. Pallavicini

Dipartimento di Fisica dell'Università di Genova e Sezione di Genova dell'INFN, Genova I-16146, Italy

D.R. Artusa, F.T. Avignone III, I. Bandac, R.J. Creswick, H.A. Farach, and C. Rosenfeld

Department of Physics and Astronomy, University of South Carolina, Columbia S.C. 29208 USA

S. Cebrian, P. Gorla, I.G. Irastorza

Lab. of Nucl. and High Energy Physics, University of Zaragoza, 50009 Zaragoza, Spain

F. Bellini, C. Cosmelli, I. Dafinei, M. Diemoz, F. Ferroni, C. Gargiulo, E. Longo, S. Morganti

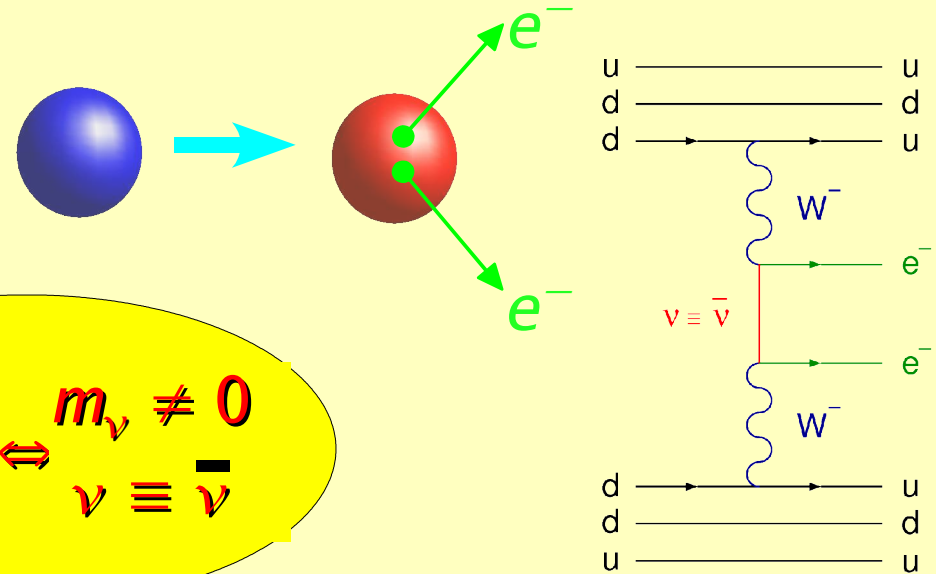
Dipartimento di Fisica dell'Università di Roma e Sezione di Roma 1 dell'INFN, Roma I-16146, Italy

Neutrinoless Double Beta Decay

$\beta\beta-0\nu: (A, Z) \rightarrow (A, Z+2) + 2e^-$

• not allowed in Standard Model:

- ▶ lepton number violation ($\Delta L=2$)
- ▶ Majorana nature of neutrino
- ▶ massive neutrino



$\beta\beta-0\nu \Leftrightarrow m_\nu \neq 0$
 $\nu \equiv \bar{\nu}$

• expected lifetime:

Phase space factor

nuclear matrix element

uncertainties

$$\tau^{-1} = G_{0\nu} |M^{0\nu}|^2 \langle m_\nu \rangle^2$$

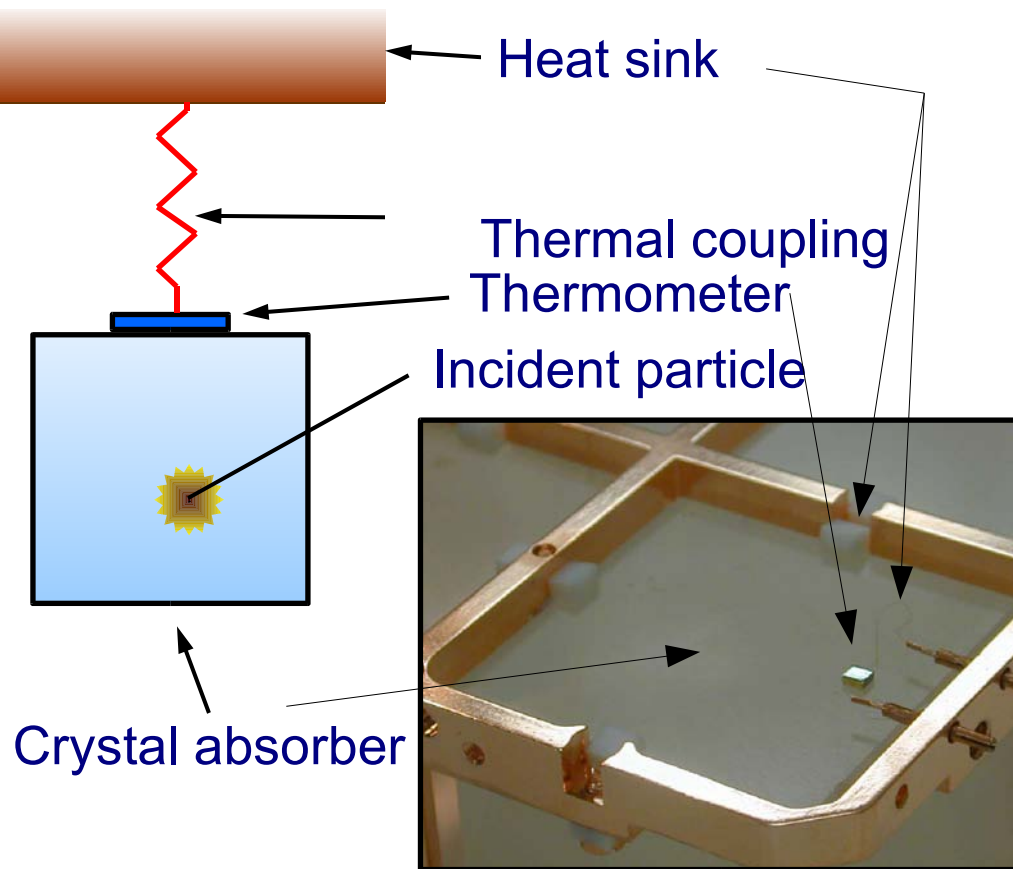
Effective neutrino mass

$$\langle m_\nu \rangle = \sum_k m_{\nu_k} \eta_k |U_{ek}|^2$$

neutrino mixing matrix

➤ constraints on $\langle m_\nu \rangle$ can translate in constraints on m_{\min}

Low Temperature Detectors (LTD)



Detection Principle

- $\Delta T = E/C$
C thermal capacity
 - ↳ low C
 - ↳ low T (i.e. $T \ll 1\text{K}$)
 - ↳ dielectrics, superconductors
- ultimate limit to E resolution: statistical fluctuation of internal energy U
 $\langle \Delta U^2 \rangle = k_B T^2 C$

Thermal Detectors Properties

- ▲ good energy resolution
- ▲ wide choice of absorber materials
- ▲ true calorimeters
- ▼ slow $\tau = C/G \sim 1 \div 10^3$ ms

example: 760 g of TeO_2 @ 10 mK

$$C \sim T^3 \text{ (Debye)} \Rightarrow C \sim 2 \times 10^{-9} \text{ J/K}$$

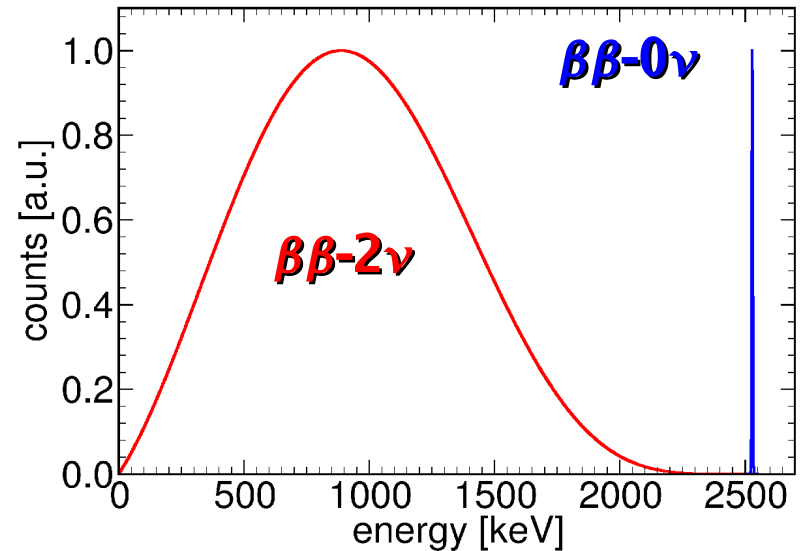
$$1 \text{ MeV } \gamma\text{-ray} \Rightarrow \Delta T \sim 80 \mu\text{K}$$

$$\Rightarrow \Delta U \sim 10 \text{ eV}$$

TeO2 LTD's

Calorimeters

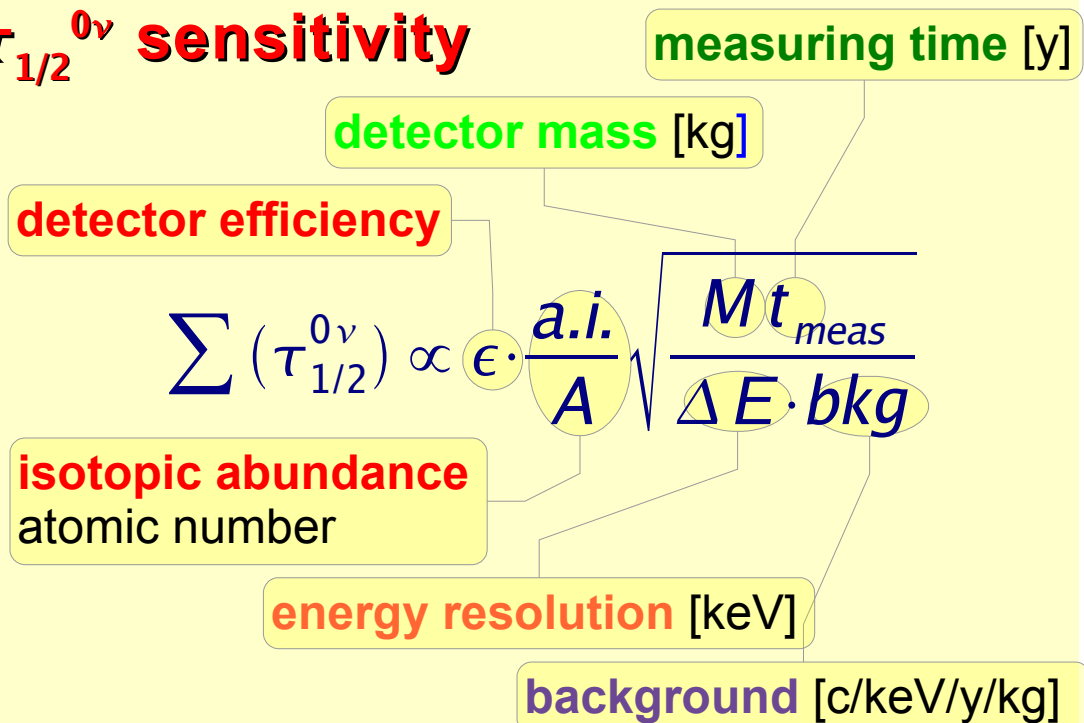
- source \subseteq detector
- ▲ large N_{nuclei}
- ▲ high energy resolution ΔE
- ▲ high efficiency
- measure $E = E_{\beta_1} + E_{\beta_2}$
- signature: a peak at $Q_{\beta\beta}$



TeO₂ thermal calorimeters

- Active isotope ¹³⁰Te
 - ▲ natural abundance: a.i. = 33.9%
 - ▲ transition energy: $Q_{\beta\beta} = 2529$ keV
 - ▲ encouraging predicted half life $\langle m_{\nu} \rangle \approx 0.3$ eV $\Leftrightarrow \tau_{1/2}^{0\nu} \approx 10^{25}$ years
- Absorber material TeO₂
 - ▲ low heat capacity
 - ▲ large crystals available
 - ▲ radiopure

$\tau_{1/2}^{0\nu}$ sensitivity

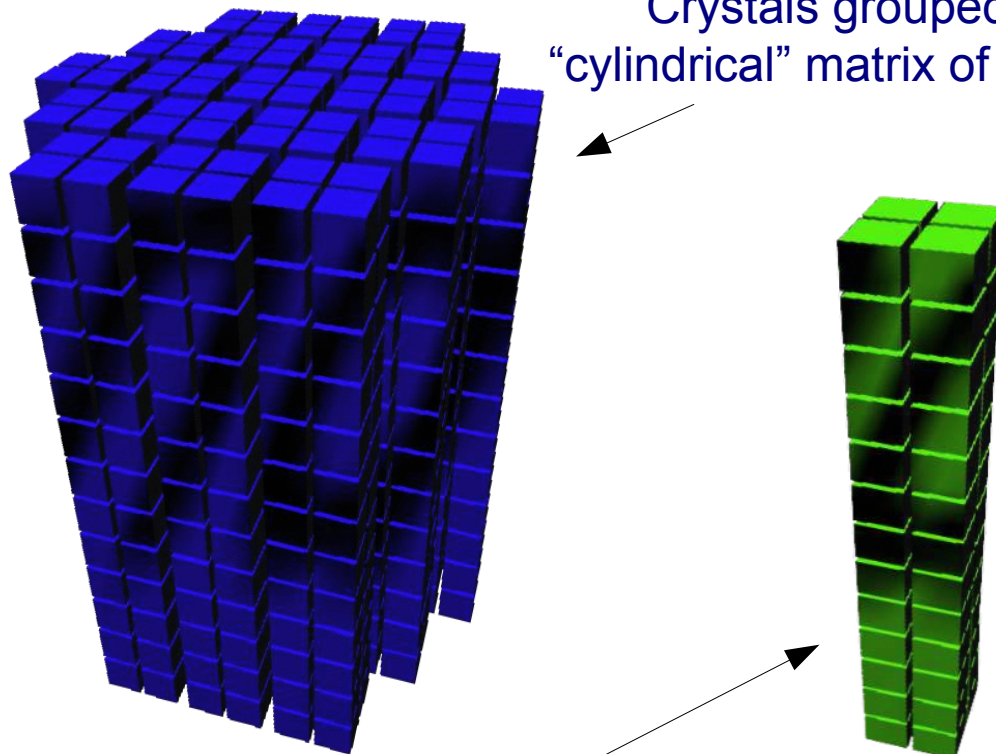


The CUORE project

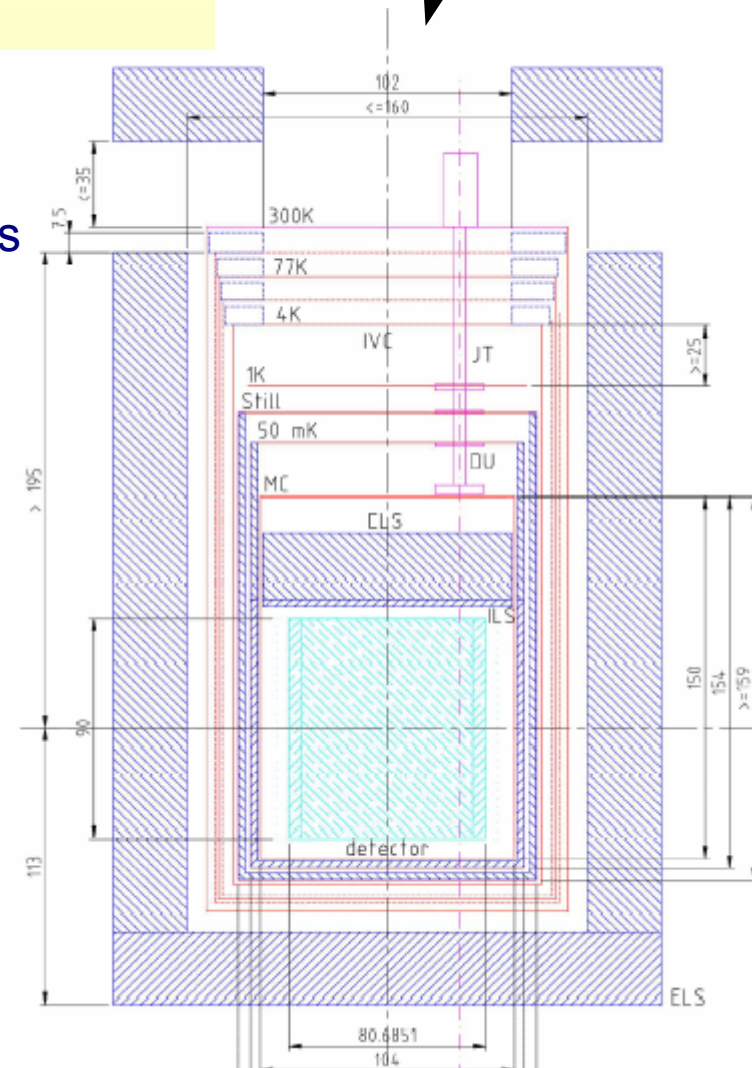
Cryogenic Underground Observatory for Rare Events

- array of 988 TeO₂ crystals 5×5×5 cm³ (750 g)
 - ◇ 741 kg TeO₂ granular calorimeter
 - ◇ 600 kg Te = 203 kg ¹³⁰Te
- $\beta\beta(0\nu)$, Cold Dark Matter, Axions searches

SINGLE HIGH GRANULARITY DETECTOR



single dilution refrigerator (~ 10 mK)



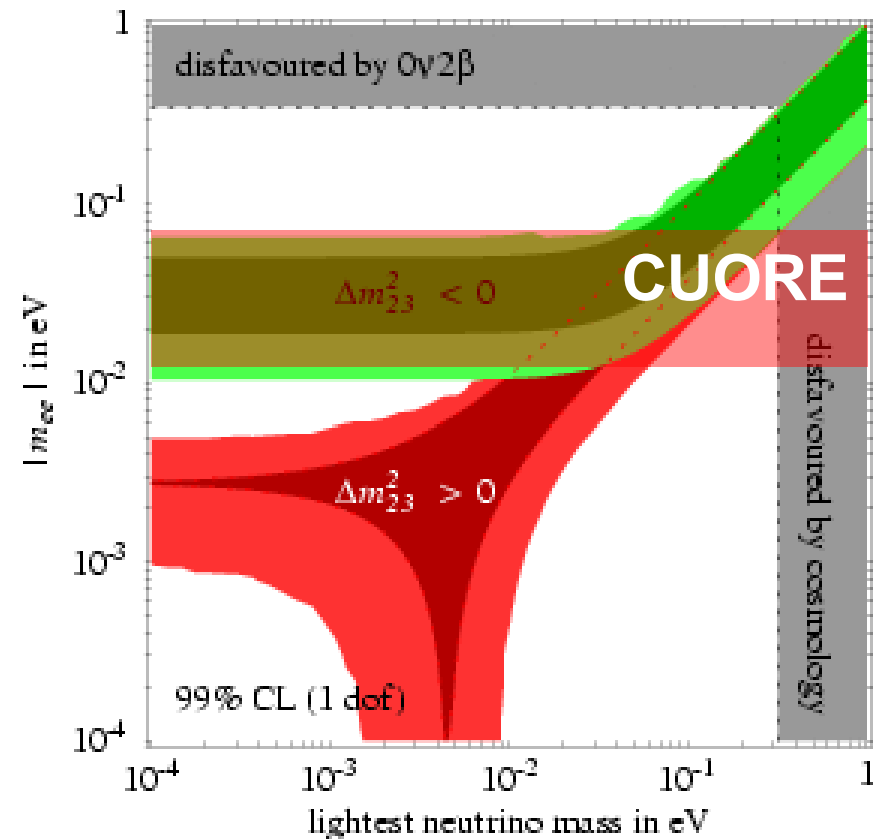
CUORE expected sensitivity

CUORE $\beta\beta(0\nu)$ sensitivity will depend strongly on the background level and detector performance.

In five years:

B(counts/keV/kg/y)	Δ (keV)	$T_{1/2}$ (y)	$ \langle m_\nu \rangle $ (meV)
0.01	10	1.5×10^{26}	23–118
0.01	5	2.1×10^{26}	19–100
0.001	10	4.6×10^{26}	13–67
0.001	5	6.5×10^{26}	11–57

A.Strumia and F.Vissani.: hep-ph/0503246



Spread in $\langle m_\nu \rangle$ from nuclear matrix element uncertainty

CUORICINO

Slightly modified single **CUORE** *tower*

test:

- large mass TeO_2 detectors
- *tower-like structure* of **CUORE** sub-elements
- background origin and reduction techniques

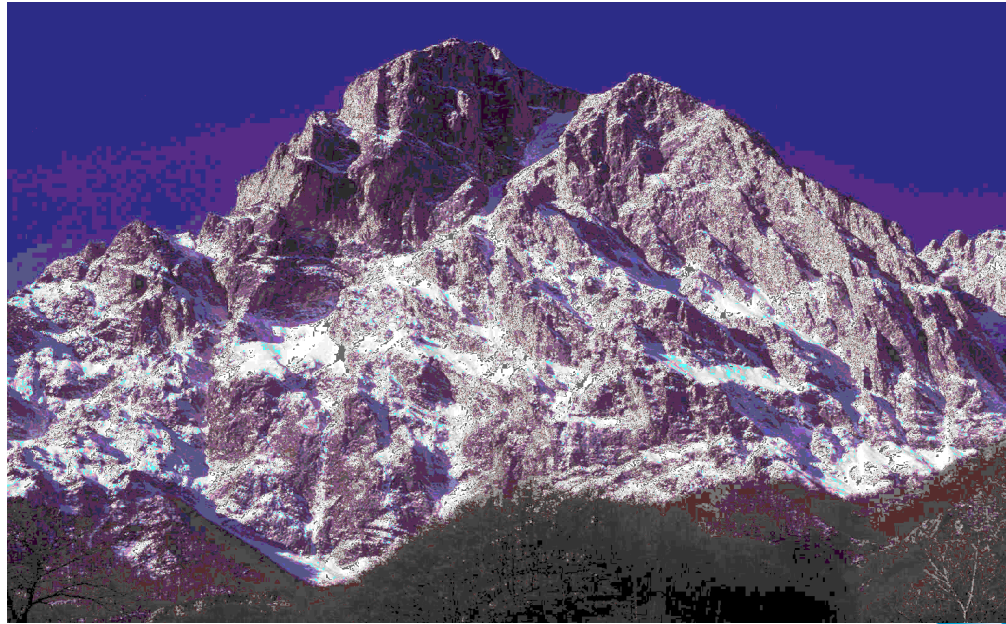
independent experiment:

- ◆ important results on
 - ▶ ^{130}Te Neutrinoless Double Beta Decay
 - ▶ WIMP Dark Matter

Laboratori Nazionali del Gran Sasso, Hall A

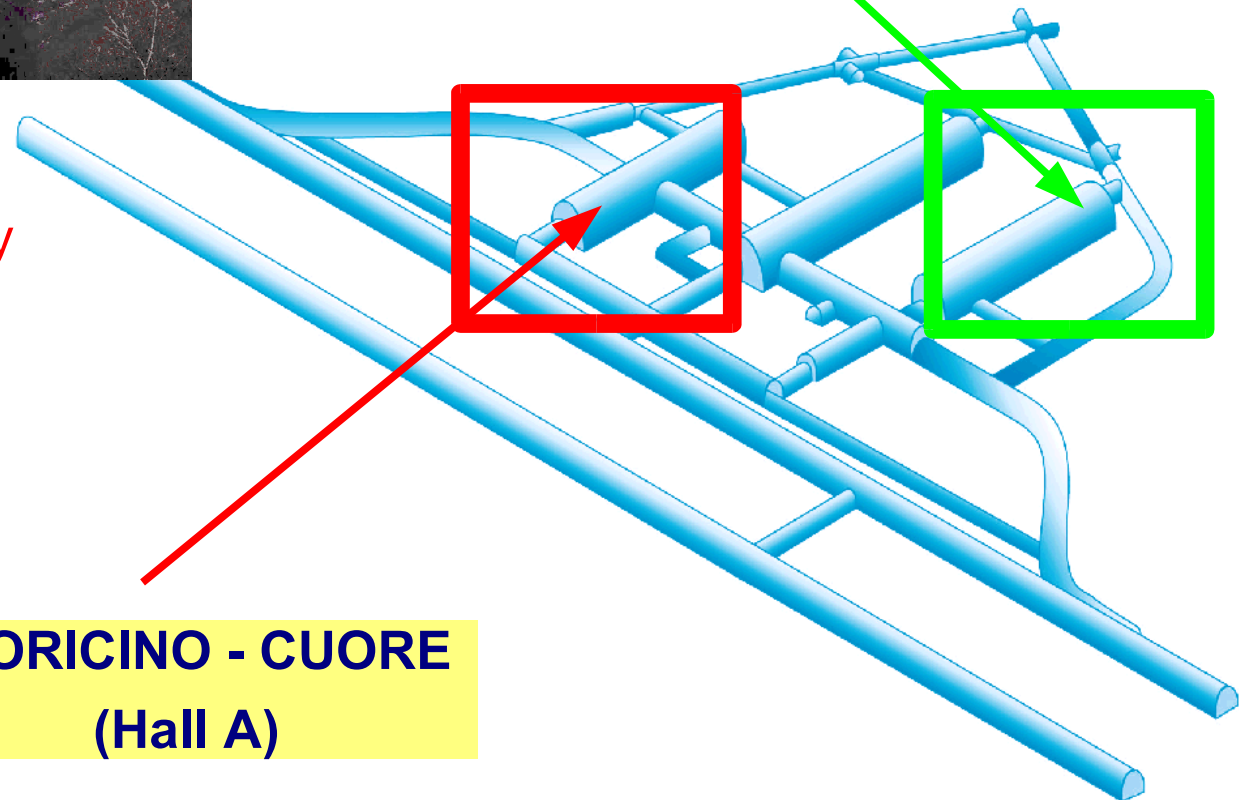
same cryostat which hosted **Mi-DBD 20** crystal array

LNGS LTD Underground Facilities



Underground National Laboratory
of Gran Sasso
L'Aquila – ITALY
3500 m.w.e.

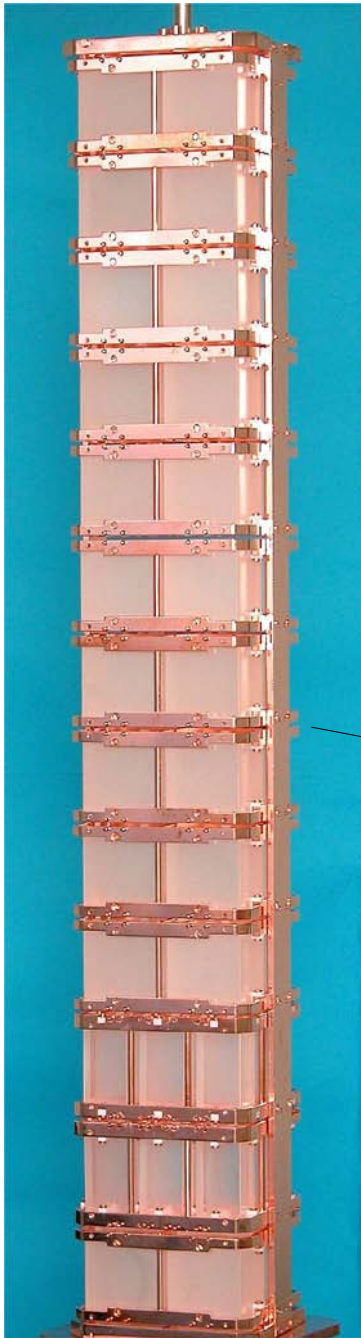
CUORE R&D (Hall C)



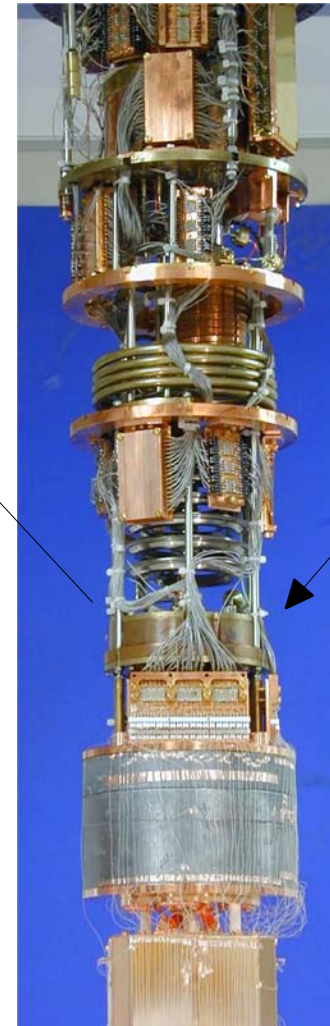
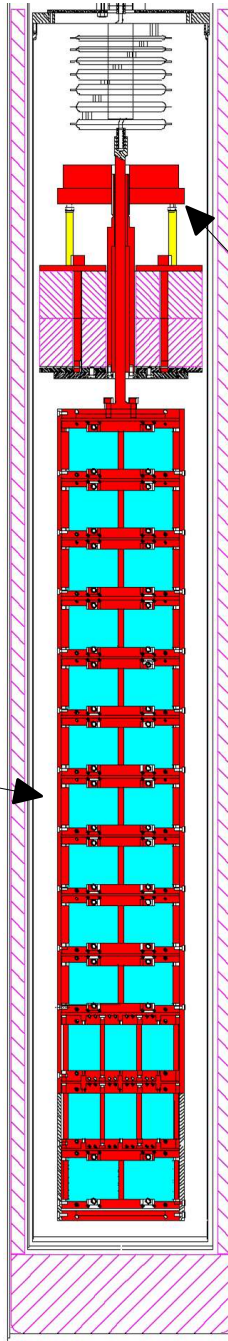
**CUORICINO - CUORE
(Hall A)**

CUORICINO tower

Cuoricino tower: 62 TeO₂ crystals



~85 cm

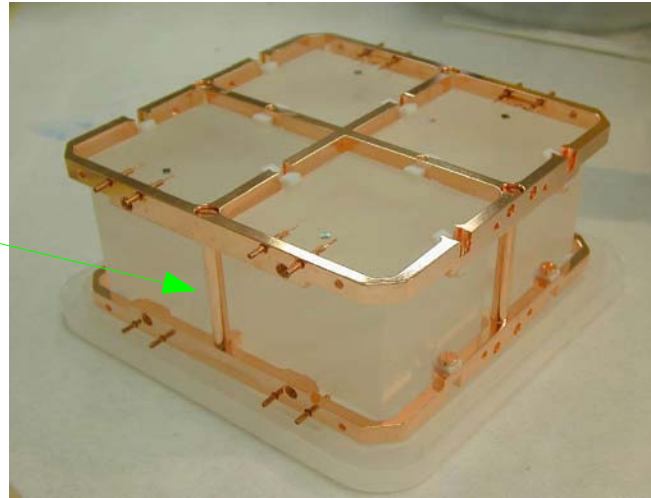
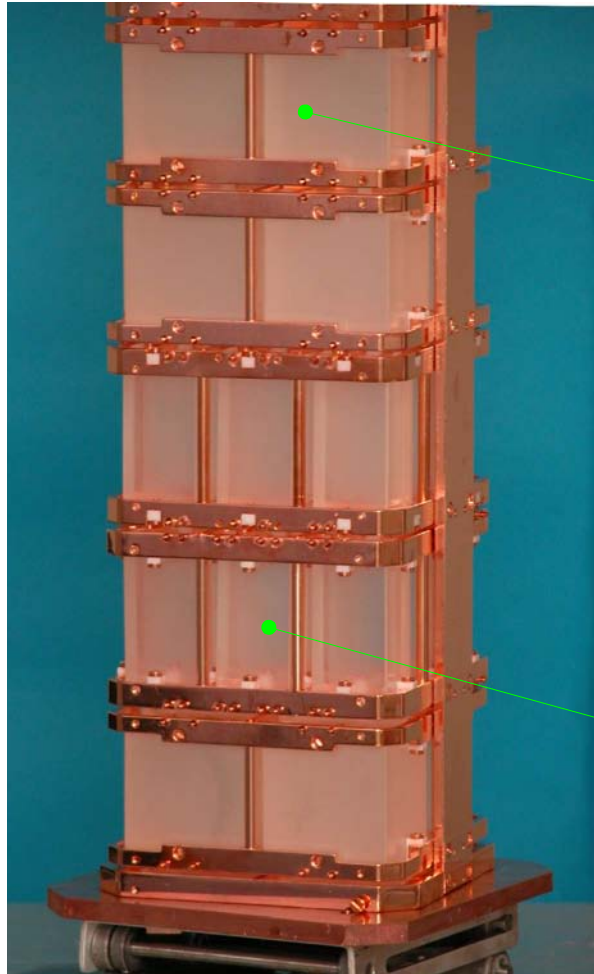


mixing chamber
 $T \approx 6$ mK

roman Pb shielding (1 cm lateral)
external shields:

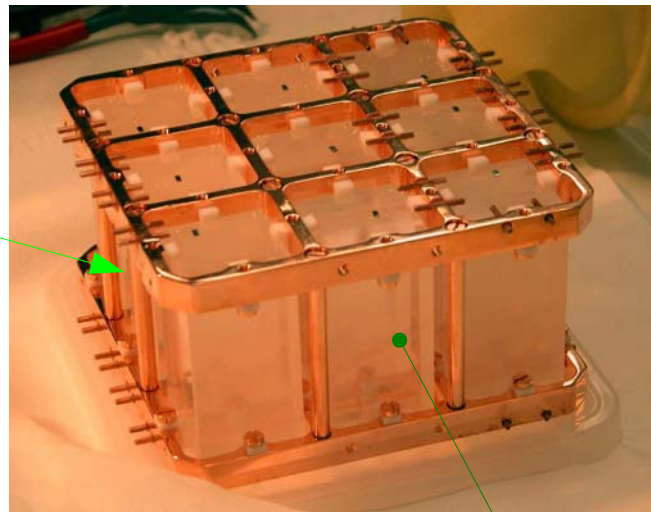
- ◆ 10 cm Pb + 10 cm low act Pb
- ◆ neutron shield: B-polyethylene
- ◆ nitrogen flushed anti-radon box

CUORICINO tower (2)



- 11 modules with 4 *big* detectors
 - ▼ 44 TeO_2 crystals
 - ▼ $5 \times 5 \times 5 \text{ cm}^3 \Rightarrow 790 \text{ g}$
 - ▷ TeO_2 mass $\Rightarrow 34.76 \text{ kg}$

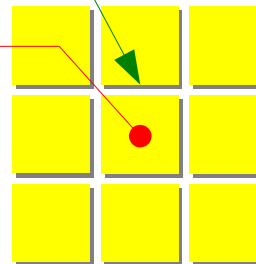
Total number of detectors: 62



- 2 modules with 9 *small* detectors
 - ▼ 18 TeO_2 crystals
 - ▼ $3 \times 3 \times 6 \text{ cm}^3 \Rightarrow 330 \text{ g}$
 - ▷ TeO_2 mass $\Rightarrow 5.94 \text{ kg}$
- 4 crystals are enriched
 - ▼ $2 \times {}^{130}\text{TeO}_2 + 2 \times {}^{128}\text{TeO}_2$

- total active mass
 - ▷ $\text{TeO}_2 \rightarrow 40.7 \text{ kg}$
 - ▷ ${}^{130}\text{Te} \rightarrow 14.1 \text{ kg}$
 - ▷ ${}^{128}\text{Te} \rightarrow 0.54 \text{ kg}$

central crystal has a 4π *active shielding*
like in CUORE configuration
 \Rightarrow anti-coincidence for **background reduction**



CUORICINO assembly

crystal surface cleaning



thermistor & heater gluing

- careful material selection
- careful cleaning of Cu and TeO_2 surfaces
- clean conditions for detector assembling
 - ▶ clean room
 - ▶ nitrogen atmosphere to avoid radon contaminations

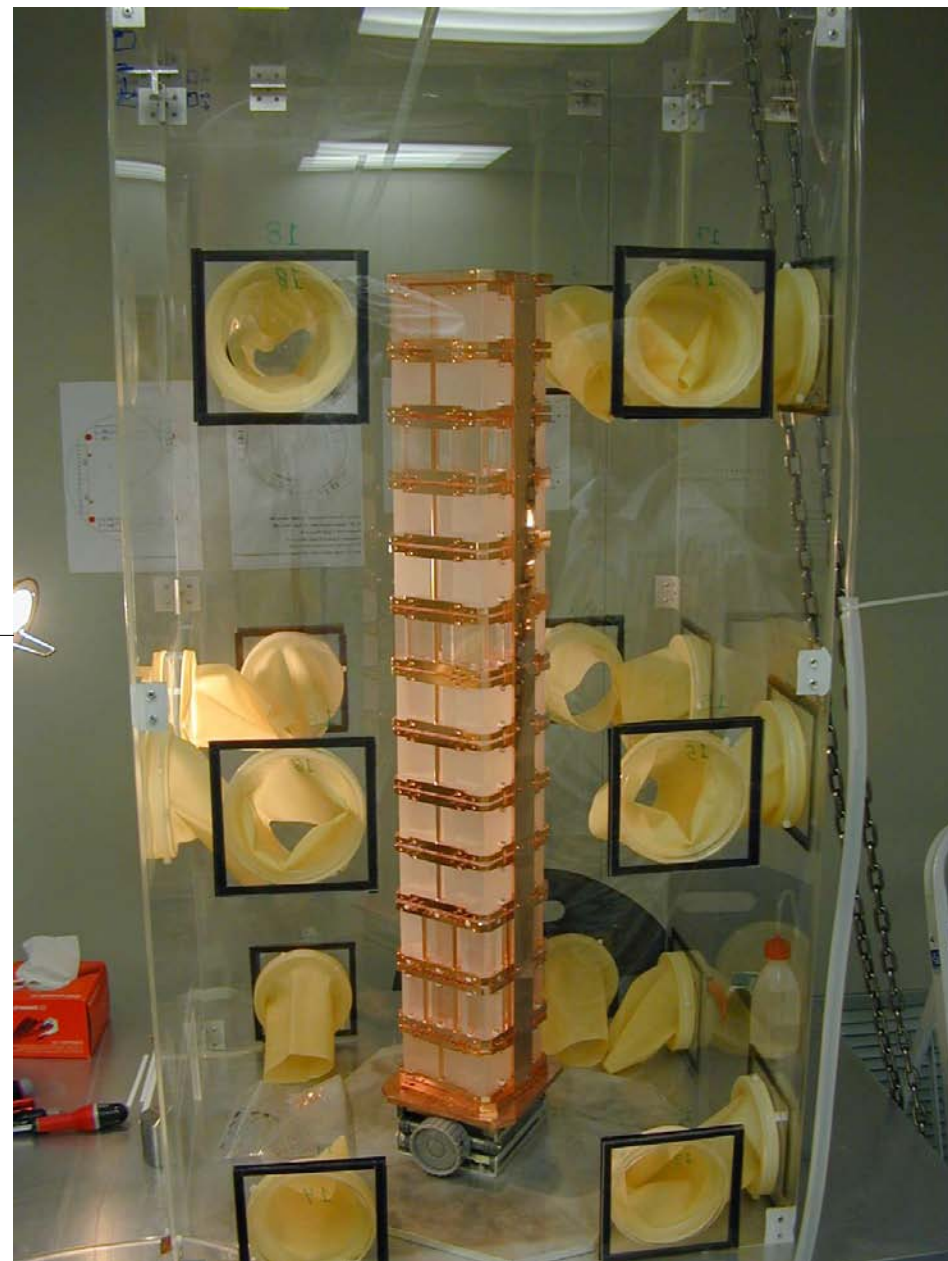


CUORICINO assembly (2)



detector frame

tower

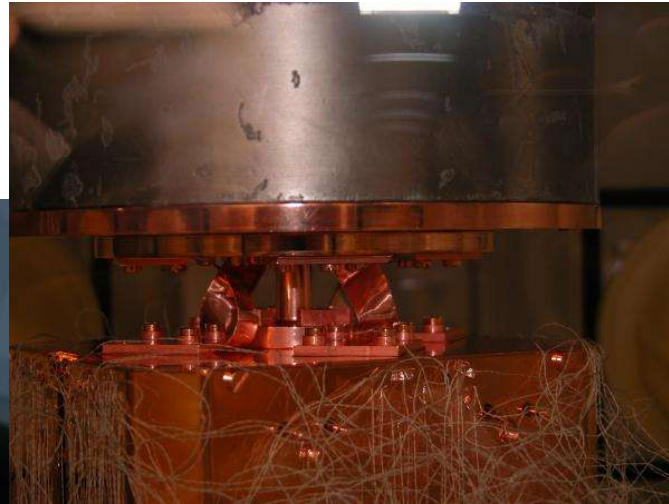
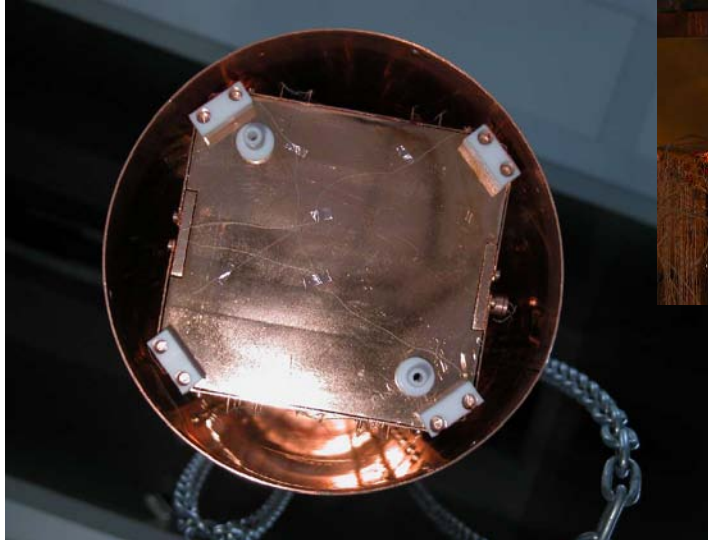


4 detector module

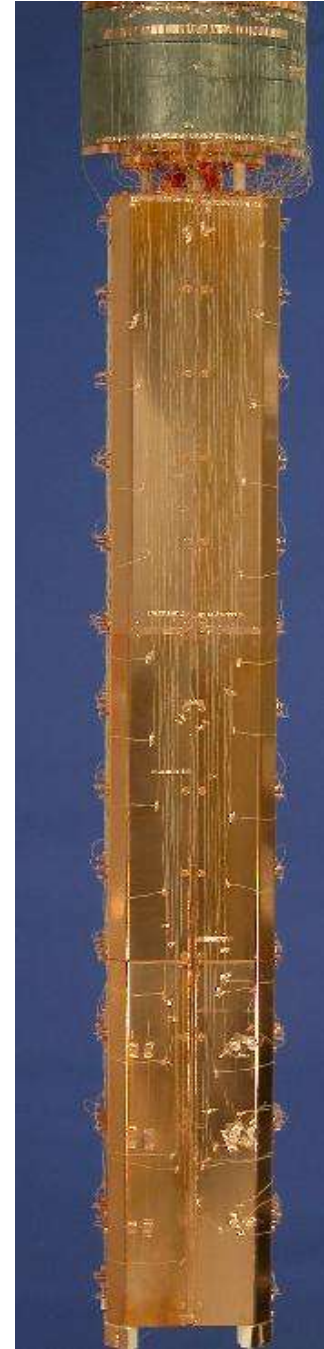
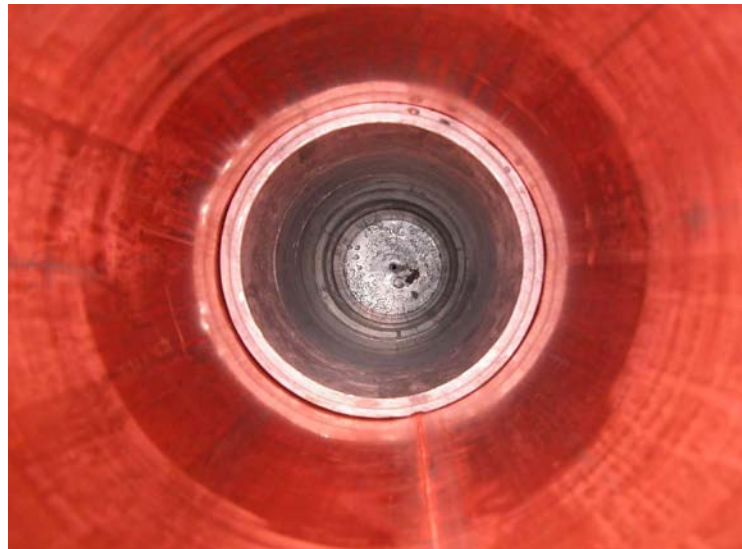


CUORICINO final assembly

Tower positioning system



Roman lead shield and suspension



CUORICINO data taking

February 2003 - April 2005

RUN I

Cooling down: February 2003

Detectors: some electrical connection lost during tower cooldown

- 30 790g detectors
- 16 330g detectors
 - ▷ TeO₂ → 29 kg
 - ▷ ¹³⁰Te → 7.98 kg
 - ▷ ¹²⁸Te → 7.36 kg

- 40 790g detectors
- 18 330g detectors
 - ▷ TeO₂ → 37.5 kg
 - ▷ ¹³⁰Te → 10.3 kg
 - ▷ ¹²⁸Te → 9.53 kg

RUN II

Cool down: May 2004

Detectors: two unrecovered detectors and two with excess noise

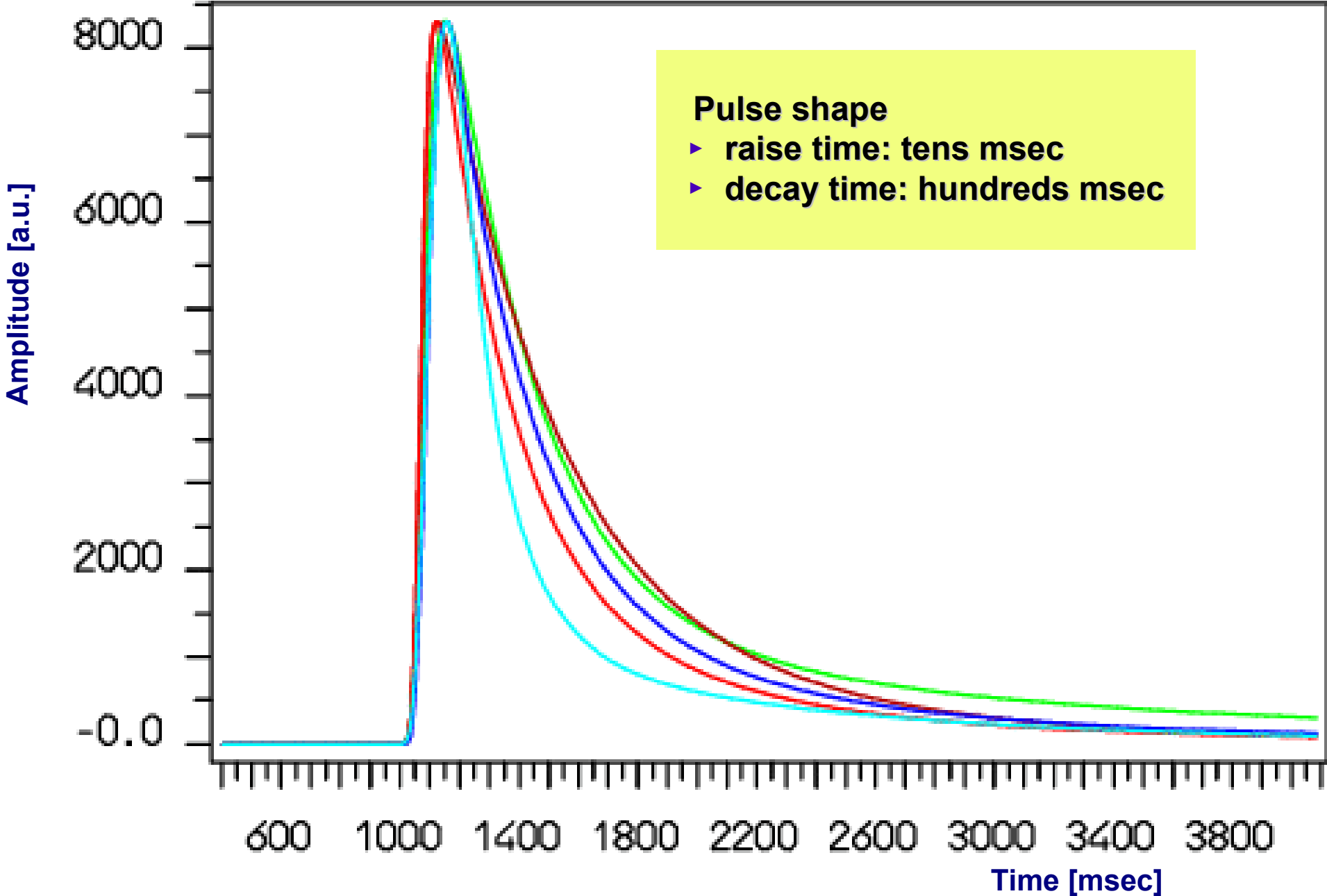
CUORICINO DUTY CYCLE

Source calibration: Th wire ~ 3 days

Background measurement: 3-4 weeks

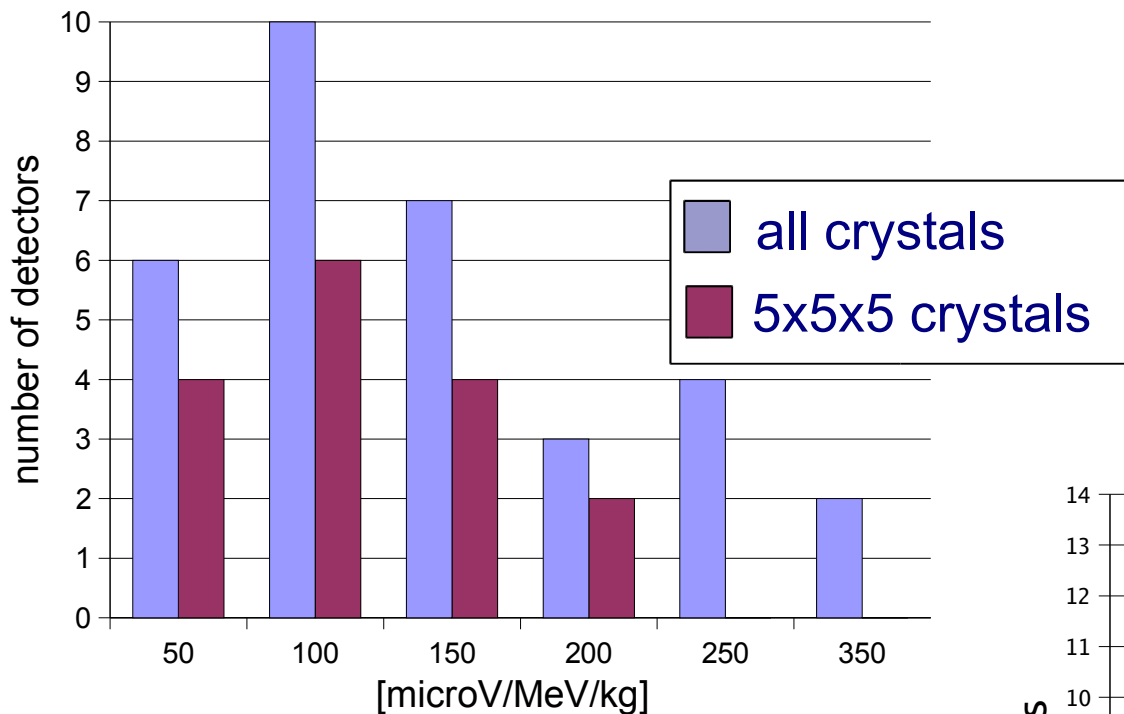
ββ (background) live time ~ 64%

Detector response



Detector response

pulse height distribution



RUN I

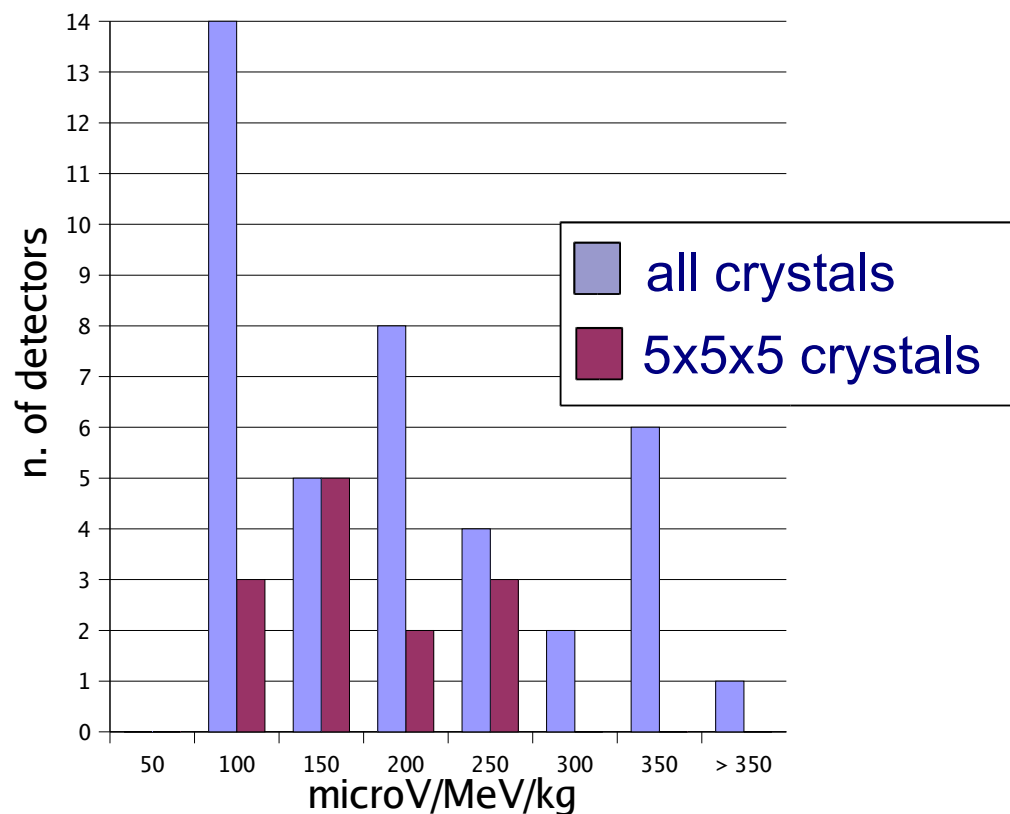
3x3x6 cm³

104 ± 35 mV/MeV*kg

5x5x5 cm³

120 ± 75 mV/MeV*kg

Pulse height



RUN II

3x3x6 cm³

147 ± 60 mV/MeV*kg

5x5x5 cm³

167 ± 99 mV/MeV*kg

Calibration spectra: energy resolution

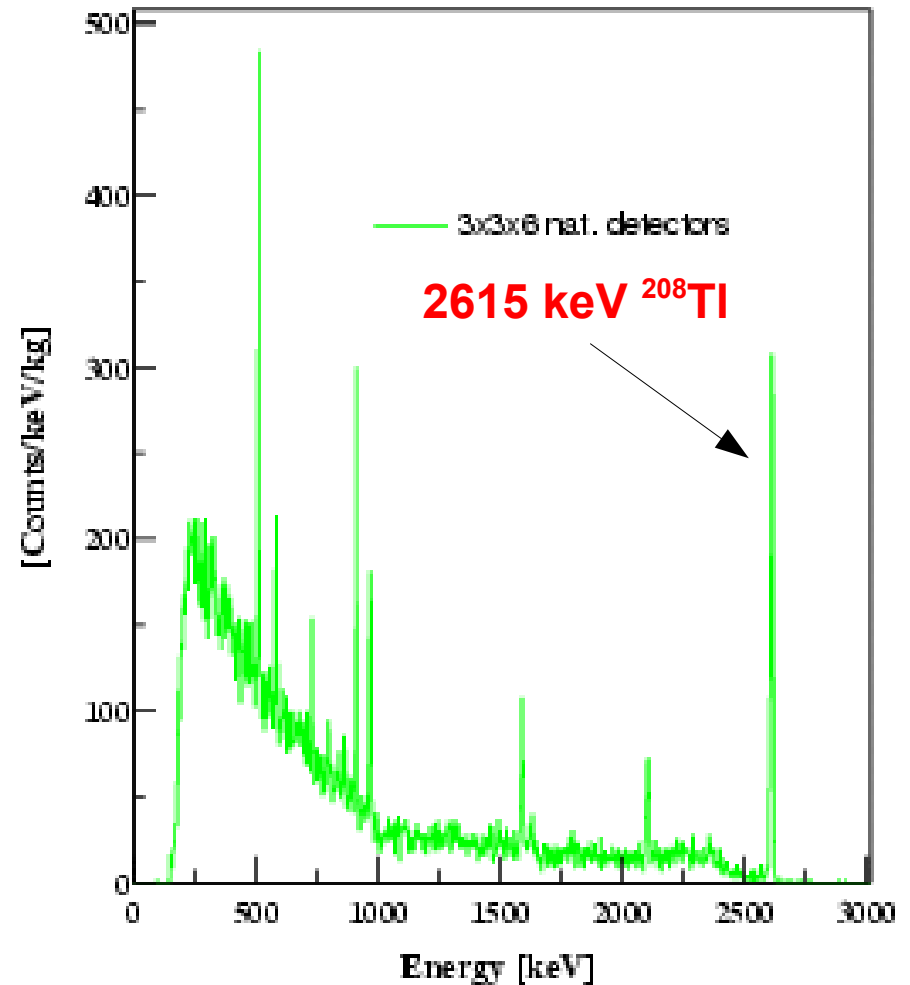
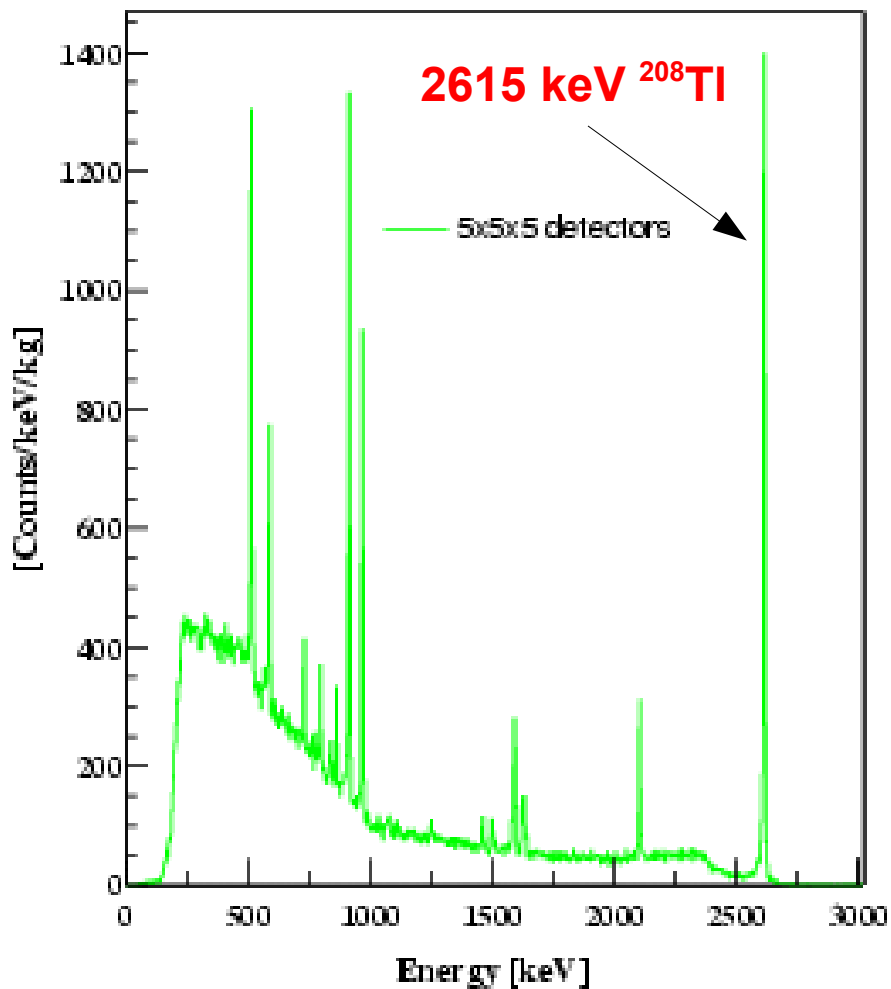
^{232}Th (and ^{238}U) γ -sources

- ▶ External to the cryostat in contact with OVC

$\langle \Delta E \rangle$ @ 2615 keV

790 g crystals $\sim 7.5 \pm 2.9$ keV

330 g crystals $\sim 9.6 \pm 2.5$ keV



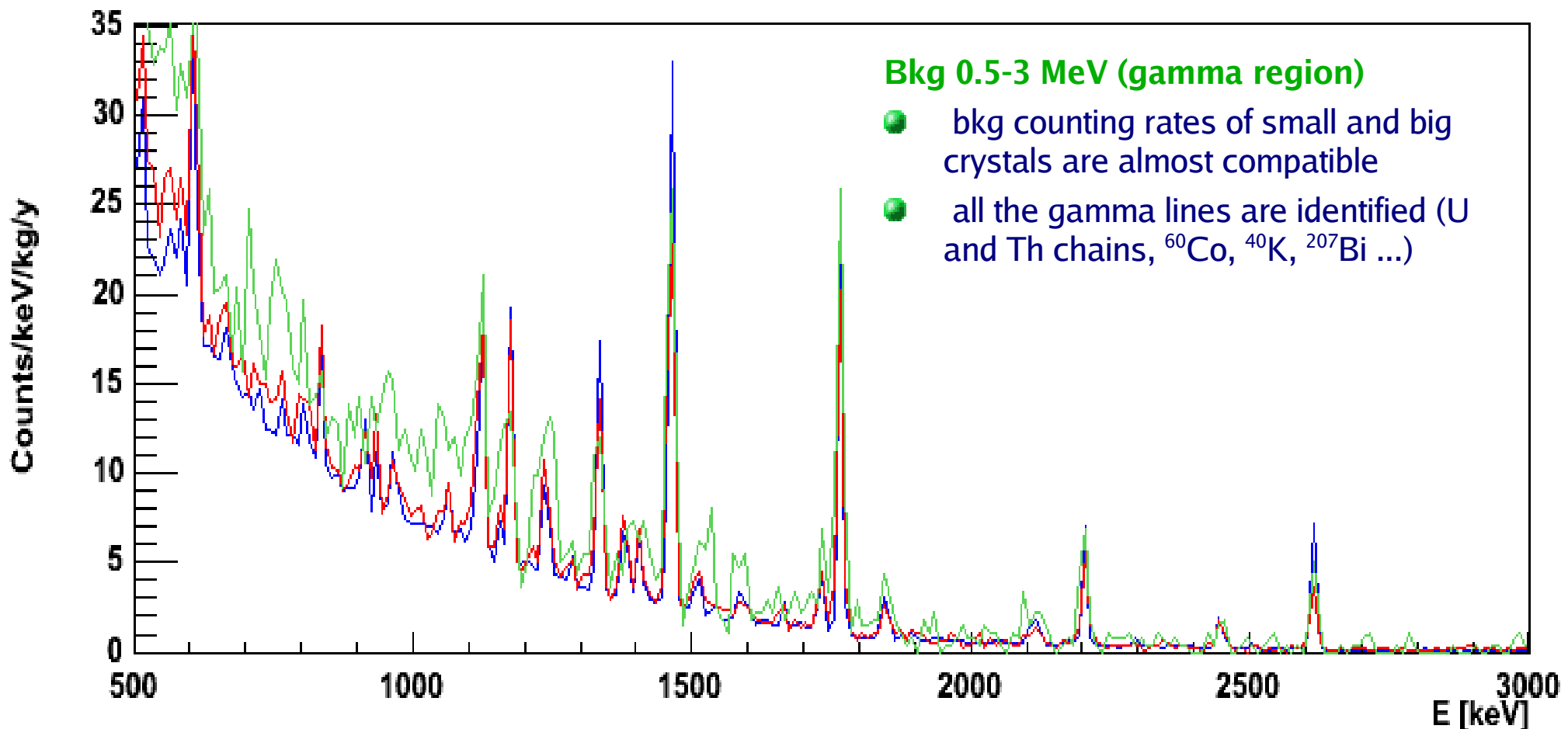
Detector performance: background

$\langle \Delta E \rangle$ @ 2615 keV in the sum bkg spectra of:

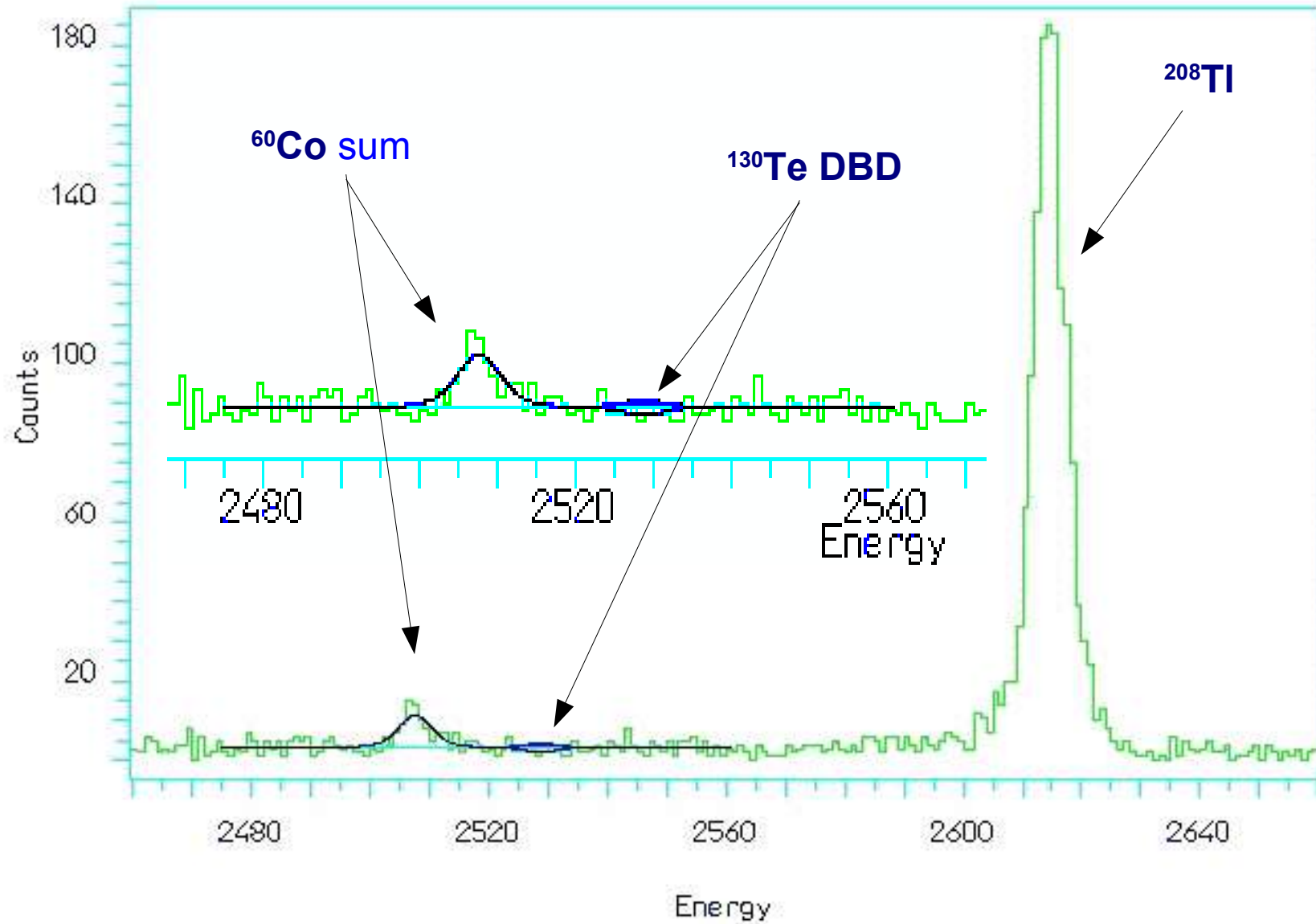
5x5x5 cm³ cryst. 4.3 kg ¹³⁰Te * y - FWHM ~ 7.5 keV

3x3x6 cm³ nat. cryst. 0.5 kg ¹³⁰Te * y - FWHM ~ 12 keV

3x3x6 cm³ enrich. cryst. 0.2 kg ¹³⁰Te * y - peak not visible



Neutrinoless DBD results



Neutrinoless DBD results

statistics:

- **anticoincidence spectrum**
detector efficiencies: **86.4% (790g)** and **84.5% (330g)**
- **run I + run II (3 April 2005) = 5 kg ^{130}Te x year**
- **No peak** is observed at the **$0\nu\text{DBD}$** transition energy (2528.8 keV)
- Bkg counting rate in the $0\nu\text{DBD}$ region = **0.18 ± 0.02 c/keV/kg/y**

procedure:

- **Maximum Likelihood** + flat background + fit of the 2505 keV peak
- energy region = **2470 - 2560 keV**
- response function = **sum of N gaussian** each with the characteristic FWHM resolution at 2615 keV of the n^{th} detector

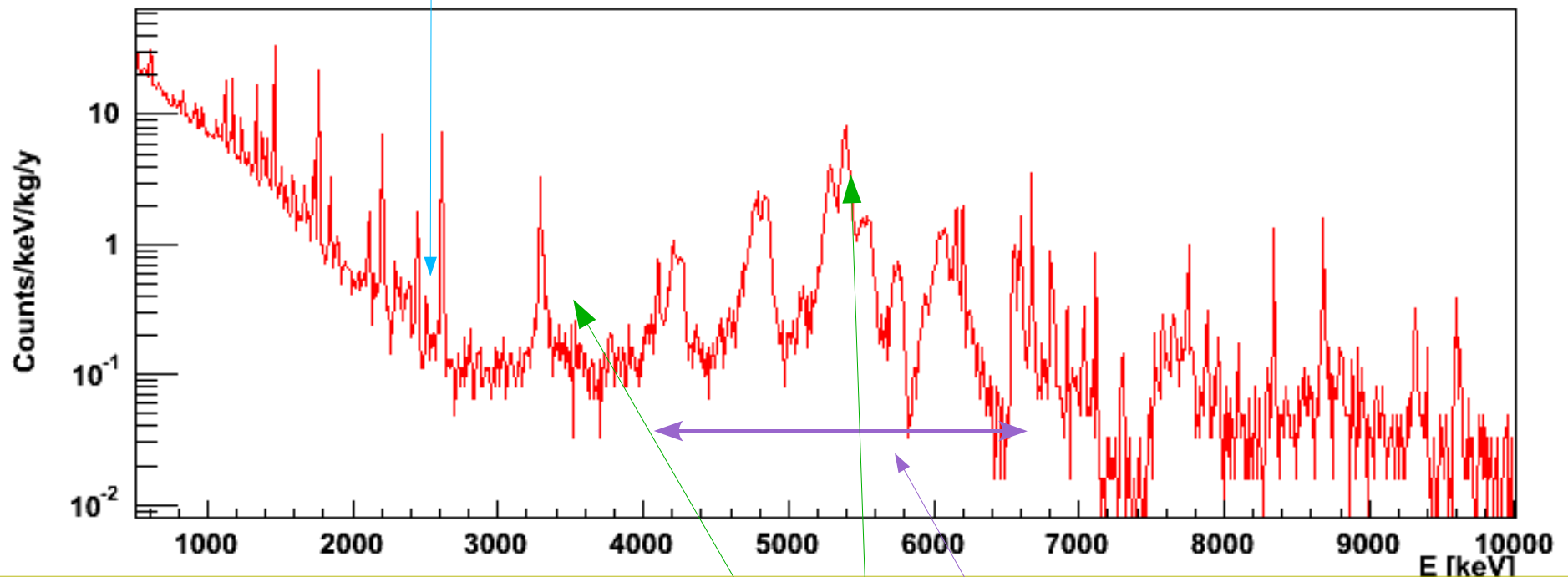
result:

$$\tau_{1/2} > 1.8 \cdot 10^{24} \text{ at } 90\% \text{ C.L. } (\langle m\nu \rangle < [0.2 \div 1.1] \text{ eV})$$

- best fit yields a negative effect ...
- ~5% variation of the limit when changing the **energy region**, the **bkg shape (linear or flat)** and when **including/excluding the 2615 keV peak**

CUORICINO: background

$0\nu\text{DBD}$



Gamma region, dominated by gamma and beta events,
highest gamma line = 2615 keV
 ^{208}Tl line (from ^{232}Th chain)

Alpha region, dominated by alpha peaks
(**internal** or **surface** contaminations)

Background model

Background sources

- ▶ bulk contaminations of setup materials
- ▶ cosmic rays
- ▶ Neutrons
- ▶ surface contaminations ($e^{-\lambda x}$) of detector elements

Experimental measurements

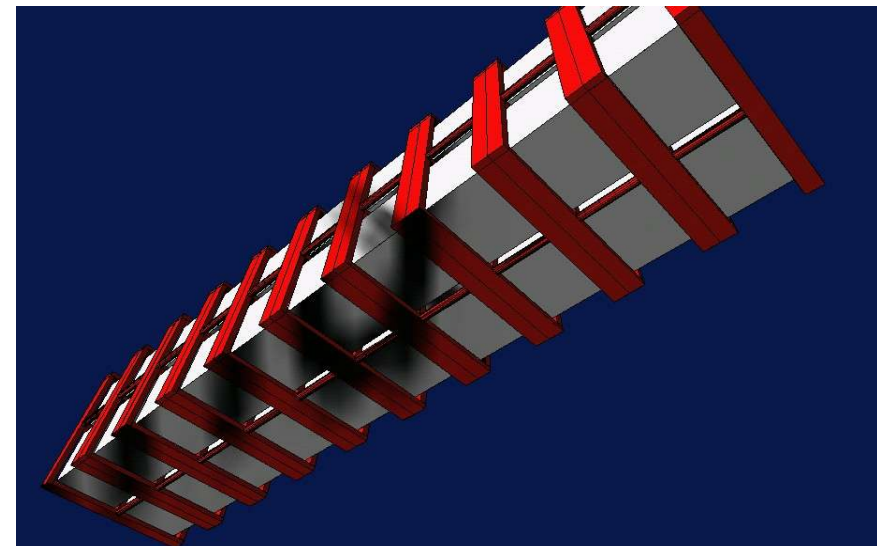
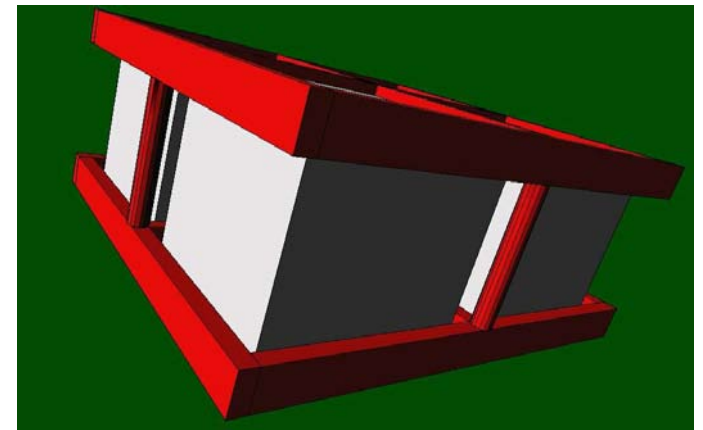
- ▶ MiDBD I+II
- ▶ CUORICINO

Monte Carlo simulations

- ▶ GEANT4 (+decay chains generator)
- ▶ FLUKA
- ▶ YIELDX

detailed description of

- ▶ Detector
- ▶ Cryogenic setup
- ▶ Radiatiopn shields



Background results and CUORE perspectives

CUORICINO

γ -region

- ▶ bulk contaminations of detector and cryogenic setup materials
 - ▶ required contamination levels in agreement with Ge detector measurements

α -region

- ▶ surface contaminations of detector materials (crystal & mounting structure)
 - ▶ exponential density profile ($e^{-\lambda x}$: $\lambda=0.1-10 \mu\text{m}$)
 - ▶ required contamination levels (when considered as distributed over a thin surface layer)
 - are 2-3 orders of magnitude larger than the bulk values of the corresponding materials
- ▶ **preliminary HR ICPMS measurements of CUORICINO copper samples seem to confirm both contamination levels and density profiles**
- ▶ **Surface cleaning procedure can be improved**

CUORE

$\beta\beta(0\nu)$ Monte Carlo evaluations based of CUORICINO background results and available bulk contamination limits from Ge measurements:

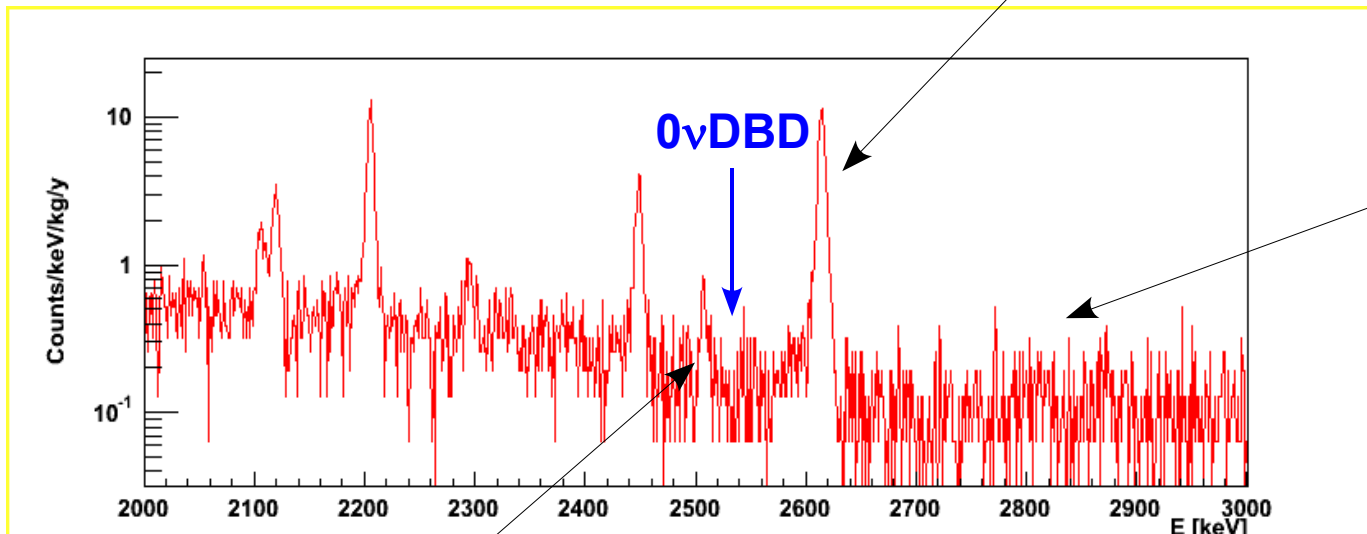
bulk	3.8×10^{-3}	couns/keV/kg/y
surface (TeO ₂)	2×10^{-2}	couns/keV/kg/y
surface (Copper)	5×10^{-2}	couns/keV/kg/y

CUORE sensitivity goal can be reached

CUORICINO background

2615 keV Tl line \Rightarrow contribution to the DBD bkg due to a Th contamination.

- **Most probable location:** in between the inner Roman lead shield and the external lead shield (clear indications from the intensity ratios of Th gamma lines at different energies).
- **Measured bkg shape:** good agreement with MonteCarlo simulations and source calibration measurement (Th wire inserted just outside the cryostat OVC).
- **Th (Tl) contribution to DBD background: $\sim 40\%$ (preliminary)**



No other gamma lines identified near or above the $0\nu\text{DBD}$ transition energy \Rightarrow no contributions from other gamma sources.

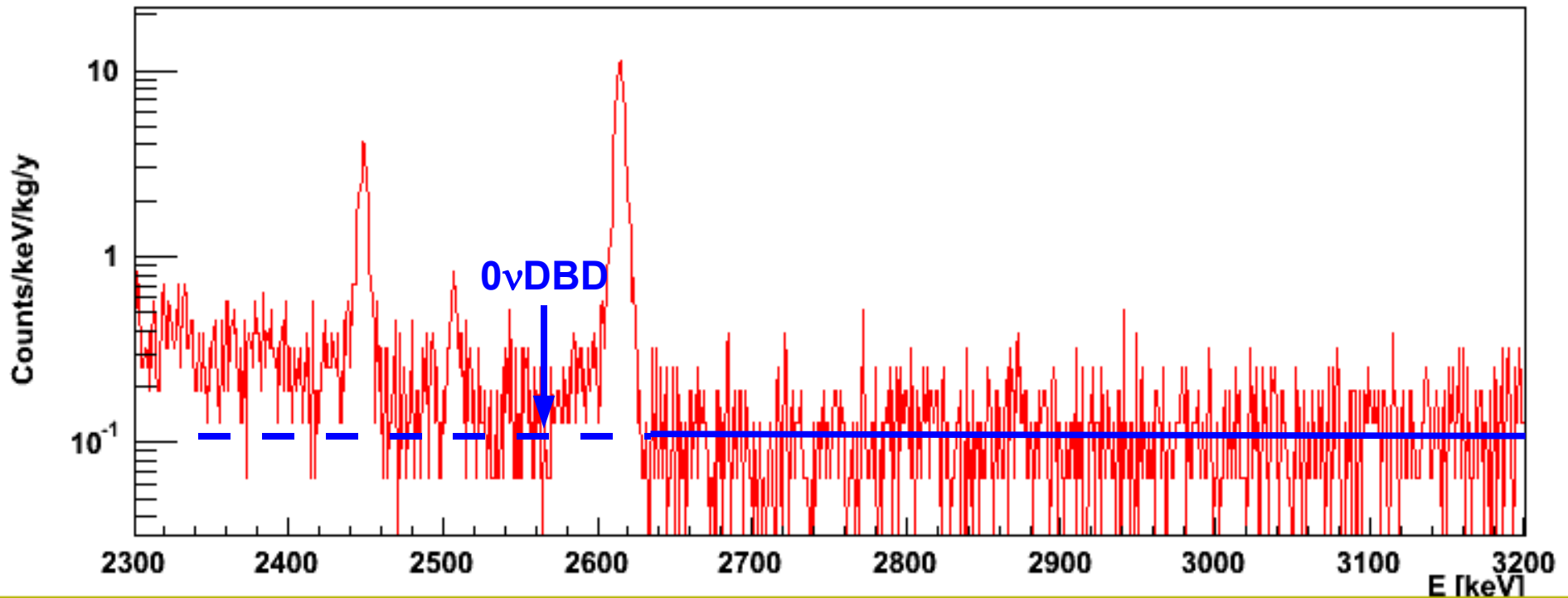
2505 keV line: sum of the 2 ^{60}Co gammas (1173 and 1332 keV)

- **Individual ^{60}Co lines:** clearly observed in the bkg spectrum (almost uniform counting rate on the different TeO₂ bolometers)
- **Most probable source:** neutron activation of the Copper detector structure
- **Contribution to DBD background:** negligible (beta tail accompanying the 2505 peak)

CUORICINO background (2)

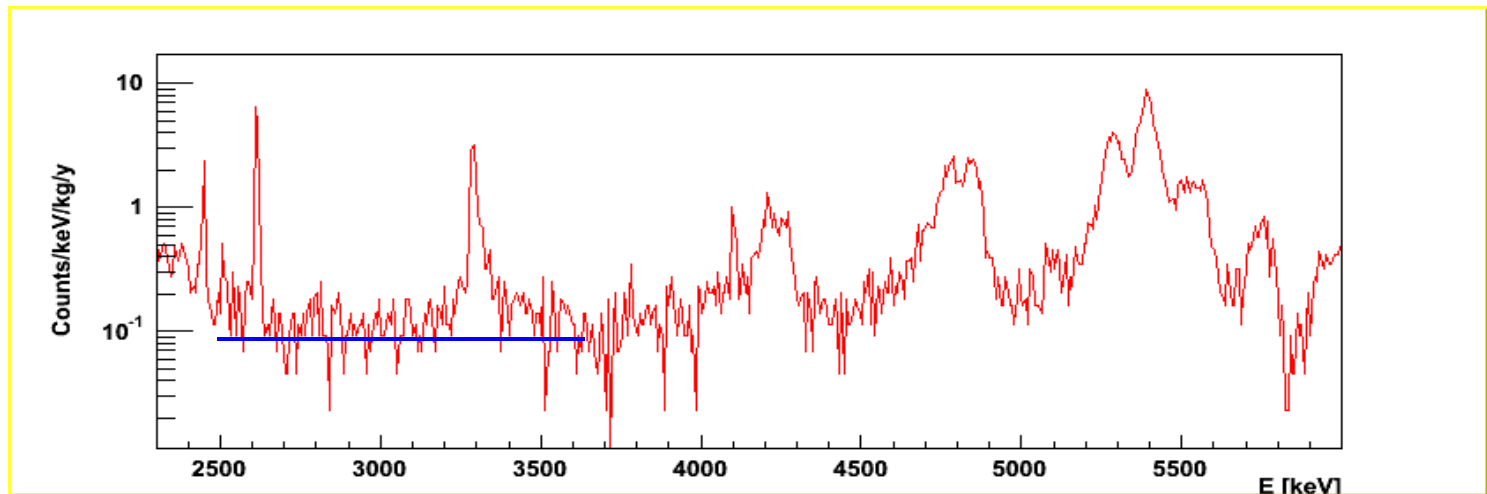
Flat background in the energy region above the ^{208}Tl 2615 line

- Natural extrapolation to the region below the 2615 keV peak
- Contribution to the counting rate in the $0\nu\text{DBD}$ region: $\sim 60\%$ (preliminary)



What are the possible sources of this flat background?

CUORICINO flat background



→ neutrons

- Cuoricino neutron shield (10 cm borated polyethylene) didn't show any significant variation in the 3-4 MeV counting rate when mounted around the MiDBD experiment
- Low statistics \Rightarrow poor limit: neutrons can still account for a fraction of the observed background

→ degraded alpha particles from crystal surface contaminations,

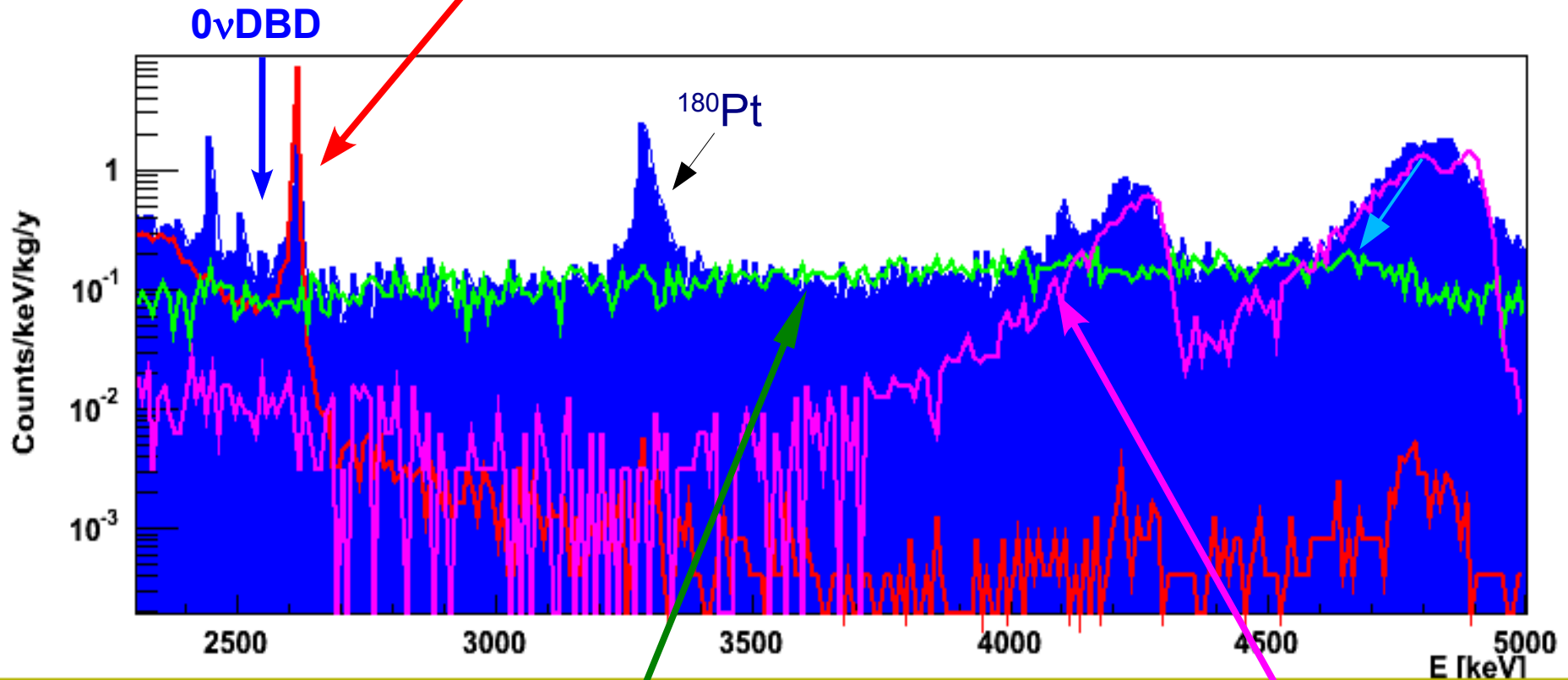
- various alpha peaks observed in the background spectrum,
- central energies, low energy tails and scatter plots of coincident events prove that these peaks are due to a surface contamination of the crystals (mainly in ^{238}U)
- Estimated contribution (spectra of coincident events on facing crystals): $\sim 10\%$

→ degraded alpha particles contaminations of the inert materials facing the crystals

- No direct evidence of the existence of this contamination that according to our
- MonteCarlo simulations (few micron surface layers) give simply a continuum background with no clear signature.
- Most likely source of the missing 50% necessary to account for the $0\nu\text{DBD}$ counting rate

CUORICINO sum spectrum

Th calibration normalized to the 2615 keV line
of the Cuoricino bkg sum spectrum



MonteCarlo simulation
Bkg contribution due to Cu mounting structure
surface contamination (^{232}Th , 5 micron depth)

MonteCarlo simulation
Bkg contribution due to
crystal surface contaminations

CUORE background

Evaluation of the expected CUORE background based on the contamination levels measured so far (MiDBD, CUORICINO and Ge measurements) for available materials

Bulk contaminations

$$\text{TeO}_2 \sim 10^{-13} \text{ g/g}$$

$$\text{Cu} \sim 10^{-12} \text{ g/g}$$

$$\Rightarrow < 2 \times 10^{-3} \text{ counts/kev/kg/y}$$

Surface contamination

$$\sim 10^{-9} \text{ g/g for TeO}_2 \text{ and Cu} \Rightarrow < 7 \times 10^{-2} \text{ counts/kev/kg/y}$$

Required reduction factors:

- ✓ 10 in Cu surface contamination
- ✓ 4 in TeO₂ surface contamination

CUORICINO vs. CUORE: background

BULK Contaminations: ^{208}Tl 2615 keV line

^{208}Tl 2615 keV line ascribed to a ^{232}Th contamination of the cryostat structure

→ CUORICINO: old cryostat (20 year)

- ◆ reduced experimental space: no room for massive ultrapure lead shield inside the cryostat
- ◆ relaxed constraints on cryostat construction material selection

→ CUORE

- ◆ cryostat specifically designed in order to maximize the shield efficiency this
- ◆ severe selection of construction materials

SURFACE Contaminations: 3-4 MeV continuous background

- Proper **surface treatments** (with ultrapure materials and tools) of all the detector components + Proper **diagnostics**
 - Bolometric measurements
 - ★ **Recent test on 8 crystals** (CUORE-like): improved surface treatment (developed@LNGS) reduced crystal surface contamination by a factor ~ 4
 - ★ a dedicated array of 8 5x5x5 cm³ crystals operated in Hall C
 - ICMPS bulk and surface measurement
 - low bkg Ge spectroscopy
- **Surface Sensitive Bolometers (SSB)**

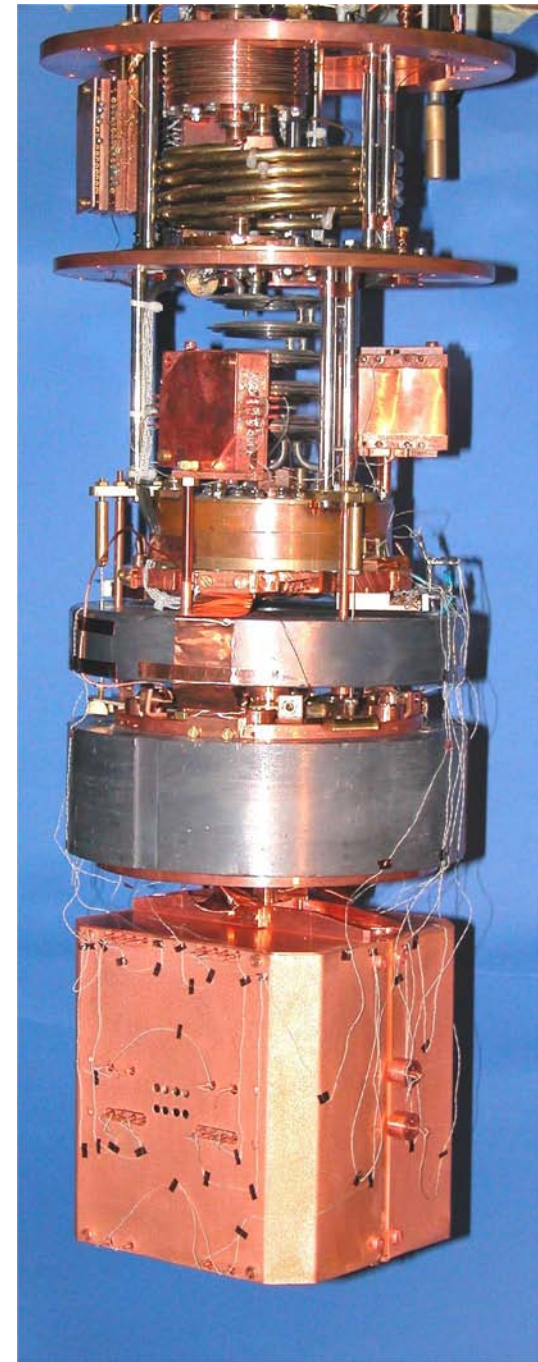
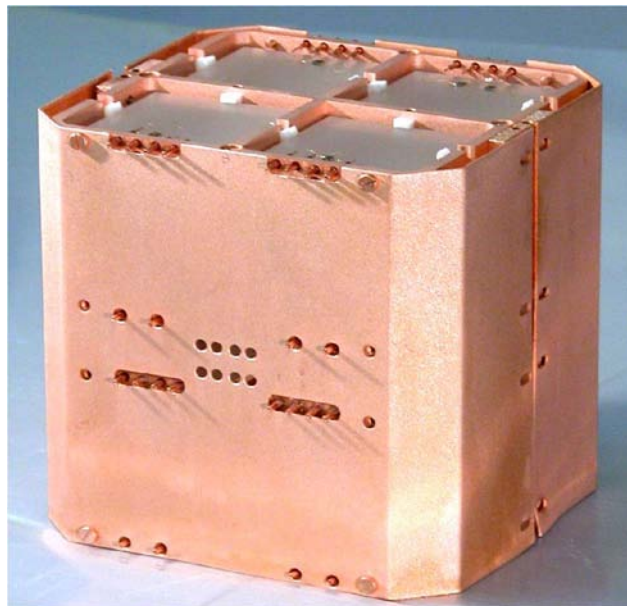
RAD

Radioactivity analysis Array of 8 Detectors:

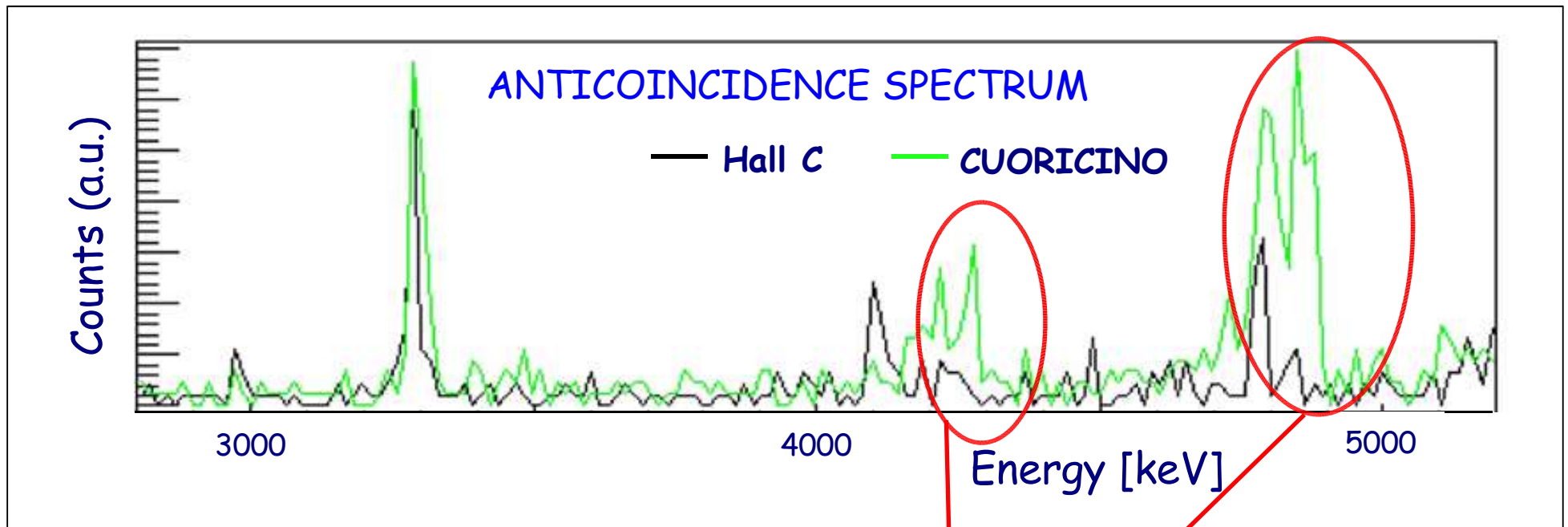
- mini-tower of two single CUORE modules
- cleaned with ultra-radiopure materials and procedures
 - ◆ Cu: etching, electropolishing and passivation
 - ◆ TeO₂: etching and lapping with radiopure acid and powders
 - ◆ Assembling with clean materials
- most sensitive detector for surface contaminations
 - sensitive to secular equilibrium breaks

RAD November 2004 run

- ◆ sensitive reduction of TeO₂ crystal surface contribution



RAD results



Reduction of a factor ~ 4 on crystal surface contaminations:
CUORE milestone for this task reached

Crystal Bulk contamination $\sim 10^{-14}$ g/g in U and Th

April 2005 measurement:

significant contributions from **PTFE, wires and Si heaters** excluded

From CUORICINO to CUORE

CUORICINO

- ◆ Relatively large size crystals (790 g) without loss of performance
- ◆ Large bolometric array with the tower-like structure
- ◆ Long runs with excellent duty cycle
- ◆ Most relevant background sources identification
- ◆ First steps towards background reduction

CUORE

- ◆ Completely new set-up with optimized shielding structure
- ◆ specifically designed to reduce as far as possible the amount of materials interposed between the crystals
- ◆ high granularity for background events identification and rejection (coincidence/anticoincidence technique)
- ◆ radiopure materials for detector and cryostat structure

Ongoing activities:

- Construction material selection is started
- Big efforts for the identification/reduction and control of the source responsible of the Cuoricino DBD background (cleaning techniques, radiactivity level measurement, surface sensitive detectors, detector and setup structure optimization)

CUORE: status

2nd April 2004 Experiment approval by S.C. of LNGS

7th June 2004 Scientific approval CSN2 of INFN

September 2004 Final location @ LNGS

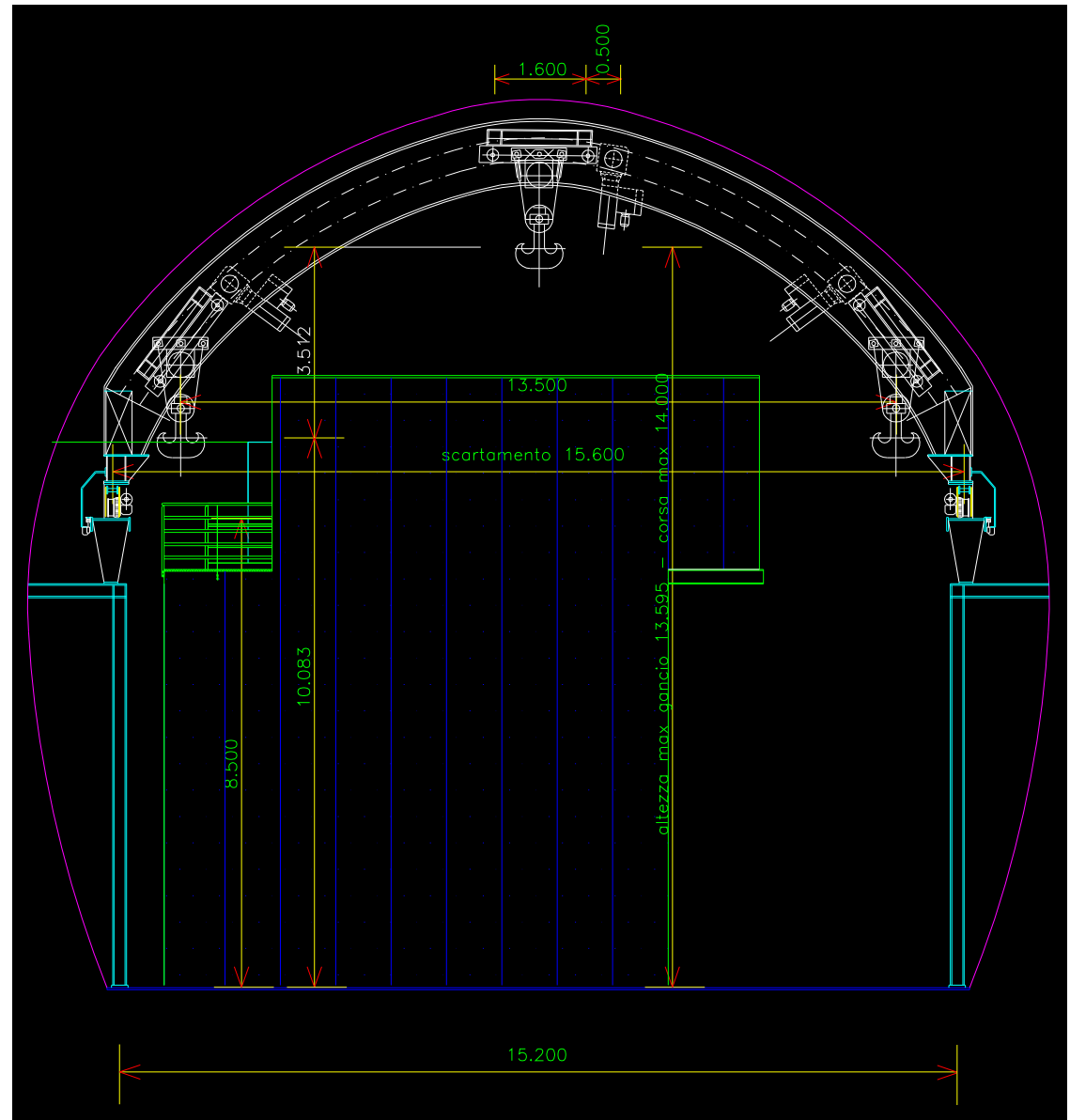
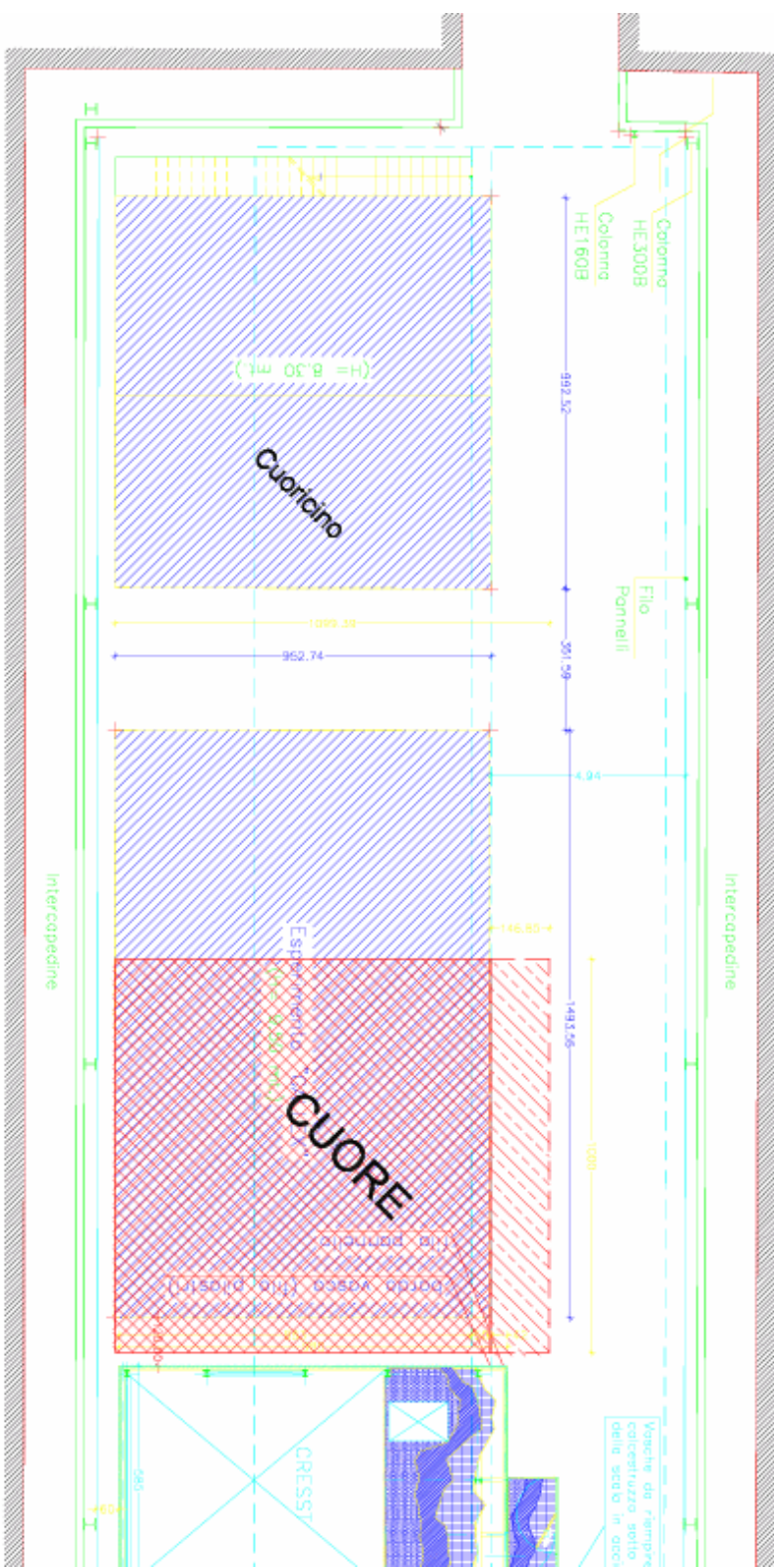
September 2004 Approval of CUORE plan by CSN2

2005 activities + CUORE dilution refrigerator funded by INFN

CUORE time schedule

Start date: 1st January 2005		2005	2006	2007	2008	2009
Crystals	US					
Material Selection		Yellow bar				
Procedure settling			Yellow bar			
Growth and preparation				Yellow bar	Yellow bar	
Thermistors	US					
R&D and Ge irradiation		Grey bar				
Decay period			Grey bar			
Production				Grey bar		
Detector structure	INFN					
Design		Green bar	Green bar			
Material Selection			Green bar			
Production				Green bar	Green bar	Green bar
Cleaning		Dark green bar	Dark green bar	Dark green bar	Dark green bar	Dark green bar
Cryostat & cryogenics	INFN					
Design and material selection		Blue bar				
Construction			Blue bar	Blue bar		
Installation and test					Blue bar	
Shieldings	INFN					
Design and material selection			Dark teal bar			
Construction					Dark teal bar	
Underground Laboratory	INFN					
Design		Red bar	Red bar			
Installation				Red bar	Red bar	
Electronics	US					
Design and test		Cyan bar	Cyan bar			
Production				Cyan bar		
Installation						Cyan bar
Data Acquisition	INFN					
R&D and prototyping		Magenta bar	Magenta bar			
Final design				Magenta bar		
DAQ SW development			Magenta bar	Magenta bar	Magenta bar	
Production				Magenta bar	Magenta bar	
Installation						Magenta bar
CUORE assembly	INFN/US					Yellow bar

CUORE site Hall A @ LNGS



CUORE construction

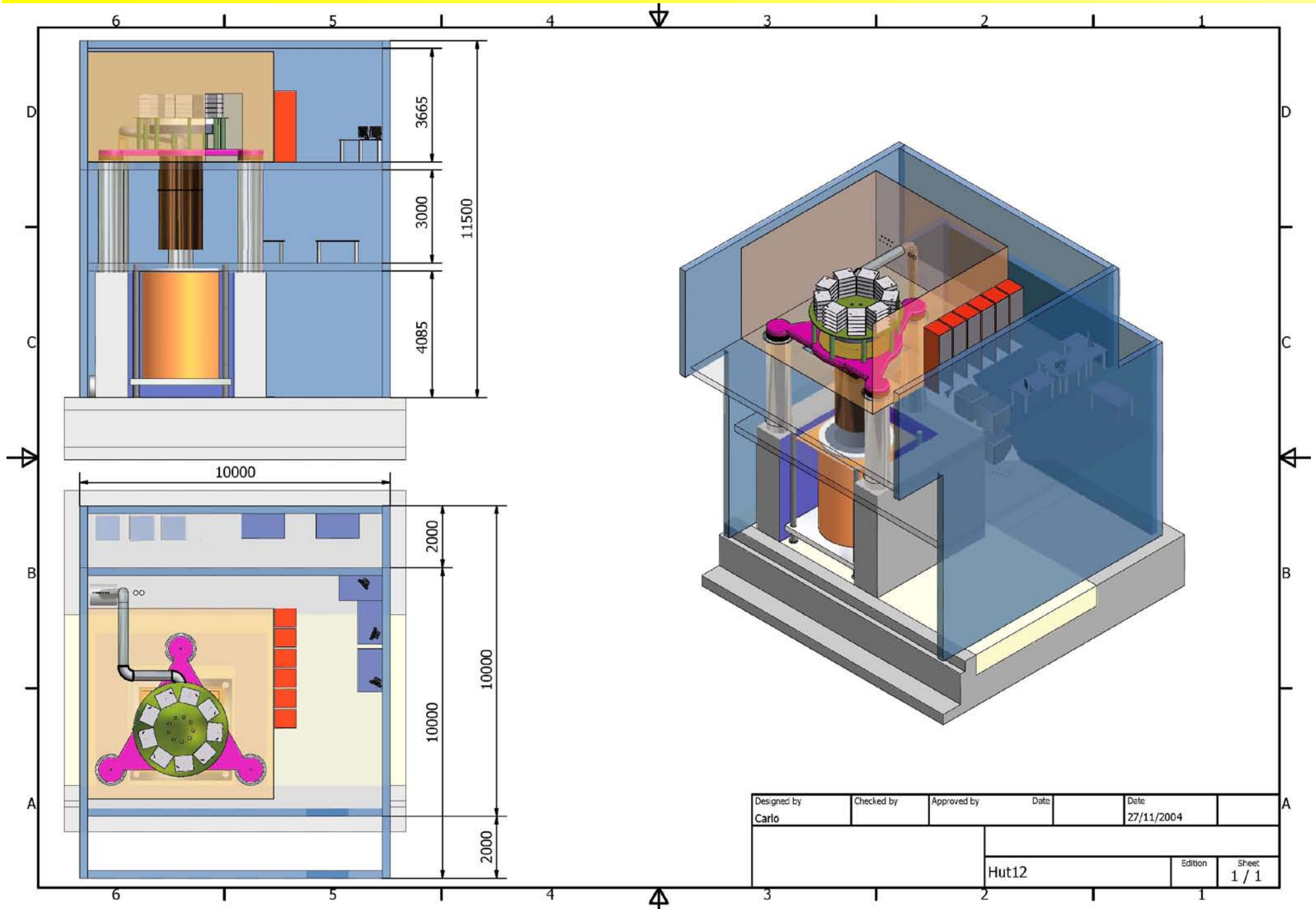
Ongoing activities:

- Underground Laboratory (hut) design, material selection and site preparation (2006: tenders, 2007: construction)
- Dilution refrigerator tender and final design (2006-2007: construction)
- Shieldings final design and material selection
- Best TeO₂ producer selection
- Germanium irradiation for NTD thermistor preparation
- Detector structure optimization for
 - lower background contribution
 - decoupling from setup vibrations
 - Better performance and reproducibility
 - Detector standardization
 - easier and Fast assembling procedure
- Front-end electronics prototypes

and, to be preliminarily tested on CUORICINO

- DAQ prototype
- DAQ + online analysis software

CUORE hut



Summary

CUORICINO: 19st April 2003 →

- successfully operating independent experiment on ^{130}Te $\beta\beta(0\nu)$
 - ▶ 40.7 kg of TeO_2 , $B_{\beta\beta(0\nu)} = 0.18 \pm 0.02$ c/keV/kg/y, $\langle\Delta E\rangle = 8\text{keV}$
 - ▶ $\tau_{1/2} \geq 1.8 \times 10^{24}$ years at 90% C.L. ($\langle m_\nu \rangle \leq 0.2 \div 1.1$ eV)
 - ▶ $S_{3\text{ years}}^{1\sigma} \geq 6 \times 10^{24}$ years - $\langle m_\nu \rangle \leq 0.11 \div 0.60$ eV
- good technical performance
 - ▲ reproducibility, stability, energy resolution
- crucial informations for background sources identification

CUORE:

- approved by LNGS S.C.: location in Hall A
- approved and funded by INFN
- intense activity for the optimization of the background reduction procedures
- construction phase started:
- start data taking: 1st January 2010