# Particle Physics - Chapter 4 Weak Interactions



#### Paolo Bagnaia SAPIENZA UNIVERSITÀ DI ROMA

AA 211-22

last mod. 26-May-22

# 4 – Weak interactions

- 1. <u>The weak interactions</u>
- 2. Charged currents
- 3. Lepton universality
- 4. Parity violation
- 5. The v helicity
- 6. Weak decays
- 7. [Decay  $\pi^0 \rightarrow \gamma \gamma$ ]
- 8. <u>β decay</u>
- 9. Quark decays
- 10. <u>Summary</u>

[some basic math]

"I propose to present here the foundations of an emission theory of  $\beta$  rays which, although based on hypotheses of which any experimental confirmation is lacking at the present time, nevertheless seems capable of giving a fairly accurate representation of the facts and allows a quantitative treatment of the behavior of nuclear electrons which, even if the fundamental hypotheses of the theory should be false, it may in any case serve as a useful guide to direct experimental research." (by Google translate)



This chapter is just the preamble of our discussion on w.i.; also §  $K^0$  and § v are mainly dedicated to w.i.. A lot of Coll.Phys (§  $\bar{p}p$ , § LEP and § LHC) contains w.i.

### the weak interactions : the origins

(1) Val. die volaufige Methilung, La riceren Morruch einer Theorie der B-Strahlen fuentifica, II, for 12, 1933. Von E. Fermi in Rom Versuch eine × brind line quantitative Theorete des Kernelekponen, sowie des 13-75 2- Terfalls wird vorgeschlagen, in welchen man lie Existence des " neutrinos " aminint them autrubanen begegnet in be Kamplich zwei Schwierig Keiten und die mitsion der blektronen und Die erste ist duech das Kontinistid neutrinos aus einem Reru bein 3- Ferball 3- Strahlen Jektrum bedingt. Falls mit einer ähnlichen Methode behandelt man den ahallungsrate der Euerie wie die mission eines lightquants aus behalten will, muss man annehi emen augeregten atom in der Strahlen dass in Bruchtert der, bei dere B-M Heorie Die Theorie wird mit der Erfet ezerful hei werdenden hiergie tormela für die Lebensdauer und unsered lisherigen Beobachtungs moglichkeiten entgeht. Diese merlie für die Form des emillierten Kontiniveli Köndle g. & Hach dem Vorschlag von Pauli, im den Form einen Kom ehen s-Shahl spektrune werden abge lifet und mit der Vrfahrung volagliche man 3. B. amehmen, Jars bein 3- Ferfall night mur im rlektron Sondern auch ein neues Teilehen, a historical manuscript [thanks to F. Guerra] Das rogenamile "deutrino, ( elesie der größeenordnung oder Kleinen als

# the weak interactions : introduction

- In rare occasions, we see <u>violations</u> of those conservation laws, valid for strong and electromagnetic interactions only;
- these are known as <u>weak interactions</u> (w.i.), because of their small coupling;
- w.i. happen in almost all processes, but they have a negligible effect, except in cases otherwise forbidden (e.g. decays violating strangeness, charm, ...);
- because of w.i., <u>STABLE</u> matter contains only (u, d, e<sup>-</sup>);
- the other quarks and charged leptons are <u>UNSTABLE</u> wrt w.i. decays;
- therefore, despite of their "weakness" (small range of interaction  $\approx 10^{-3}$  fm, tiny cross sections  $\approx 10^{-47}$  m<sup>2</sup>), the w.i. play a crucial role in the features of our world.

- <u>ALL</u> elementary particles, but <del>gluons</del> and <del>photons</del> (carriers of other interactions), "see" w.i. : <u>quarks</u> and <u>charged leptons</u> have w.i., <u>v's</u> have ONLY them.
- therefore, most of our knowledge on w.i., at least until the '70s, was obtained from the <u>decays</u> of particles [e.g. π<sup>+</sup> and μ<sup>+</sup> decays below] and from <u>v beams</u>.





# the weak interactions : some history



- 1930 Pauli : v existence to explain  $\beta$ -decay.
- 1933 Fermi : first theory of  $\beta$ -decay.
- 1934 Bethe and Peierls : vN and  $\bar{v}N$  cross sections.
- 1936 Gamow and Teller : G.-T. transitions.
- 1947 Powell + Occhialini : decay  $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ .
- 1956 Reines and Cowan :  $\nu$ 's detection from a reactor.
- 1956 Landè, Lederman and coll. : K<sup>0</sup><sub>L</sub>.
- 1956 Lee and Yang : parity non-conservation.
- 1957 Feynman and Gell-Mann, Marshak and Sudarshan : V–A theory.
- 1958 Goldhaber, Grodzins and Sunyar : v helicity.
- 1960 (ca) Pontecorvo and Schwarz : v beams.
- 1961 Pais and Piccioni :  $K_L \leftrightarrow K_S$  regeneration.
- 1962 First  $\nu$  beam from accelerator : Lederman, Schwarz, Steinberger :  $\nu_{\mu}$ .
- 1963 Cabibbo theory.
- 1964 Cronin and Fitch : CP violation in K<sup>0</sup> decay.

- 1964 Brout, Englert, Higgs : Higgs mechanism.
- 1968 Weinberg-Salam model.
- 1968 Bjorken scaling, quark-parton model.
- 1970 GIM mechanism.
- 1972 Kobayashi, Maskawa : CKM matrix.
- 1973-90 v DIS experiments : Fermilab, CERN.
- 1973 CERN Gargamelle : neutral currents.
- 1983 CERN Spps : W $^{\pm}$  and Z.
- 1987 CERN SppS : B<sup>0</sup> mixing discovery.
- 1989-95 CERN LEP : Z production + decay.
- 1997-2000 CERN LEP : W<sup>+</sup>W<sup>-</sup> production.
- 1998-2000  $\nu$  oscillations.
- 1999-20xx B<sup>0</sup> mixing detailed studies.
- 2012 CERN LHC : Higgs boson.
- only major facts  $\geq$  1930 considered;
- this chapter;
- other chapters of these lectures or Coll.Phys.;
- other lectures in our CdL.

Í

In the SM, weak interactions (w.i.) are classified in two types, according to the charge of their carriers :

- <u>Charged currents</u> (**CC**), **W**<sup>±</sup> exchange:
  - in the CC processes, the charge of quark and leptons CHANGES by ±1; at the same time there is a variation of their IDENTITY, including FLAVOR, according to the Cabibbo theory (today Cabibbo-Kobayashi-Maskawa)



<u>Neutral currents</u> (NC), Z exchange:
 in the NC case, quark and lepton flavors remain unchanged (no FCNC);
 until 1973 no NC weak process was

observed [but another example of NC was well known, i.e. the e.m. current:  $\gamma$ 's carry no charge !]



 In the 60's Glashow, Salam and Weinberg (+ many other theoreticians) developed a theory (today part of the "Standard Model", SM), that unifies the w.i. (both CC and NC) and the electromagnetism.

The SM was conceived BEFORE the discovery of NC. So the existence of NC and its carrier (the Z boson), predicted by the SM in the '60s and directly observed at CERN in 1983, were among the first great successes of the SM.

# the weak interactions : classification





Some processes (list <u>NOT</u> exhaustive), classified in terms of particle content and lowest order Feynman diagrams.

A "\*" in the last column means that the interacting <u>hadron</u>, shown in "[]", is composite; in the diagrams there are only the interacting <u>quark(s)</u>; the other partons ("<u>spectators</u>") do not participate in the interaction [*see* § 2].

Sometimes in the table v = both v and  $\bar{v}$  [*the correct one !*].



# charged currents : decays

process	Lifetime (s)	comment		
$\bar{\nu}_{\rm e}{\rm p}  ightarrow {\rm n}{\rm e}^{\scriptscriptstyle +}$	(none)	Neutrinos have only weak interactions (not a decay).		
$n \rightarrow p e^- \bar{\nu}_e$	Ø(10³)	Long lifetime because of small mass difference (p-n).		
$\pi^+ \rightarrow \mu^+ \nu_{\mu}$	Ø(10⁻ <sup>8</sup> )	The $\pi^{\pm}$ is the lightest hadron, so it decays $\rightarrow$ leptons.		
$\Lambda \rightarrow p \pi^-$	Ø(10 <sup>-10</sup> )	The decay of $\Lambda$ violates strangeness conservation.		



## charged currents : Fermi theory

- The modern theory of the CC interactions (i.e. this part of the SM) is a successor of the Fermi theory [F.t.] of  $\beta$  decay.
- The F.t. describes a point-like interaction, proportional to the coupling  $G_{F}$ ; the theory had intrinsic problems ("not renormalizable" in modern terms, i.e. cross-sections violate unitarity at high energy);
- wrt the F.t., the SM "expands" the pointlike interaction, introducing a heavy charged mediator, called  $W^{\pm}$ .
- the SM is mathematically consistent (it is "renormalizable", the F.t. was NOT);
- [more important] the SM reproduces the experimental data with unprecedented accuracy.



usual comment : to see a smaller scale requires higher  $Q^2 \rightarrow$  higher energy

#### 3/6

# charged currents : simple problem

- Q. why is the (strong) decay  $n \rightarrow p\pi^-$ (similar to  $\Delta^0 \rightarrow p\pi^-$ ) forbidden ?
- A. write the Feynman diagram  $n \rightarrow p\pi^-$ :



• possible ? forbidden ?

yes, dynamically possible

• then ?

 $m(n) - m(p) \approx 1.3 \text{ MeV}$ 

The only possible pair ff' with q = -1 and baryon/lepton number = 0 is clearly  $e^-\bar{v}_e$ , since m(e<sup>-</sup>) + m( $\bar{v}_e$ )  $\approx$  m(e<sup>-</sup>)  $\approx$  0.5 MeV.

- Q. why  $n \rightarrow pe^-\bar{\nu}_e$  and not  $p \rightarrow ne^+\nu_e$ ?
- A. [... left to the reader]

# charged currents : coupling

A simple comparison between the couplings (g is the "charge" of the w.i. and plays a similar role as e):

• Electromagnetism :

4/6

 $\alpha$  $\infty e^2$ ;amplitude $\propto \alpha \propto e^2$ ;rate $\propto \alpha^2 \propto e^4$ .

• Weak interactions :

 $G_F$  $\propto g^2$ ;amplitude $\propto G_F \propto g^2$ ;rate $\propto G_F^2 \propto g^4$ ;

NB. unlike  $\alpha$ ,  $G_F$  is not adimensional (next slide); the <u>similarity</u> electromagnetism  $\leftrightarrow$  weak interactions is hidden.





# <sup>5/6</sup> charged currents : effect of m<sub>w</sub> on coupling

• The e.m. coupling constant  $\alpha$  is proportional to the square of the electric charge e :

$$\alpha = \frac{e^2}{4\pi\epsilon_0 \hbar c} \approx \frac{1}{137}.$$

- In a similar way, the intensity of the CC is  $G_F$  (Fermi constant), proportional to the square of the "weak charge" g.
- The matrix elements of the transitions are proportional to the square of the "weak charge" g and to the propagator :

$$\mathcal{M}_{fi} \propto g \frac{1}{Q^2 + m_W^2} g \xrightarrow{Q^2 << m_W^2} \frac{g^2}{m_W^2} \equiv G_F.$$

• The difference respect to the e.m. case is the mass of the carrier: while the  $\gamma$  is massless, the CC carrier is the W<sup>±</sup>, a massive particle of spin 1. Therefore the <u>range</u> of CC turns out to be <u>small</u> (1/m<sub>w</sub>).

- Unlike the case of the massless photon, for small Q<sup>2</sup> the propagator term "stays constant".
- Therefore, the Fermi constant G<sub>F</sub> has dimensions :

 $[G_F] = [m_W^{-2}] = [m^{-2}] = [\ell^2],$ 

• and a small value, due to  $m_w$ :

$$\frac{G_{F}}{(\hbar c)^{3}} = O(10^{-5} \text{GeV}^{-2}) = O[(10^{-3} \text{fm})^{2}].$$

 This effect obscures the similarity of the e.m. and weak charges (e ↔ g), which are indeed of the same order [see § 6].



#### 6/6

# charged currents : G<sub>F</sub>

- the most precise value of the Fermi constant  $G_F$  is measured by considering the muon decay  $\mu^- \rightarrow \nu_{\mu} e^- \bar{\nu}_e$ :
  - > low energy process ( $\sqrt{Q^2} \approx m_{\mu} \ll m_W$ );
  - ➤ approximated by a four-fermion pointlike process, determined by the Fermi constant (≈ g<sup>2</sup>/m<sub>W</sub><sup>2</sup>);
  - > only leptons  $\rightarrow$  free from hadronic interactions which affect other processes, e.g. the nuclear  $\beta$  decays.
- if  $m_e \approx 0$ ,  $m_\mu$  is the only scale of the decay  $\rightarrow$  dimensional analysis:

 $\Gamma(\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu) = 1/\tau_\mu \propto G_F^2 m_\mu^5$ 

• while the correct computation gives :

$$\Gamma\left(\mu^{-} \rightarrow e^{-} \overline{\nu}_{e} \nu_{\mu}\right) = \frac{G_{F}^{2} m_{\mu}^{5}}{192 \pi^{3}} (1 + \varepsilon),$$

where  $\epsilon$  is <u>small</u> and depends on higher orders (radiative) corrections and on the electron mass.

 the mass of the muon and its average lifetime are measured with great precision:

 $m_{\mu}$  = (105.658389  $\pm$  0.000034) MeV;

 $\tau_{\mu}~$  = (2.197035  $\pm$  0.000040)  $\times$  10  $^{\text{-6}}$  s.

- then the value of the Fermi constant is  $G_{\rm F}$  = (1.16637  $\pm$  0.00001)  $\times$  10^{-5} GeV^{-2}.



# **lepton universality :** $(\tau \rightarrow e) \leftrightarrow (\tau \rightarrow \mu)$

- Q. Is the weak CC the same for all leptons and quarks ? Do they share the same coupling constant  $G_F$  for all the processes ?
- the <u>CC universality</u> has received extensive tests.
- [absolutely true for leptons, some further refinement – <u>CKM</u> – for quarks]
- The <u>e-μ universality</u> is measured by analyzing the leptonic decays of the τ<sup>±</sup> (ℓ<sup>-</sup> is the appropriate lepton, e<sup>-</sup> / μ<sup>-</sup>):

$$\Gamma\left(\tau^{-} \rightarrow \ell^{-} \overline{\nu}_{\ell} \nu_{\tau}\right) \equiv \Gamma_{\ell}^{\tau} = \frac{g_{\tau}^{2} g_{\ell}^{2}}{m_{w}^{2} m_{w}^{2}} m_{\tau}^{5} \rho_{\ell};$$

[where  $\rho_{\ell}$  is the phase space factor]

$$\mathsf{BR}(\tau^{-} \to \ell^{-} \overline{\nu}_{\ell} \nu_{\tau}) \equiv \mathsf{BR}_{\ell}^{\tau} = \frac{\Gamma_{\ell}^{\tau}}{\Gamma_{tot}^{\tau}};$$



• it follows that :

$$\begin{split} \frac{\Gamma_{\mu}^{\tau}}{\Gamma_{e}^{\tau}} &= \frac{BR_{\mu}^{\tau}}{BR_{e}^{\tau}} = \frac{g_{\mu}^{2}\rho_{\mu}}{g_{e}^{2}\rho_{e}} \rightarrow \\ \frac{BR_{\mu}^{\tau}}{BR_{e}^{\tau}} \middle|_{meas.} &= \frac{(17.36 \pm .05)\%}{(17.84 \pm .05)\%} = 0.974 \pm .004, \\ \text{and, taking into account the values} \\ \text{of } \rho_{\mu} \text{ and } \rho_{e} : \\ g_{\mu}/g_{e} \middle|_{meas.} &= 1.001 \pm .002. \end{split}$$

### 2/4

# **lepton universality :** $(\mu \rightarrow e) \leftrightarrow (\tau \rightarrow e)$

The measurement of the  $\mu-\tau$  universality is similar  $[BR_x = \Gamma_x / \Gamma_{tot} = \tau \Gamma_x]$ :

$$\begin{split} & \mathsf{BR} \Big( \mu^- \to e^- \overline{\nu}_e \nu_\mu \Big) \approx 100\% \text{ (experimentally);} \\ & \frac{\Gamma \Big( \mu^- \to e^- \overline{\nu}_e \nu_\mu \Big)}{\Gamma \Big( \tau^- \to e^- \overline{\nu}_e \nu_\tau \Big)} \! = \! \frac{\tau_\tau}{\tau_\mu} \frac{\mathsf{BR} \Big( \mu^- \to e^- \overline{\nu}_e \nu_\mu \Big)}{\mathsf{BR} \Big( \tau^- \to e^- \overline{\nu}_e \nu_\tau \Big)}; \end{split}$$

the prediction is :

$$\frac{\Gamma\left(\mu^{-} \rightarrow e^{-}\overline{\nu}_{e}\nu_{\mu}\right)}{\Gamma\left(\tau^{-} \rightarrow e^{-}\overline{\nu}_{e}\nu_{\tau}\right)} = \frac{g_{e}^{2}}{g_{e}^{2}} \frac{g_{\mu}^{2}}{g_{\tau}^{2}} \frac{m_{\mu}^{5}}{m_{\tau}^{5}} \frac{\rho_{\mu}}{\rho_{\tau}} = \frac{g_{\mu}^{2}}{g_{\tau}^{2}} \frac{m_{\mu}^{5}}{m_{\tau}^{5}} \frac{\rho_{\mu}}{\rho_{\tau}}$$
$$\rightarrow \frac{g_{\mu}^{2}}{g_{\tau}^{2}} = \frac{\tau_{\tau}}{\tau_{\mu}} \frac{1}{BR\left(\tau^{-} \rightarrow e^{-}\overline{\nu}_{e}\nu_{\tau}\right)} \frac{m_{\tau}^{5}\rho_{\tau}}{m_{\mu}^{5}\rho_{\mu}},$$

 from the measurements and computations, we finally get :

$$\frac{g_{\mu}}{g_{\tau}} = 1.001 \pm .003.$$





in § 3 we have seen that the  $\tau^{\pm}$  particle is most likely a sequential lepton: this fact is a strong confirmation of it.

# **lepton universality :** τ decays

More ambitious test: extend universality to  $\underline{\tau \text{ hadronic decays}}$ :

- consider again the leptonic decays of the  $\tau$  lepton: mainly the following three decay modes :

 $\tau^- \to e^- \overline{\nu}_e \nu_\tau; \ \tau^- \to \mu^- \overline{\nu}_\mu \nu_\tau; \ \tau^- \to \overline{u} d\nu_\tau.$ 

• from the BR<sub>i</sub> ratio, expect (3 for color):

 $\Gamma^{\text{meas.}}_{\tau \to e} \approx \Gamma^{\text{meas.}}_{\tau \to \mu} \approx \Gamma^{\text{meas.}}_{\tau \to \overline{u}d} \text{/}3,$ 

3/4

- in agreement with universality and presence of color in the hadronic sector:
- it is the first time we see the color in the weak interactions sector;
- however, this does NOT show that the Wud coupling is equal to Wus, Wcd ...



Another test is the  $\underline{\tau \text{ lifetime}}$  :

$$\Gamma_{\tau \to \mu} \approx \frac{\Gamma_{\tau}^{\text{tot}}}{5} = \frac{m_{\tau}^{5}}{m_{\mu}^{5}} \Gamma_{\mu \to e} = \frac{m_{\tau}^{5}}{m_{\mu}^{5}} \frac{1}{\tau_{\mu}};$$
  

$$\tau_{\tau} = 1/\Gamma_{\tau}^{\text{tot}} \approx \frac{\tau_{\mu}m_{\mu}^{5}}{5m_{\tau}^{5}} \approx 3.1 \times 10^{-13} \text{ s;}$$
  
experimentally it is found :  

$$\tau_{\tau}^{\text{exp}} = (2.956 \pm .031) \times 10^{-13} \text{ s.}$$

- Many other experimental tests [... but I suppose that you are convinced].
- At least for CC weak interactions (but also in e.m., and in NC, as in the Z decay) all three leptons have exactly the same interactions.
- The only differences are due to their different mass.
- Isidor Isaac Rabi said in the 30's about the muon: "who ordered that ?".

# lepton universality : Z decays

- A similar test on lepton universality has been performed at LEP, in the decay of the Z (<u>a NC process</u>).
- The experiments [*see Coll.Phys.*] have measured the decay of the Z into fermion-antifermion pairs.
- They [well, WE] have found :

4/4

 $Z \rightarrow \ e^+e^-: \quad \mu^+\mu^- \quad : \quad \tau^+\tau^-$ 

**1.** :  $1.000 \pm .004$  : .999 ± .005.

- Similar more qualitative tests can be carried with angular distributions, higher orders, ...
- The total amount of information is impressive and essentially no margin is left to any alternative theory.

warning – in these pages we mix measurements of different ages, e.g.  $\mu$ -decay in the '50s,  $\tau$ -decay in the '80s, Z-decay in the '90s.



# parity violation : meaning



- Look at these two pictures (an ancient sculpture and a modern cross-section);
- one is human-made, the other a law of nature;
- both contain a <u>symmetry</u> (left-right legs, forward-backward μ<sup>+</sup>μ<sup>-</sup>) and an <u>asymmetry</u> (the broken arm, e<sup>+</sup>e<sup>-</sup>);



- are they examples of *parity violation* ?
- Obviously NO [if for no other reason, because p.v. was discovered in the '50s, not in the IV century B.C.];
- figure out a reasonable explanation
- [consider flipping the pictures; does it help ?].

# parity violation : history

- The effect was proposed in 1956 by two young theoreticians in a classical paper and immediately verified in a famous experiment (Mme Wu) [*FNSN* 1] and in the π<sup>±</sup>- and μ<sup>±</sup>- decays by Lederman and coll.
- The historical reason was a review of weak interaction processes and the explanation of the " $\theta$ - $\tau$  puzzle", in modern terms the K<sup>0</sup> decay (K<sup>0</sup>  $\rightarrow$  2 $\pi$ ) vs (K<sup>0</sup>  $\rightarrow$  3 $\pi$ ).





Nobel Prize 1957 Tsung-Dao Lee (*Lǐ Zhèngdào,* 李政道)

Chen-Ning Franklin Yang (Yáng Zhènníng, 杨振宁 or 楊振寧)

for their penetrating investigation of the socalled parity laws which has led to important discoveries regarding the elementary particles.

For remarks on vectors, helicity and chirality, <u>look</u> at the end of the chapter.

2/9

# parity violation : mechanism

- The two authors found that parity conservation in weak decays was NOT really supported by measurements.
- The CC current is "V A", which is an acronym for the factor  $\gamma_{\mu}(1 \gamma_5)$  in the current; i.e. the CC have a "preference" for <u>left-handed particles</u> and <u>right-handed anti-particles</u>;
- these effects clearly violates the parity;
- e.g. consider a v: the parity operator ℙ flips the helicity:

 $\mathbb{P} | v, h = -1 > = | v, h = +1 >$ 

→ v's with a -ve helicity become v's with +ve helicity, which **DO NOT EXIST** (or do not interact).



- Comments :
  - V or A alone would NOT violate the parity. The violation is produced by the simultaneous presence of the two, technically by their interference.
  - The conservation is restored, applying also C, the charge conjugation:

 $\mathbb{CP} | v,h=-1 > = \mathbb{C} | v,h=+1 > = | \overline{v},h=+1 >$ ,

i.e.  $v_{h=-1} \rightarrow \bar{v}_{h=+1}$ , which <u>does exist</u>. Therefore, "<u>CP is not violated</u>" [not in these experiments, at least].

> the above discussion holds only if  $m_v = 0$  (NOT TRUE), or  $m_v << E_v$  (ultrarelativistic approximation - <u>u.r.a.</u>); the u.r.a. for v's is used in this chapter.

# parity violation : the v helicity

• For massless v's or in the u.r.a. approximation<sup>(\*)</sup>, V–A implies :



- Therefore in the "forbidden" amplitudes, there is a factor [∞ (1 – β)] for massive particles, which vanishes when β → 1.
- If we assume a factor  $(1 \pm \beta)$  for the production of (  $h = \mp 1$ ) particles (the opposite for anti-particles), we get :

i.e., when produced in CC interactions, particles in average have –ve helicity, while anti-particles have +ve helicity.

- The effect is maximal for v's ( $\beta_v \approx$  1), which also have no other interactions.
- For e<sup>-</sup>, it is also well confirmed by data in  $\beta$  decays [YN1, 570] :



<sup>(\*)</sup> If  $m_v > 0 \rightarrow \beta_v < 1$ ; a L-transformation can reverse the sign of the momentum, and hence the v helicity, so the following argument is NOT L-invariant for massive particles [previous slide].

B

5/9

Chien-Shiung Wu 吳健雄 1912 - 1997

The "Madam Wu" experiment (1957) discovered the parity violation in <sup>60</sup>Co decay.

A difficult elegant application of state-of-the-art technologies in nuclear physics and cryogenics.



### **Technicalities:**

Alian the nuclear spins with an external B	A 12 I						
	Align the	nuclear s	spins	with	an	external	<b>B</b> :

- at a given value of T, E<sub>T</sub> = k<sub>B</sub>T (k<sub>B</sub> : Boltzmann constant);
- the magnetic field  $E_B = \vec{\mu} \cdot \vec{B}$ ;
- good alignment if  $E_B \ge E_T$  (e.g.  $T \approx 10^{-2}$  K,  $B \approx 20$  T [see box]);

#### such a large $|\vec{B}|$ ?

- use external  $|\vec{B}_{ext}|$  of few × 10<sup>-2</sup> T;
- it polarizes the electrons in the CMN;
- since  $(\mu_e / \mu_N = m_N / m_e \approx 2,000) \rightarrow it$ produces a strong  $|\vec{B}|$  of few T;  $\bigcirc \bigcirc \odot \bigcirc$

 $\begin{array}{ll} k_{B} &= 8.62 \times 10^{-5} \mbox{ eV / K;} \\ \mu_{N} &= 3.15 \times 10^{-8} \mbox{ eV / T;} \\ T &= 10^{-2} \mbox{ K } \rightarrow E_{T} \approx 8 \times 10^{-7} \mbox{ eV;} \\ B &= 20 \mbox{ T } \rightarrow E_{B} \approx 6 \times 10^{-7} \mbox{ eV.} & \textcircled{\mbox{ $\odot$}} \end{tabular}$ 

#### such a small T ?

- everything in a cryostat;
- produce T  $\approx 10^{-2}$  K using <u>adiabatic</u> <u>depolarization</u>;

#### how to operate ?

- switch the field off ( $\rightarrow$  "t<sub>0</sub>");
- start counting as a function of time;
- the polarization goes away in few minutes and the effect disappears.



6/9

a) 
$${}^{60}_{27}\text{Co}(J^{P}=5^{+})^{\uparrow} \rightarrow {}^{60}_{28}\text{Ni}^{**}(J^{P}=4^{+})^{\uparrow} e^{-} \bar{v}_{e};$$
  
b)  ${}^{60}_{28}\text{Ni}^{**}(J^{P}=4^{+})^{\uparrow} \rightarrow {}^{60}_{28}\text{Ni}^{*}(J^{P}=2^{+})^{\uparrow} \gamma_{1}^{[1.173 \text{ MeV}]};$   
c)  ${}^{60}_{28}\text{Ni}^{*}(J^{P}=2^{+})^{\uparrow} \rightarrow {}^{60}_{28}\text{Ni}(J^{P}=0^{+})^{\uparrow} \gamma_{2}^{[1.332 \text{ MeV}]};$ 

- the chain decay [box above];
- decay (a) is <u>weak</u> [interesting];
- decays (b), (c) are e.m.  $\rightarrow \mathbb{P}$  conserved;
- both (a) (b) (c) conserve angular mom.;
- in A : see  $\gamma_{1,2}$  if  $\perp$  to  $\vec{B}$ ;
- in B : see  $\gamma_{1,2}$  if // to  $\vec{B}$  [or anti-// to  $\vec{B}$ ];

• in C : see  $e^{-}$  if // to  $\vec{B}$  [or anti-// to  $\vec{B}$ ].

Plots (=normalized counts in ABC, for  $\pm \vec{B}$ ) :

- asymmetries at t=t<sub>0</sub>, then go away;
- A > B because of polarization 𝒫
   → measure 𝒫, to be used later;
- A and B do not depend on B direction [e.m. conserves P];
- C does depend on  $\vec{B}$  direction, with a rate equal to  $\mathcal{P} \rightarrow \underline{\mathbb{P}}$  is violated.









reinterpret the exp. with V - A theory:

• J conservation +  $\mathscr{P}$ olarization  $\rightarrow$  force spin direction of e<sup>-</sup>;

 $\approx$  0.6 (computed)

- case 1: >  $h_{e} = +1 \rightarrow \text{forbidden} ( \propto 1 - \beta_{e});$
- case 2

8/9

>  $h_e = -1 \rightarrow allowed;$ 

- conclusion:
  - > direction opposite to  $\vec{B}$  preferred;
  - > electron rate W depends on  $\cos \theta$ , the angle  $\vec{B} - \vec{v}_e$ :

W(cosθ) 
$$\propto$$
 1 –  $\mathscr{P}$ β<sub>e</sub> cosθ.

 $\approx$  0.65 (from counters A,B)

# parity violation : the Feynman's view

[... /]magine that we were talking to a Martian, or someone very far away, by telephone. We are not allowed to send him any actual samples to inspect; for instance, if we could send light, we could send him right-hand circularly polarized light. [...] But we cannot give him anything, we can only talk to him.

[Feynman explains how to communicate: math, classical physics, chemistry, biology are simple]

[...] "Now put the heart on the left side." He says, "Duhhh - the left side?" [...] We can tell a Martian where to put the heart: we say, "Listen, build yourself a magnet, [... repeat the mme Wu exp ...;] then the direction in which the current goes through the coils is the direction that goes in on what we call the right.

[... However,] does the right-handed matter behave the same way as the right-handed antimatter? Or does the right-handed matter behave the same as the left-handed antimatter? Beta-decay experiments, using positron decay instead of electron decay, indicate that this is the interconnection: matter to the "right" works the same way as antimatter to the "left."

[... *We then*] make a new rule, which says that matter to the right is symmetrical with antimatter to the left.

So if our Martian is made of antimatter and we give him instructions to make this "right" handed model like us, it will, of course, come out the other way around. What would happen when, after much conversation back and forth, we each have taught the other to make space ships and we meet halfway in empty space? [...] Well, if he puts out his left hand, watch out!

From Feynman Lectures on Physics, 1, 52: "Symmetry in Physical Laws".

Quite amusing and great physics :

- the symmetry he is talking about is "CP" and NOT simply "P" or "C" !!!
- but  $\mathbb{CP}$  is also violated [see § K<sup>0</sup>].

# the $v_e$ helicity



In 1958, <u>Goldhaber, Grodzins and Sunyar</u> measured the <u>helicity of the electron</u> <u>neutrino</u>  $v_e$  with an ingenious experiment.

- A crucial confirmation of the V–A theory; pure V or A had been ruled out, but v/v helicity was still not measured.
- <u>Metastable Europium</u> (<sup>152</sup>Eu) decays via K-capture → <u>excited Samarium</u> (Sm\*) + v<sub>e</sub>, <u>whose helicity is the result of the exp.</u>;
- the Sm<sup>\*</sup> decays again into more stable Samarium (Sm), emitting a  $\gamma$  [ $\gamma_1$  in fig.].
- For such a γ the transmission in matter depends on the e<sup>-</sup> spins; therefore a large B-field is applied to polarize the iron.

- The γ's are used to excite again another Sm; only γ's from the previous chain may do it; another γ is produced [γ<sub>2</sub> in fig.].
- The resultant  $\gamma$ 's are detected.



# <sup>2/5</sup> the $v_e$ helicity : summary of the experiment



# the $v_e$ helicity : Europium $\rightarrow$ Samarium $\rightarrow \gamma$



- $v_e$  monochromatic,  $E_v \approx 900$  keV;
- Sm\* lifetime = ~10<sup>-14</sup> s, short enough to neglect all other interactions;
- Sm\* excitation energy = 961 KeV (  $\approx E_v$ );
- <u>only</u> for  $\gamma$  <u>in the direction of Sm\* recoil</u>, angular momentum conservation implies <u>Sm\* helicity</u> =  $\underline{v}_e$  <u>helicity</u> =  $\gamma$  <u>helicity</u> =  $\pm 1$ [see box with 2 alternative hypotheses].

- Therefore, the method is:
  - > [cannot measure directly the  $v_e$  spin]
  - > select and measure the  $\gamma$ 's emitted anti-parallel to the  $v_e$ 's, i.e. in the same direction of the (<sup>152</sup>Sm<sup>\*</sup>);
  - measure their spin;
  - > reconstruct the  $v_e$  helicity.

# the $v_e$ helicity : resonant scattering

- For  $\gamma$  of 961 keV, the dominant interaction with matter is the Compton effect; the Compton cross section is spin-dependent: the transmission is larger when the  $\gamma$  and e<sup>-</sup> spin are parallel.
- Therefore, a strong and reversible  $\vec{B}$  (saturated iron) selects the polarized  $\gamma$ 's, producing an asimmetry between the two  $\vec{B}$  orientations.
- Need also to select only the  $\gamma$ 's polarized according to the  $v_e$  spin, i.e. produced opposite to the  $v_e$ 's  $\rightarrow$  use the method of *resonant scattering* in the Sm<sub>2</sub>O<sub>3</sub> ring:

 $\gamma_1$  + <sup>152</sup>Sm  $\rightarrow$  <sup>152</sup>Sm\*  $\rightarrow$  <sup>152</sup>Sm +  $\gamma_2$ .

• [kinematics (next slide) : a nucleus at rest, excited by an energy  $E_0$ , decays with a  $\gamma$  emission; the  $\gamma$  energy in the lab. is reduced by a factor  $E_0/(2M)$ ].

- In general,  $\gamma_1$  energy is degraded and NOT sufficient for Sm excitation (i.e. to produce  $\gamma_2$ ).
- But, if  $\gamma_1$  is anti-parallel to  $\nu_e$ , the Sm\* recoils against  $\nu_e$ . The resultant Doppler effect in the correct direction provides  $\gamma_1$ of the necessary amount of extra energy  $(E_{\nu} \approx E_{\nu})$ .
- In conclusion, only the  $\gamma$ 's antiparallel to  $v_e$ 's are detected, but those  $\gamma$ 's carry the information about  $v_e$  helicity.



# the $v_e$ helicity : kinematics





 $\rightarrow$  if the excited nucleus (M) is <u>at rest</u>, the energy of the  $\gamma$  in the lab. is smaller than the excitation energy E<sub>0</sub>; therefore it is insufficient to excite another nucleus at rest; for this to happen, the excited nucleus has to move in the <u>right direction</u> with the <u>appropriate energy</u>.

# weak decays : $\pi^{\pm}$



 The π<sup>±</sup> is the lightest hadron; therefore it may only decay through semileptonic CC weak processes, like (consider only π<sup>+</sup>, for π<sup>-</sup>, apply C):

 $\pi^{\scriptscriptstyle +} \rightarrow \mu^{\scriptscriptstyle +} \, \nu_{\mu}; \quad \pi^{\scriptscriptstyle +} \rightarrow e^{\scriptscriptstyle +} \, \nu_{e}.$ 

- In reality, it almost decays only into  $\mu$ 's: the electron decay is suppressed by a factor  $\approx$  8,000, NOT understandable, also because the ( $\pi \rightarrow$  e) decay is favored by space phase.
- The reason is the <u>helicity</u>:

ℓ =lepton, i.e. e/μ

- in the π<sup>+</sup> reference frame, the momenta of the ℓ<sup>+</sup> and the v<sub>ℓ</sub> must be <u>opposite</u>;
- since the π<sup>+</sup> has spin 0, the <u>spins</u> of the ℓ<sup>+</sup> and the v must also be <u>opposite</u>;
- therefore the two particles must have the <u>same helicity</u>;

- > since the v (a ~massless particle) must have negative helicity, the <u>ℓ</u><sup>+</sup> (a nonmassless antiparticle) is also forced to have <u>negative helicity</u>;
- > therefore the transition is suppressed by a factor  $(1 - \beta_{e})$ ;
- the e<sup>+</sup> is <u>ultrarelativistic</u> (p<sub>e</sub> ≈ m<sub>π</sub> / 2 >> m<sub>e</sub>), while the μ<sup>+</sup> has small β [compute it !!!];
- ➤ therefore the decay  $\pi \rightarrow e$  is strongly suppressed respect to  $\pi \rightarrow \mu$ .



Kinematics (next slide) :

>  $p_{\ell} = [(m_{\pi}^2 - m_{\ell}^2) / (2 m_{\pi})];$ 

$$\beta_e$$
 = (1 − 2.6 × 10<sup>-5</sup>);

$$\succ \beta_{\mu} = 0.38$$

# weak decays : kinematics



#### **SOLUTION** : (more general)

2/6

Decay  $M \rightarrow a$  b. Compute  $p = |\vec{p}_a| = |\vec{p}_b|$ in the CM system, i.e. the system of M:

 $p^{2} = \frac{\left[M^{2} - (m_{a} - m_{b})^{2}\right]\left[M^{2} - (m_{a} + m_{b})^{2}\right]}{4M^{2}}.$ 

$$CM \begin{cases} (M, & 0, & 0,0) \\ (\sqrt{m_a^2 + p^2}, & p, & 0,0); \\ (\sqrt{m_b^2 + p^2}, & -p, & 0,0) \end{cases}$$

a) 
$$m_a = m_b = m;$$
 e.g.  $K^0 \to \pi^0 \pi^0;$   
 $p^2 = \frac{M^2 - 4m^2}{4} = \frac{(M + 2m)(M - 2m)}{4};$ 

b) 
$$m_a = m_b = 0;$$
 e.g.  $\pi^0 \rightarrow \gamma\gamma, H \rightarrow \gamma\gamma;$   
 $p^2 = \frac{M^2}{4}; p = \frac{M}{2};$ 

c) 
$$m_a = m; m_b = 0; e.g. \pi^+ \to \mu^+ \nu_{\mu}, W^* \to W\gamma;$$
  
 $p = \frac{M^2 - m^2}{2M} = \frac{M}{2} \left[ 1 - \left(\frac{m}{M}\right)^2 \right].$ 



energy conservation : 
$$M = \sqrt{m_a^2 + p^2} + \sqrt{m_b^2 + p^2}$$
;  
 $2\sqrt{m_a^2 + p^2}\sqrt{m_b^2 + p^2} = M^2 - m_a^2 - m_b^2 - 2p^2$ ;  
 $4\left[m_a^2m_b^2 + p^2\left(m_a^2 + m_b^2\right) + p_a^{\prime 4}\right] = \left(M^2 - m_a^2 - m_b^2\right)^2 + 4p_a^{\prime 4} - 4p^2\left(M^2 - m_a^2 - m_b^2\right)$ ;  
 $4p^2\left[\left(pr_a^2 + pr_b^2\right) + \left(M^2 - pr_a^2 - pr_b^2\right)\right] = -4m_a^2m_b^2 + \left(M^2 - m_a^2 - m_b^2\right)^2$ ;  
 $4p^2M^2 = \left[\left(M^2 - m_a^2 - m_b^2\right) + 2m_am_b\right]\left[\left(M^2 - m_a^2 - m_b^2\right) - 2m_am_b\right] = (\text{see above})$ 

#### 3/6

## weak decays : contour plot





### 4/6

# weak decays : $\pi^{\pm} \rightarrow$ (e<sup>±</sup> $\leftrightarrow \mu^{\pm}$ )

only factors different for  $\mu/e$  ( $\ell$ -universality)

<u>Problem: compute the factor in the  $\pi^{\pm}$  decay between  $\mu$  and e.</u>

- Assume for the decay  $\pi \to \mathfrak{e} \ [\mathfrak{e} = \mu \ or \ e]$  :
- p = decay product momentum;
- $\rho_e$  = dN/dE<sub>tot</sub> = phase space factor;
- dN = Vp<sup>2</sup>dpd $\Omega/(2\pi)^3$ ;

 $(1 - \beta_{e})$  = helicity suppression;

$$\mathsf{BR}_{\ell} = \Gamma_{\ell} / \Gamma_{\mathsf{tot}} \propto \rho_{\ell} \times (1 - \beta_{\ell}).$$

In this case the decay is isotropic. Then :

 $ho_{
m \ell} = -\infty p^2 dp/dE_{
m tot};$ 

4-momentum conservation [use previous slide and keep only terms ℓ-dependent]:

$$\begin{split} p_{\ell} = p_{v} = E_{v} \equiv p; & E_{tot} = m_{\pi}; & E_{\ell} = m_{\pi} - E_{v} = m_{\pi} - p; \\ p = \frac{m_{\pi}^{2} - m_{\ell}^{2}}{2m_{\pi}} = \frac{E_{tot}}{2} - \frac{m_{\ell}^{2}}{2E_{tot}}; & \frac{dp}{dE_{tot}} = \frac{1}{2} + \frac{m_{\ell}^{2}}{2m_{\pi}^{2}} = \frac{m_{\pi}^{2} + m_{\ell}^{2}}{2m_{\pi}^{2}}; \\ \rho_{\ell} \propto \left(\frac{m_{\pi}^{2} - m_{\ell}^{2}}{2m_{\pi}}\right)^{2} \frac{m_{\pi}^{2} + m_{\ell}^{2}}{2m_{\pi}^{2}} = \frac{\left(m_{\pi}^{2} + m_{\ell}^{2}\right)\left(m_{\pi}^{2} - m_{\ell}^{2}\right)^{2}}{8m_{\pi}^{4}}; \\ \text{irrelevant} \qquad \rho_{e} > \rho_{\mu} \end{split}$$



$$\begin{split} 1 - \beta_{\ell} &= 1 - \frac{p_{\ell}}{E_{\ell}} = 1 - \frac{p}{m_{\pi} - p} = \frac{m_{\pi} - 2p}{m_{\pi} - p} = \\ &= \frac{m_{\pi} - 2(m_{\pi}^{2} - m_{\ell}^{2})/(2m_{\pi})}{m_{\pi} - (m_{\pi}^{2} - m_{\ell}^{2})/(2m_{\pi})} = \frac{2m_{\ell}^{2}}{m_{\pi}^{2} + m_{\ell}^{2}}; \\ BR_{\ell} &\propto \left(m_{\pi}^{2} + m_{\ell}^{2}\right) \left(m_{\pi}^{2} - m_{\ell}^{2}\right)^{2} \frac{\chi m_{\ell}^{2}}{m_{\pi}^{2} + m_{\ell}^{2}} = \\ &\propto m_{\ell}^{2} \left(m_{\pi}^{2} - m_{\ell}^{2}\right)^{2}. \\ For electrons, m_{e} << m_{\pi}, so : \\ \frac{BR(\pi^{+} \to e^{+}v_{e})}{BR(\pi^{+} \to \mu^{+}v_{\mu})} = \left(\frac{m_{e}}{m_{\mu}} \frac{m_{\pi}^{2} - pq_{e}^{2}}{m_{\pi}^{2} - m_{\mu}^{2}}\right)^{2} \approx 1.28 \times 10^{-4}. \\ Experimentally, it is measured \\ \frac{BR(\pi^{+} \to e^{+}v_{e})}{BR(\pi^{+} \to \mu^{+}v_{\mu})} = 1.23 \times 10^{-4}. \end{split}$$

8,000 N(π→e)

# weak decays : µ<sup>±</sup>

• Consider a famous experiment (Anderson et al., 1960) :



 In the μ<sup>+</sup> ref. frame (=LAB), this configuration is clearly preferred :



- In this angular configuration, both space and angular momentum are conserved, the particles are left- and the antiparticles right-handed.
- From the figure : -
  - > few e<sup>+</sup> directly from  $\pi^+$  decay, shown

in the right part ( $\int \mu / \int e \approx 8,000$ );

- the electron energy is the only measurable variable;
- > kinematical considerations show that it is correlated with the angular variables, and that the value E<sub>e</sub> ≈ m<sub>µ</sub> / 2 is possible only for parallel v's.
- the distribution clearly shows the parity violation in muon decay.



# weak decays : $\mathbb{C}$ , $\mathbb{P}$ in $\mu$ decay



- [the "×" shows the forbidden not existent particles ]
- both C and P alone transforms the decay into non-existent processes (we say "<u>both C and P separately are not conserved in this process</u>");
- instead, the application of  $\mathbb{CP}$  turns a  $\mu^-$  decay (<u>which does exist</u>) into a  $\mu^+$  decay (<u>which also exists</u>)  $\rightarrow$  " $\mathbb{CP}$  is conserved in this process".

### 1/3

# decay $\pi^0 \rightarrow \gamma\gamma$ : L-transf.





#### 2/3

# decay $\pi^0 \rightarrow \gamma\gamma$ : angle $\alpha$





$$f(\theta^{*}) \qquad \alpha \Big|_{min} [\cos\theta^{*} = 0] \quad \alpha \Big|_{max} [\cos\theta^{*} = 1] \\ \pi^{0} \qquad m\{\gamma,\beta\gamma,0;1\} \qquad m\{\gamma,\beta\gamma,0;1\} \qquad m\{\gamma,\beta\gamma,0;1\} \\ \gamma_{1} \qquad \frac{m}{2}\{\gamma(1+\beta\cos\theta^{*}),\gamma(\cos\theta^{*}+\beta),\sin\theta^{*};0\} \qquad \frac{m}{2}\{\gamma,\beta\gamma,1;0\} \qquad \frac{m}{2}\{\gamma(1+\beta),\gamma(1+\beta),0;0\} \\ \gamma_{2} \qquad \frac{m}{2}\{\gamma(1-\beta\cos\theta^{*}),\gamma(-\cos\theta^{*}+\beta),-\sin\theta^{*};0\} \qquad \frac{m}{2}\{\gamma,\beta\gamma,-1;0\} \qquad \frac{m}{2}\{\gamma(1-\beta),\gamma(-1+\beta),0;0\} \\ \end{pmatrix}$$



# $\beta$ decay : introduction

- For <u>point-like fermions</u>, CC is "V A", both for leptons and quarks [the only difference for hadrons being the CKM "rotation", see later];
- however, nucleons and hyperons (p, n, Λ, Σ, Ξ, Ω) are bound states of non-free <u>quarks</u>;
- for low Q<sup>2</sup> processes, the "spectator model" (in this case the free quark decay) is an <u>unrealistic</u> approximation;
- strong interaction corrections are important → modify V – A dynamics;
- the standard approach, due to Fermi, is to produce a parameterization, based on the vector properties of the current (<u>S-P-</u> <u>V-A-T</u>, see) and then compute ↔ measure the coefficients;
- pros : quantitative theory, which reproduces the experiments well;

• cons : lack of deep understanding of the parameters.

the simple and successful approach, used for point-like decays, is not valid here, because of strong interaction corrections; those are (possibly understood, but) non-perturbative and impossible to master with present-day math; same as chemistry  $\leftrightarrow$  electromagnetism.



# $\beta$ decay : Fermi $\leftrightarrow$ Gamow-Teller

- In Fermi theory, CC currents were classified according to the properties of the transition operator.
- In neutron β-decay, the e-v pair may be created as a spin singlet (S=0) or triplet (S=1). In case of NO orbital angular momentum, there are two possibilities to conserve the total angular momentum :
  - Fermi transitions [F], S=0, ∆J<sub>ev</sub>=0 : the direction of the spin of the nucleon remains unchanged; in modern language, [*it can be shown that*] the interaction takes place with vector coupling G<sub>V</sub>;
  - ➤ <u>Gamow-Teller transitions</u> [G-T], S=1,  $\Delta J_{ev}$ = 0, ±1 : the direction of the spin of the nucleon is turned upside down (it "flips"); [...] the transition happens with axial-vector coupling G<sub>A</sub>.
- In principle, F and G-T processes are completely different : there is no a-priori reason why the coupling should be similar or even related.



## β **decay : S, P, V, A, T**

• Study the **<u>neutron \beta decay</u>**; assume :

▷ p and n are spin-½ fermions;

e<sup>±</sup> and v are spin-½ fermions, but only v's with "- helicity" exist [interact].

• Then, the most general matrix element for the four-body interaction is

$$\mathcal{M}_{fi} = \frac{G_F}{\sqrt{2}} \sum_{j} C_j \left[ \overline{u}_p O_j u_n \right] \left[ \overline{u}_e O_j \left( \frac{1 - \gamma_5}{2} \right) u_v \right],$$

- ➢ G<sub>F</sub> : the overall coupling;
- ū<sub>p,n,e,v</sub> (u<sub>p,n,e,v</sub>) : creation (destruction) operators for p, n, e, v;
- >  $(1-\gamma_5)/2$  : projector of -ve v helicity;
- C<sub>j</sub>: sum coefficients (adimensional free parameters, possibly of order 1);
- O<sub>j</sub> : current operators with given vector properties : S = scalar, P = pseudo-scalar, V = vector, A = axialvector, T = tensor.

- For  $\beta$ -decay, the pseudo-scalar term is irrelevant : P can only be built from the proton velocity v<sub>p</sub> in the neutron rest frame, which are depressed by v<sub>p</sub>/c;
- For the other four terms, the angular distributions are [BJ 399, YN1 561] (1, ⅓ for singlet and triplet, β=electron velocity) :



# 

- From comparison with data, some terms can be excluded:
  - (S and V) are Fermi transitions : they cannot be both present, due to the lack of observed interference between them;
  - (A and T) are G-T transitions : same argument holds;
  - the angular distributions of the electrons are only consistent with V for F and A for G-T.
- So the matrix element becomes :

$$\mathcal{M}_{fi} = \frac{G_{F}}{\sqrt{2}} \left[ \overline{u}_{p} \gamma^{\mu} (C_{v} + C_{A} \gamma_{5}) u_{n} \right] \left[ \overline{u}_{e} \gamma^{\mu} \left( \frac{1 - \gamma_{5}}{2} \right) u_{v} \right],$$

- the value of C<sub>v</sub> can be measured by comparing (<u>composite</u>) hadrons with (<u>free, pure V–A</u>) leptons; it turns out
  - $C_v \approx 1.$

• The value of  $(C_A)^2$  can be measured from the relative strength of F and G-T, by comparing neutron  $\beta$ -decay with a pure Fermi (<sup>14</sup>O  $\rightarrow$  <sup>14</sup>N e<sup>+</sup>v); for  $\beta$  decay:

 $|C_A| \cong 1.267.$ 

 The sign of C<sub>A</sub> could be measured from the polarization of the protons (a very difficult measurement); in practice from the interference between F and G-T in polarized neutrons decays :

#### $C_A \cong -1.267.$

Fermi did not know about parity violation, and would have written different matrix elements for his ("Fermi") transitions.

However, the final result for leptons and free quarks is very similar to his original proposal, but the factor  $(1-\gamma_5)/2$ :

$$\mathcal{M}_{fi} = \frac{G}{\sqrt{2}} \left[ \overline{u}_{p} \gamma^{\mu} \left( \frac{1 - \gamma_{5}}{2} \right) u_{n} \right] \left[ \overline{u}_{e} \gamma^{\mu} \left( \frac{1 - \gamma_{5}}{2} \right) u_{v} \right].$$

# β decay : CVC, PCAC

Focus on the hadron current  $\propto$  [C\_V + C\_A \gamma\_5] :

- for <u>leptons</u> C<sub>A</sub> = C<sub>V</sub>, i.e. "V-A" [much simpler, because leptons are free];
- for <u>quarks</u>, when no spectators are present, as in  $\pi^{\pm}$  decays, similar picture (but CKM corrections);
- for <u>composite hadrons</u>, the picture works when their partons (quarks) interact as "quasi-free" particles;
- e.g. the "spectator approximation" works well in v DIS and in hadron colliders, where the CC looks "V-A" as well;
- however, <u>at low Q<sup>2</sup></u> hadrons behave as coherent particles and not as parton containers → "V-A" is **not** valid.

$$\label{eq:main_final} \boxed{ \mathcal{M}_{fi} \propto \left[ \overline{u}_{p} \gamma^{\mu} \left( 1 + \frac{C_{A}}{C_{V}} \gamma_{5} \right) u_{n} \right] \left[ \overline{u}_{e} \gamma^{\mu} \left( 1 - \gamma_{5} \right) u_{v} \right] }$$

- In low Q<sup>2</sup> processes, [it can be shown that] the vector part of the hadronic current stays constant (<u>CVC</u>, conserved vector current), while the axial part is broken (<u>PCAC</u><sup>(\*)</sup>, "partially conserved axial current").
- In baryon  $\beta$ -decays, it is measured :
  - >  $n \rightarrow p e \bar{v}_{e'}$   $C_A/C_V = -1.267$
  - $\succ \Lambda \rightarrow p \pi^{-}, n \pi^{0} = -0.718$
  - $\succ \Sigma^- \rightarrow n e \bar{v}_e = +0.340$
  - $\succ$  Ξ<sup>-</sup>→Λe<sup>-</sup>ν<sub>e</sub> = -0.25
  - > [high  $Q^2$  (free quarks) = -1].

(\*) at the time, they preferred to say "partially conserved" instead of "badly broken"; it now seems that the acronym "PCAC" is slowly disappearing from the texts : you are kindly requested to forget the term "PCAC" forever.

## quark decays: the puzzle

- For high mass quarks and at high Q<sup>2</sup>, the structure "V–A" seems restored: quarks behave as free, point-like particles, exactly like the leptons [*Coll.Phys.*].
- However, with more accurate data, some discrepancies appear, not due to strong interactions (see boxes).
- An apparent violation of CC universality ? A mistake ?

(continue...)



# quark decays : Cabibbo theory

(... continue ...)

Even tiny, but well measured effects seem to contradict the universality; "G<sub>F</sub>" is slightly larger for leptons :

$$G_{F}\left[\mu^{-} \rightarrow e^{-}\overline{\nu}_{e}\nu_{\mu}\right] \approx 1.166 \times 10^{-5} \text{ GeV}^{-2};$$

$$G_{F}\begin{bmatrix} n \rightarrow pe^{-}\overline{v}_{e}, \\ i.e. d \rightarrow ue^{-}\overline{v}_{e} \end{bmatrix} \approx 1.136 \times 10^{-5} \text{ GeV}^{-2}.$$

In 1963 N. Cabibbo [at the time much younger than in the image], invented a theory to explain the effect : the "Cabibbo angle"  $\theta_c$ :

$$\begin{pmatrix} \mathbf{d'} \\ \mathbf{s'} \end{pmatrix} = \begin{pmatrix} \cos \theta_{c} & \sin \theta_{c} \\ -\sin \theta_{c} & \cos \theta_{c} \end{pmatrix} \begin{pmatrix} \mathbf{d} \\ \mathbf{s} \end{pmatrix}.$$







# quark decays : Cabibbo "rotation"

The idea was the following :

3/6

- the hadrons are built up with quarks u d
   s (c b t not yet discovered);
- however, in the CC processes, the quarks (d s) same quantum numbers but S mix together (= "rotate" by an angle  $\theta_c$ ), in such a way that the CC processes see "rotated" quarks (d' s') :

$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \theta_{c} & \sin \theta_{c} \\ -\sin \theta_{c} & \cos \theta_{c} \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

• therefore, respect to the strength of the leptonic processes (no mix), the ud

coupling is decreased by  $\cos\theta_c$  and the us coupling by  $\sin\theta_c$ , since the real process in ud', not ud or us.

- therefore the processes with  $\Delta S = 0$ happen  $\propto \cos^2\theta_c$  and those with  $\Delta S = 1$  $\propto \sin^2\theta_c$ ;
- even processes  $\propto \sin^4\theta_c$  may happen (e.g. in the charm sector, see §3), when two "Cabibbo suppressed" couplings are present in the same process;
- all the anomalies come back under control if

$$sin^2\theta_c \approx .03$$
,  $cos^2\theta_c \approx .97$ .



## quark decays : GIM mechanism

In this context the GIM mechanism was invented to explain the absence of FCNC:

• data, at the time not understandable :

4/6

$$BR(K^{0} \rightarrow \mu^{+}\mu^{-}) = 7 \times 10^{-9} \left\{ \begin{array}{c} already \\ mentioned \end{array} \right\};$$

$$BR(K^{+} \rightarrow \pi^{+} \nu \overline{\nu}) = (1.5^{+1.3}_{-0.9}) \times 10^{-10}$$
$$BR(K^{+} \rightarrow \pi^{0} e^{+} \nu_{e}) = (4.98 \pm 0.07) \times 10^{-2}$$

i.e. a factor  ${\sim}10^{\text{-8}}$  between NC and CC decays;

- if the Z, carrier of NC, see the same quark mixture as the W<sup>±</sup> in CC, then the NC decay would be suppressed only by a factor 5%;
- the idea was to introduce a fourth quark, called c (<u>charm</u>), with charge <sup>2</sup>/<sub>3</sub>, as the u quark; this solves the FCNC problem;
- the c quark was discovered in 1974 [see § 3].





# quark decays : no FCNC

In the GIM mechanism, NC contain four hadronic terms, coupled with the Z.



Assume Cabibbo theory and sum all terms: uū + d'd' + cc̄ + s's̄' =

- =  $u\bar{u}$  +  $(d\cos\theta_c + s\sin\theta_c)(\bar{d}\cos\theta_c + \bar{s}\sin\theta_c)$  +
- +  $c\bar{c}$  +  $(scos\theta_c dsin\theta_c)(\bar{s}cos\theta_c \bar{d}sin\theta_c) =$ =  $u\bar{u}+c\bar{c}+d\bar{d}+s\bar{s}$  + "0". (!!!)

the "non-diagonal" terms, which induce FCNC, <u>disappear</u>.

Why (K<sup>0</sup>  $\rightarrow \mu^{+}\mu^{-}$ ) is small, but NOT = 0 ?

Look at the "box diagrams" 2;

- technically a 2<sup>nd</sup> order ( $\propto g^4 \sin \theta_c \cos \theta_c$ ) CC;
- same final state as a 1<sup>st</sup> order FCNC 1;
- incompatible with data (BR too large);

• cured by the diagram 3 with a c quark, whose contribution cancels the first in the limit  $m_c \rightarrow m_u$ .

The cancellation depends on  $m_c$ . The data on (K<sup>0</sup>  $\rightarrow \mu^+\mu^-$ ) put limits on  $m_c$  between 1 and 3 GeV [J/ $\psi \rightarrow 2m_c \approx 3.1$  GeV, see].



## quark decays : the third generation

In 1973, Kobayashi and Maskawa extended the Cabibbo scheme to a new generation of quarks : the new mixing matrix (analogous to the Euler matrix in ordinary space) is a three-dimension unitary matrix, with three real parameters ("Euler angles") and one imaginary phase :

$$\begin{pmatrix} u \\ d' \end{pmatrix}_{L} \begin{pmatrix} c \\ s' \end{pmatrix}_{L} \begin{pmatrix} t \\ b' \end{pmatrix}_{L} \updownarrow W^{\pm}$$

6/6

$$\begin{pmatrix} \mathbf{d'} \\ \mathbf{s'} \\ \mathbf{b'} \end{pmatrix} = \begin{pmatrix} \mathbf{V}_{ud} & \mathbf{V}_{us} & \mathbf{V}_{ub} \\ \mathbf{V}_{cd} & \mathbf{V}_{cs} & \mathbf{V}_{cb} \\ \mathbf{V}_{td} & \mathbf{V}_{ts} & \mathbf{V}_{tb} \end{pmatrix} \begin{pmatrix} \mathbf{d} \\ \mathbf{s} \\ \mathbf{b} \end{pmatrix}$$

The matrix is known as **CKM** (*Cabibbo-Kobayashi-Maskawa*) matrix.

K-M observed that the  $\mathbb{CP}$  violation, already discovered, is automatically generated by the matrix, when the imaginary phase is non-zero. In addition to the  $\mathbb{CP}$ -violation, the nine elements of the CKM matrix govern the flavor changes in CC processes.

The measurement of the elements and the check of the unitarity relations is an important subject of physics studies : e.g. if some element is too small, this could be an indication of term(s) missing in the sum, i.e. the presence of a next generation of quarks.

[A discussion of the CKM matrix in  $\S5$ .]



Makoto Kobayashi

Toshihide Maskawa



- The quark flavor changes only as a consequence of a weak CC interaction <sup>(\*)</sup>.
- Each type of quark can convert into each other with charge  $\pm 1$ , emitting or absorbing a W boson.
- The coupling is modulated by the strength of the mixing (the width of the line in fig.); in the SM it is described by the V<sub>CKM</sub> matrix [§5].

<sup>(\*)</sup> since FCNC do NOT [seem to] exist, NC processes – with Z mediators – do NOT play any role in flavor decays.



+ the equivalent table for  $\bar{q}$ 's.





# Vectors & co.

vector properties of physical quantities :

- a 4-vector v is the well-known quantity, which transforms canonically under a Ltransformation L (both boosts and rotations), and Parity P in space :
  - > space-time, 4-momentum, electric field, ...
- an axial vector a transforms like a vector under L, but gains an additional sign flip under P:
  - cross-products v×v', magnetic field, angular momentum, spin, ...
- a scalar  $\boldsymbol{s}$  is invariant both under  $\mathbbm{L}$  and  $\mathbbm{P}$  :
  - > [4-]dot-products  $\vec{v} \cdot \vec{v}'$  or  $\vec{a} \cdot \vec{a}'$ , module of a vector, mass, charge, ...
- a pseudoscalar  ${\bf p}$  is invariant under  ${\mathbb L},$  but changes its sign under  ${\mathbb P}$  :
  - > a triple product  $\vec{v} \cdot \vec{v}' \times \vec{v}''$ ;
  - > a scalar product  $\vec{a} \cdot \vec{v}$  between a vector

and an axial vector, e.g. the helicity<sup>(\*)</sup>;

- a tensor t is a quantity which also transforms canonically under L and P, with ≥ 2 dimensions :
  - > the electro-magnetic tensor  $F^{\mu\nu}$ .

 $^{(*)}$  the helicity h is the projection of the spin  $\vec{s}$  along the momentum  $\overrightarrow{p}$  :



# A remark : helicity (h) vs chirality ( $\chi$ )

Two different concepts:

- h for a particle is defined from its spin and momentum<sup>(1)</sup>;
- $\chi$  is a spinor property<sup>(2)</sup>, related to the eigenstates of  $\gamma_5$ .
- The χ operator γ<sub>5</sub> does NOT commute with the mass term of the free Hamiltonian, so χ is NOT conserved for a massive particle;
- a massive particle with definite spin and momentum has a definite h, but is a mixture of the two eigenstates of χ;
- for a massless particle (or in the u.r.a. approximation) χ is conserved and its value reduces to h;

> this approximation is generally valid in this chapter, so the slides do not stress the difference  $h \leftrightarrow \chi$ .

<sup>(1)</sup> h =  $\vec{s} \cdot \vec{p}/(|\vec{s}| |\vec{p}|)$ ; sometimes h =  $\vec{s} \cdot \vec{p}/|\vec{p}|$ ; however, the different definition does not affect the difference h  $\leftrightarrow \chi$ .

<sup>(2)</sup> define the projectors:

$$\begin{split} \psi_{\mathsf{R}} &= \frac{1}{2}(1+\gamma_5)\psi; \qquad \psi_{\mathsf{L}} &= \frac{1}{2}(1-\gamma_5)\psi; \\ \gamma_5\psi_{\mathsf{R}} &= +\psi_{\mathsf{R}}; \qquad \gamma_5\psi_{\mathsf{L}} &= -\psi_{\mathsf{L}}; \\ \psi_{\mathsf{R},\mathsf{L}} &: \text{ eigenstates of } \chi \text{ with eigenvalues } \pm 1. \end{split}$$

#### **References:**

[Povh, 10.5], [Bettini, 7.4], [YN1, 4.3.5]

# References

- **1**. [BJ, 11], [YN1, 15], [YN2, 6.1-6.2];
- 2. Fermi theory : [FNSN1, 6];
- 3. the weak interactions : [MQR, 15] and [IE, 9-10];
- 4.  $\pi$  and  $\mu$  decay : Garwin et al. (Lederman) Phys.Rev. 105 (1957) 1415, Anderson et al, Phys.Rev. 119 (1960) 2050.
- 5. modern  $\beta$ -decays : Severijns et al., Rev.Mod.Phys. 78 (2006) 991.
- 6. modern hyperon decays : Cabibbo et al., Ann.Rev.Nucl.Part.Sci. 53 (2003) 39.







### SAPIENZA UNIVERSITÀ DI ROMA

# End of chapter 4

Paolo Bagnaia - PP - 04