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# Statistical physics and liquid water at negative pressures

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#### Abstract

Angell and his collaborators have underscored the importance of studying water under all extremes of pressure-squeezing to high pressures and stretching to negative pressures. Here we review recent results of molecular dynamics simulations of two models of liquid water, the extended simple point charge (SPC/E) and the Mahoney-Jorgensen transferable intermolecular potential with five points (TIP5P), which is closer to real water than previously proposed classical pairwise additive potentials. In particular, we describe simulations of the TIP5P model for a wide range of deeply supercooled states, including both positive and negative pressures, which reveal (i) the existence of a non-monotonic "nose-shaped" temperature of maximum density (TMD) line and a non-reentrant spinodal, (ii) the presence of a low-temperature phase transition. The TMD that changes slope from negative to positive as P decreases and, notably, the point of crossover between the two behaviors is located at ambient pressure (temperature  $\approx 4^{\circ}C$ , and density  $\approx 1 \text{ g/cm}^3$ ). We also describe simulations of the dynamics of the SPC/E model, which reveal (iii) the dynamics at negative pressure shows a minimum in the diffusion constant D when the density is decreased at constant temperature, complementary to the known maximum of D at higher pressures, and (iv) the loci of minima of D relative to the spinodal shows that they are inside the thermodynamically metastable regions of the phase diagram. These dynamical results

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reflect the initial enhancement and subsequent breakdown of the tetrahedral structure and of the hydrogen bond network as the density decreases. © 2002 Elsevier Science B.V. All rights reserved.

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## 1. Introduction

Water is an important liquid in nature, and is also fundamental in chemical and technological applications. Although the individual water molecule has a simple chemical structure, water is considered a complex fluid because of its anomalous behavior [1-9]. It expands on freezing, and at a pressure of 1 atm, the density has a maximum at 4°C. Additionally, there is a minimum of the isothermal compressibility at 46°C and a minimum of the isobaric heat capacity at 35°C [10]. These anomalies are linked with the microscopic structure of liquid water, which can be regarded as a transient gel—a highly associated liquid with strongly directional hydrogen bonds [11–13]. Each water molecule acts as both a donor and an acceptor of bonds, generating a structure that is locally ordered, similar to that of ice, but maintaining the long-range disorder typical of liquids.

Despite water's ubiquitous role in disciplines as disparate as biochemistry and manufacturing, the development of a coherent view of the behavior of aqueous systems remains one of chemistry's greatest research challenges. This is particularly true for non-ambient conditions, where far less research has occurred and far less intuition exists. Nevertheless, water under extremes of pressure, temperature, and solute concentration is of interest to many disciplines. For example, water serves as an effective medium for biochemical processes even at hyperbaric and hyperthermic conditions. Life goes on every day at truly remarkable extremes of pressure and temperature near deep sea hydrothermal vents; in fact, biochemical reactions sufficient to sustain microorganisms have been found at 113°C and 1100 atm [14]. Despite the extensive work that has been done on water, many aspects of its behavior remain unexplained.

Several scenarios have been proposed to account for the anomalous behavior of the thermodynamic response functions on cooling, each predicting a different behavior for the liquid spinodal, the line of the limit of stability separating the region where liquid water is metastable from the region where the liquid is unstable. (i) According to the stability-limit conjecture [15–17], the pressure of the spinodal line should decrease on cooling, become negative, and increase again after passing through a minimum. It reenters the positive pressure region of the phase diagram at a very low temperature, thereby giving rise to a line of singularities in the positive pressure region, and consequently the increase in the thermodynamic response functions on cooling in the anomalous region is due to the proximity of this reentrant spinodal. (ii) The critical point hypothesis [18–29] proposes a new critical point at the terminus of a first-order phase transition line separating two liquid phases of different density. The anomalous increases of the response functions, compressibility, specific heat, and volume expansivity are interpreted in terms of this critical point. (iii) The singularity-free hypothesis [11,13,30,31]

proposes that actually there is no divergence close to the anomalous region; when temperature is decreased, response functions grow but remain finite, attaining maximum values.

Water properties and anomalies can be strongly influenced by the physical or chemical properties of the medium [1-6,32,33]. The effect of not only applied pressure, but also negative pressure ("stretching") is remarkable. The study of the behavior of this fluid under negative pressures is relevant not only from the academic point of view, but also for realistic systems. For negative, example pressures are observed [34,35] and seem to play an important role in the mechanism of water transport in plants. Therefore, properties that modify the structure of water, especially if this modification is similar to the effect of stretching (as is the case in some hydrogels [32]), also influence its dynamical behavior.

Dynamic properties, such as the diffusion constant, have been studied in detail for water systems at atmospheric and at high positive pressures, both experimentally [36–38] as well as by computer simulations [39–50]. The increase of pressure increases the presence of defects and of interstitial water molecules in the network [40,41]. They disrupt the tetrahedral local structure, weakening the hydrogen bonds, and thus increasing the diffusion constant [48–50]. However, a further increase in the pressure leads to steric effects which works in the direction of lowering the mobility. The interplay of these factors leads to a maximum in the diffusion constant [48–50] at some high density  $\rho_{\text{max}}$ . Above this density (or corresponding pressure), the diffusion of water is in some sense like that of a normal liquid, controlled by hindrance, with the hydrogen bonds playing a secondary role. However, the behavior at very low  $\rho$  is less well understood.

### 2. Location of the spinodal at positive and negative pressures

Relatively few experimental works [34,51] and simulations [18–21,28,47–49,52,53] have been performed on "stretched" water. In this negative pressure region of the phase diagram the system is metastable, and becomes unstable beyond the spinodal line, so by locating the spinodal we can ensure that the simulated state points lie in the metastable and not in the unstable region. Moreover, the shape of the spinodal can test the stability-limit conjecture against the critical point hypothesis and the singularity-free interpretation, so we first discuss the density and pressure of the spinodal, which we denote  $\rho_{sp}(T)$  and  $P_{sp}(T)$ , respectively.

Yamada and her coworkers [54] simulated a system of N = 343 molecules interacting with the transferable intermolecular potential with five points (TIP5P) [55,56]. TIP5P is a five-site, rigid, non-polarizable water model, not unlike the ST2 model [57]. The TIP5P potential accurately reproduces the density anomaly at 1 atm and exhibits excellent structural properties when compared with experimental data [55,56,58]. The temperature of maximum density (TMD) shows the correct pressure dependence, shifting to lower temperatures as pressure is increased. Under ambient conditions, the diffusion constant is close to the experimental value, with reasonable temperature and pressure dependence away from ambient conditions [55,56]. Equilibration runs were

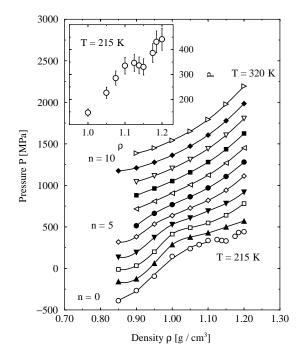


Fig. 1. Dependence on density of the pressure at all temperatures investigated (T = 215, 220, 230, 240, 250, 260, 270, 280, 290, 300, 320 K, from bottom to top). Each curve has been shifted by  $n \times 150$  MPa to avoid overlaps. An inflection appears as T is decreased, transforming into a "flat" coexistence region at T = 215 K, indicating the presence of a liquid–liquid transition. Inset: A detailed view of the T = 215 K isotherm. Courtesy of M. Yamada.

performed at constant *T*. After thermalization at T = 320 K the thermostat temperature was set to the temperature of interest. The system evolved for a time longer than the structural relaxation time  $\tau_{\alpha}$ , defined as the time at which the self-intermediate scattering function evaluated at the location of the first peak of the static structure factor, has decreased by a factor of 2.7. In the time  $\tau_{\alpha}$ , each molecule diffuses on average a distance of the order of the nearest-neighbor distance. We use the final configuration of the equilibration run to start a production run of length greater than several  $\tau_{\alpha}$  and then analyze the calculated trajectory.

Fig. 1 shows results for pressure along isotherms. At lower temperatures an inflection develops, which becomes a "flat" isotherm at the lowest temperature, T = 215 K. The presence of a flat region indicates that a phase separation takes place; the critical temperature is  $T_{C'} = 217 \pm 3$  K, the critical pressure is  $P_{C'} = 340 \pm 20$  MPa, and the critical density  $\rho_{C'} = 1.13 \pm 0.04$  g/cm<sup>3</sup>.

Fig. 2(a) plots the pressure along isochores. The curves show minima as a function of temperature; the locus of the minima is the TMD line, since  $(\partial P/\partial T)_V = \alpha_P/K_T$ . Note that the pressure exhibits a minimum if the density passes through a maximum  $(\alpha_P = 0)$ . It is clear that, as in the case of ST2 water, TIP5P water has a TMD that

284

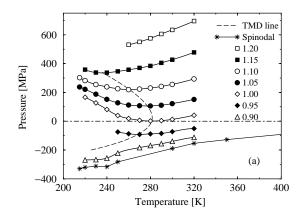


Fig. 2. Pressure along seven isochores; the minima correspond to the TMD line (dashed line). Note the "nose" of the TMD line at  $T = 4^{\circ}$ C. Stars denote the liquid spinodal line, which is not reentrant, and terminates at the liquid–gas critical point. Courtesy of M. Yamada.

changes slope from negative to positive as P decreases. Notably, the point of crossover between the two behaviors is located at ambient pressure,  $T \approx 4^{\circ}$ C, and  $\rho \approx 1$  g/cm<sup>3</sup>.

We also plotted the spinodal line, obtained by fitting the isotherms (for  $T \ge 300$  K) of Fig. 1 to the form  $P(T,\rho) = P_s(T) + A[\rho - \rho_s(T)]^2$ , where  $P_s(T)$  and  $\rho_s(T)$  denote the pressure and density of the spinodal line. This functional form is the mean field prediction for  $P(\rho)$  close to a spinodal line. For  $T \le 250$  K,  $P_s(T)$  is calculated by estimating the location of the minimum of  $P(\rho)$ . The results in Fig. 2 show that the liquid spinodal line is not reentrant and does not intersect the TMD line.

The most natural response to the concept of a second critical point in a liquid is bafflement—such a thing just does not make sense. To make the concept more plausible, we offer the following remarks. Consider a typical member of the class of intermolecular potentials that go by the name of core-softened potentials [59–61]. Recently, such potentials have been revisited [24,62–76]; they are attractive to study because they can be solved analytically in one dimension and are tractable to study using approximation procedures (and simulations) in higher dimensions. They are also more realistic than one might imagine at first sight, and indeed may reflect "what matters" in water–water interactions, since the repulsive soft core mimics the effect of the small number (four) of nearest neighbors in liquids with a local tetrahedral structure. Although such a picture may seem to be oversimplified, it is consistent with neutron data [26,77–79]. Also, simulation results are in good accord with neutron results (see, e.g., [80]), and Sasai relates these two distinct local structures to dynamic properties [81].

## 3. Dynamic properties

We next discuss results on the dynamics of stretched water recently obtained by Netz and his collaborators [82–84]. While there are a large number of intermolecular

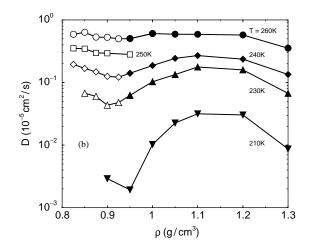


Fig. 3. Dependence of the diffusion constant D on  $\rho$  along isotherms (for  $\rho \leq 1.0 \text{ g/cm}^3$ ). Open symbols are the new simulations we report, and filled symbols are from Refs. [48,49]. Courtesy of P.A. Netz.

potential functions used to simulate water, each of which gives slightly different results, the overall thermodynamics picture obtained from these models is generally very similar. Since dynamic properties are particularly sensitive to the potential choice, the extended simple point charge (SPC/E) potential is used since it reproduces both the maximum in diffusivity under pressure and the power-law behavior of dynamics properties on cooling. For understanding the properties of water at negative pressure, simulations are particularly important since experiments are very difficult to perform in this region.

The effect of extreme conditions on the flow of the liquid is assessed by calculating the diffusion constant D, defined by the asymptotic value of the slope of the mean square displacement versus time. We show D along isotherms in Fig. 3. For  $T \leq 260$  K, D has a minimum value at  $\rho \approx 0.9$  g/cm<sup>3</sup>, which becomes more pronounced at lower T. This behavior can be understood considering the structural changes that occur with decreasing density. At low T, the decreased density enhances the local tetrahedral ordering, which leads to a decrease in D. Further decrease in density reduces the stability of the tetrahedral structure and causes an increase of D.

The location of the minimum is near the ice Ih density  $\approx 0.915 \text{ g/cm}^3$ , which is the density where the perfect tetrahedral order occurs. The behavior of the minimum of D,  $D_{\min}(T)$ , complements the known behavior of  $D_{\max}(T)$  for the same model [48–50,53], where a maximum occurs due to breaking hydrogen bonds at high pressure; the density of the  $D_{\min}(T)$  increases slightly with increasing T, while the density of  $D_{\max}(T)$  decreases with increasing T [50]. This is expected, since the range of densities where anomalous behavior occurs expands with decreasing T. We show the loci of  $D_{\min}(T)$  and  $D_{\max}(T)$ , along with the spinodal and locus of density maxima in Fig. 4.

Below the spinodal, D also increases, since the mobility of the gas is larger than that of the liquid. However, the simulations clearly show that  $D_{\min}$  for the liquid occurs prior to the onset of cavitation, and so our estimate of the location of  $D_{\min}$  is not affected by phase separation. Recently, Ref. [53] estimated the location of  $D_{\min}$ 

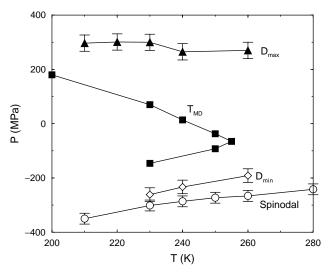


Fig. 4. Relation of the loci of maxima and minima of D with TMD and the spinodal. Open symbols are from the present work, and filled symbols are from Refs. [48,49]. Courtesy of P.A. Netz.

for the same model along several isotherms, and associated  $D_{\min}$  with a maximum in orientational order.

## 4. Conclusions

Water exhibits a very complex structure and its properties and anomalies are strongly influenced by variations of pressure. For high densities ( $\rho > \rho_{max}$ ), water behaves as a normal liquid and the decrease of D with increasing pressure is governed by steric effects. For  $\rho_{min} < \rho < \rho_{max}$ , as the pressure is decreased, the presence of defects and interstitial water decrease, the tetrahedral structure dominates, with stronger hydrogen bonds. This process reaches its maximum at  $\rho = \rho_{min} \approx \rho_{ice}$ . Further stretching destabilizes the hydrogen bond network, leading to an increase in mobility. The locus of  $D_{min}$ roughly tracks the spinodal, not surprising since the same breakdown of tetrahedral order that gives rise to  $D_{min}$  also facilitates cavitation.

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