



## **Review of Neutrino Mass Measurements**

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- Indirect and direct determinations of the neutrino mass scale
- Laboratory bounds: double and single  $\beta$  decay
- Status and prospects for single β decay
- Status and prospects for double β decay
- Conclusions



## Neutrino flavor oscillations and mass scale

The present knowledge can be summarized in this plot (Strumia-Vissani hep-ph/0503246)



what we do not know from neutrino flavor oscillations:



- ② absolute neutrino mass scale  $\longrightarrow$  degeneracy ?  $(M_1 \sim M_2 \sim M_3)$
- **3 DIRAC or MAJORANA** nature of neutrinos

### Tools for the investigation of the $\nu$ mass scale

Tools	Present sensitivity	Future sensitivity (a few year scale)	
Cosmology (CMB + LSS)	0.7 - 1 eV	0.1 eV	
Neutrinoless Double Beta Decay	0.5 eV	0.05 eV	
 Single Beta Decay	2.2 eV	0.2 eV	

## Model de la compasione de la compasione

## Model dependent tools

#### **Neutrinoless Double Beta Decay**

- it works only if neutrino is a Majorana particle (  $v = v^c$  )
- uncertainties from nuclear physics
- other mechanisms (not only massive neutrinos) can mediate the process

#### Cosmology (Cosmic Microwave Background + Large Scale Structure)

very sensitive, but considerable spread in recently published results Aalseth et al. hep-ph/0412300

author	WMAP	$\mathrm{CMB}_{hi-l}$	SDSS	$2\mathrm{dF}$	other data	$\Sigma m_{\nu} [eV]$
Bar'03 [93]	X	Х	х	х	h (HST)	< 0.75
Teg'03 [94]	х	х	х		SNIa	<1.7
ASB'03 [95]	Х	Х		х	XLF 🤇	= 0.36 - 1.03
WMAP [32]	X	Х		х	$Ly\alpha$ , h (HST)	< 0.7
Bla'03 [96]	X			х	$\Omega_m = 1$	= 2.4
Han'03 [97]	X	Х		х	h (HST), SNIa	< 1.01
Han'03 [97]	х	х		х		< 1.2
Han'03 [97]	Х			х		< 2.12

- parameter degeneracy
- dependence on priors on cosmological parameters
- sensitivity to even small changes of input data

necessity of direct measurement and cross checks at this scale

#### Model independent tool: the kinematics of $\beta$ decay

basic idea: use only kinematics  $E^2 = M^2c^4 + p^2c^2$ 

processes involving neutrinos in the final state

**Single Beta Decay** 

$$(A,Z) \rightarrow (A,Z+1) + e^- + \overline{v_e} \qquad Q = M_{at}(A,Z) - M_{at}(A,Z+1) \cong E_e + E_v$$

$$\frac{dN}{dF} \propto G_F^2 |M_{if}|^2 (E_e + m_e c^2) (Q - E_e)^2 F(Z, E_e) S(E_e) [1 + \delta_R(Z, E_e)]$$

electron kinetic energy distribution

finite neutrino mass

$$(Q - E_e)^2 \Rightarrow (Q - E_e) \sqrt{(Q - E_e)^2 - M_v^2 c^4}$$

only a small spectral region very close to Q is affected

## Complementarity of cosmology, single and double β decay

**Cosmology**, **single** and **double**  $\beta$  decay measure different combinations of the neutrino mass eigenvalues, constraining the neutrino mass scale



#### **Present bounds**

The three constrained parameters can be plot as a function of the lightest neutrino mass

Two bands appear in each plot, corresponding to inverted and direct hierarchy

The two bands merge in the degenerate case (the only one presently probed)





# SINGLE BETA DECAY

#### Effects of a finite neutrino mass on the beta decay

The modified part of the beta spectrum is in a range of the order of  $[Q - M_v c^2, Q]$ 



#### Effects of a finite neutrino mass on the Kurie plot

The Kurie plot  $K(E_e)$  is a convenient linearization of the beta spectrum



#### **Mass hierarchy**

#### In case of mass hierarchy:

- the Kurie plot = superposition of three different sub Kurie plots
- each sub Kurie plot corresponds to one of the three different mass eigenvalues

The weight of each sub – Kurie plot will be given by  $|U_{ei}|^2$ , where

$$|v_{e}\rangle = \sum_{i=1}^{3} U_{ei} |v_{Mi}\rangle$$



#### **Mass degeneracy**

If the 3 mass components cannot be resolved or degeneracy holds: the Kurie-plot can be described in terms of a single mass parameter, a mean value of the three mass eigenstates



### **Two complementary experimental approaches**



completely different systematic uncertainties

### Electrostatic spectrometers with Magnetic Adiabatic Collimation (MAC-E-filter)

These instruments enabled a major step forward in sensitivity after 1993 They are the basic devices for next generation experiments aiming at the sub-eV range

High magnetic field B<sub>max</sub> at source and  $\Delta \Omega = 2\pi$ detector. Low field B<sub>min</sub> at center. All electrons emitted in the forward hemisphere spiral from source to detector In the adiabatic limit  $E_{k+}$  / B = constant  $E_{k\perp}$ (center) =  $E_{k\perp}$ (source) ( $B_{min}/B_{max}$ ) Since  $E_e = E_{k\perp} + E_{k\parallel} = constant$ T<sub>2</sub> source electrodes detector efficient collimation effect in the center p. (without E field) magnetic The retarding electric field at the center has bottle maximum potential  $U_0$  and admits electrons with  $E_{k_{\parallel}} > eU_{0}$ Integral spectrometer  $\Delta E / E = B_{min} / B_{max} \cong 2 \times 10^{-4}$   $\Delta E \cong 4 \text{ eV}$  at  $E \cong 18 \text{ keV}$ Resolving power:

#### **Experiments with MAC electrostatic spectrometers**

In the 90's two experiments based on the same principle improved limit on neutrino mass down to about 2 eV at 95% c.l. Both experiments have reached their final sensitivity



### **Mainz experiment: the results**



Clear improvement in signal-to-background ratio from 1994 set-up to 1998-2001 set-up

To reduce systematic uncertainties, only the final 70 eV are used

Similar results from Troitzk, but anomaly at the end-point (unknown peak of variable position and intensity)

> results obtained after a difficult struggle against subtle systematic effects

Final experimental sensitivity reached

## Next generation of MAC spectrometer: the KATRIN proposal

Goal: to reach sub-eV sensitivity on  $\langle M_{\beta} \rangle$ 

#### Strategy

• better energy resolution  $\Rightarrow \Delta E_{FW} \sim 1 \text{ eV}$ 

hep-ex/0109033

KATRIN design report Jan 2005

- higher statistic  $\Rightarrow$  stronger T<sub>2</sub> source longer measuring times
- better systematic control ⇒ in particular, improve background rejection



#### **KATRIN sensitivity**



## The calorimetric approach to the measurement of the neutrino mass

Calorimeters measure the entire spectrum at once

- use low Q beta decaying isotopes to achieve enough statistic close to Q
- best choice:  ${}^{187}Re Q = 2.47 \text{ keV} 1 \text{ mg}$  of natural Re  $\Rightarrow \sim 1 \text{ Bq}$

event frac. in the last 10 eV: 1.3x10-7

vs. 3x10<sup>-10</sup> for T beta spectrum

Advantages of calorimetry

- no backscattering
- no energy loss in the source
- no excited final state problem
- no solid state excitation

#### Drawbacks of calorimetry

- systematic induced by pile-up effects
- energy dependent background

 $(dN/dE)_{exp} = [(dN/dE)_{theo} + A\tau_r (dN/dE)_{theo} \otimes (dN/dE)_{theo}] \otimes R(E)$ 

generates "background" at the end-point

#### **Bolometric detectors of particles: basic concepts**



- Temperature signal:  $\Delta T = E/C \approx 1 \text{ mK}$  for E = 2.5 keV
- Bias: I ≅ 0.5 nA ⇒ Joule power ≅ 0.4 pW ⇒ Temperature rise ≅ 20 mK
- Voltage signal:  $\Delta V = I \times dR/dT \times \Delta T \Rightarrow \Delta V \approx 30 \ \mu V$  for E = 2.5 keV
- Signal recovery time:  $\tau = C/G \approx 20 \text{ ms}$
- Noise over signal bandwidth ( $\approx$  1 kHz): V<sub>rms</sub> = 0.2  $\mu$ V



#### **MIBETA (Milano/Como) experiment: the detectors**



#### **MIBETA experiment: the Kurie - plot**



**MIBETA experiment: the neutrino mass** 



#### The future of bolometric experiments

## MARE

#### Microcalorimeter Arrays for a Rhenium Experiment

A next-generation calorimetric determination of the neutrino mass through the study of the <sup>187</sup>Re beta spectrum

INFN, Milano, Italy

University of Milano-Bicocca, Department of Physics, Milano, Italy University of Insubria, Department of Physics and Mathematics, Como, Italy University of Genoa, Department of Physics, and INFN, Genoa, Italy ITC-irst, Trento, Italy University of Heidelberg, Germany Goddard Space Flight Center, NASA, Maryland, USA University of Wisconsin, Madison, WI, USA

proposal in preparation for an expansion of the Re experiment

#### The future of bolometric experiments: MARE

General strategy: push up bolometric technology in order to:

- multiplicate number of channels
- improve energy resolution
- decrease rise-time

goal:			
reach			
2 eV sensitivity			

simulations I phase

Montecarlo input parameters			90% CL sensitivity	Possible experimental configurations			ental S
N <sub>ev</sub> [×10 <sup>9</sup> ]	f <sub>pile-up</sub> [×10 <sup>-5</sup> ]	<u>⊿</u> E [eV]	<i>m</i> , [eV]	N <sub>det</sub>	ұ́м [у]	$\langle A_{\beta} \rangle$ [dec/s]	⟨∆ℓ⟩ [µs]
1.4	2.0	10	3.5	100	2	0.20	100
3.2	2.5	10	3.0	200	2	0.25	100
4.7	2.5	10	2.5	200	3	0.25	100

#### The future in bolometric experiments: MARE

simulations II phase

goal: reach 0.2 eV sensitivity



# DOUBLE BETA DECAY

#### **Decay modes for Double Beta Decay**

Two decay modes are usually discussed:

$$(A,Z) \rightarrow (A,Z+2) + 2e^{-} + 2\overline{v_e}$$

② 
$$(A,Z) \rightarrow (A,Z+2) + 2e^{-}$$

2v Double Beta Decay allowed by the Standard Model already observed –  $\tau \ge 10^{19}$  y

neutrinoless Double Beta Decay (0v-DBD) never observed (except a discussed claim)  $\tau > 10^{25}$  y



Processe ② would imply new physics beyond the Standard Model

violation of lepton number conservation

It is a very sensitive test to new physics since the phase space term is much larger for the neutrinoless process than for the standard one



interest for 0v-DBD lasts for 65 years !

Goeppert-Meyer proposed the standard process in 1935 Racah proposed the neutrinoless process in 1937

#### **Neutrino properties and 0v-DBD**



Observation of **0v-DBD** 

a LH neutrino (L=-1) is absorbed at this vertex

a RH antineutrino (L=1) is emitted at this vertex

in pre-oscillations standard particle physics (massless neutrinos), the process is forbidden because neutrino has not the correct helicity / lepton number to be absorbed at the second vertex

 $\nu \equiv \nu$ 



## **0v-DBD and neutrino flavor oscillations**

#### how $0_{V}$ -DBD is connected to neutrino mixing matrix and masses



$$\langle M_{\beta\beta} \rangle = \left[ |U_{e1}|^2 M_1 + e^{i\alpha_1} |U_{e2}|^2 M_2 + e^{i\alpha_2} |U_{e3}|^2 M_3 \right]$$

can be of the order of  $\sim 50 \text{ meV}$  in case of inverted hierarchy

The problem of nuclear matrix elements

Large systematics introduced by nuclear physics in the calculation of  $|M_{nucl}|^2$ 

lifetimes foreseen by different nuclear models for  $\langle M_{\beta\beta} \rangle = 50 \text{ meV}$ 

Unit:  $10^{26}$  year  $\Rightarrow$  in the best cases, 1 decay / year / 100 moles !

nuclide	nuclear models					
<sup>76</sup> Ge	6.8	70.8	56.0	9.3	12.8	14.4
<sup>130</sup> Te	0.6	23.2	2.8	2.0	3.6	3.4
<sup>100</sup> Mo			4.0	5.1	1.2	15.6
<sup>150</sup> Nd				0.1	0.2	

#### **Electron sum energy spectra in DBD**

The shape of the two electron sum energy spectrum enables to distinguish among the three different discussed decay modes



#### **Experimental approaches to direct searches**

#### Two approaches for the detection of the two electrons:



Source = Detector (calorimetric technique)

- scintillation
- cryogenic macrocalorimeters (bolometers)
- solid-state devices
- gaseous detectors

#### high energy resolution



#### Source ≠ Detector

- scintillation
- gaseous TPC
- gaseous drift chamber
- magnetic field and TOF

#### event reconstruction

### **Experimental sensitivity to 0v-DBD**

sensitivity F: lifetime corresponding to the minimum detectable number of events over background at a given (1  $\sigma$ ) confidence level



#### Present experimental situation in the search for 0v-DBD

I will give some details about three presently most sensitive experiments:

Heidelberg – Moscow (HM) (Gran Sasso)

the most sensitive DBD experiment since 10 years (stopped in May 03)

NEMO3 (Modane)

it is an intermediate generation experiment capable to study different candidate nuclides and to improve the HM results (running)

CUORICINO (Gran Sasso)

it is an intermediate generation experiment with the potential to improve the HM result (running)

it is also a prelude to a new generation experiment, CUORE (Cryogenic Underground Observatory for Rare Events),

#### **The Heidelberg Moscow experiment**

Source = detector Well established technology of Ge diodes This technique has been dominating the field for decades and is still one of the most promising for the future E. Fiorini – 60s

- Five Ge diodes for an overall mass of 10.9 kg isotopically enriched (86%) in <sup>76</sup>Ge
- Underground operation in the Gran Sasso laboratory (Italy)
- Lead box and nitrogen flushing of the detectors
- Digital Pulse Shape Analysis (PSA) (factor 5 reduction)

7.6 × 10<sup>25</sup> <sup>76</sup>Ge nuclei

identification of Multi-site events (gamma background)

Background in the region of DBD:

b = 0.17 counts/(keV kg y)

 $\left< \mathbf{M}_{\beta\beta} \right> < 0.3 - 2.5 \text{ eV}$ 

similar results obtained by IGEX experiment

#### HM: claim of evidence of 0v-DBD

Suddenly, in December 2001, 4 authors (KDHK) of the HM collaboration announce the discovery of neutrinoless DBD

most probable value of events:14.8 in 46 kg y exposure

KDHK claim:  $m_{ee} = 0.11 - 0.56 \text{ eV} (0.39 \text{ eV} \text{ b.v.})$   $\tau_{1/2}^{0v} (y) = (0.8 - 18.3) \times 10^{25} \text{ y} (1 \times 10^{25} \text{ y} \text{ b.v.})$ (95 % c.l.) H.V. Klapdor-Kleingrothaus et al. Mod. Phys. Lett. A <u>16</u> (2001) 2409

later, the authors widen the allowed range for m<sub>ee</sub> to account for nuclear matrix element uncertainty:

 $\langle \mathbf{M}_{\beta\beta} \rangle$  = 0.05 - 0.84 eV (95% c.l.)



immediate skepticism in DBD community

Aalseth CE et al. , Mod. Phys. Lett. A <u>17</u> (2002) 1475 Feruglio F et al. , Nucl. Phys. B <u>637</u> (2002) 345 Zdezenko Yu G et al., Phys. Lett. B546(2002)206

Klapdor-Kleingrothaus HV hep-ph/0205228 H.L. Harney, hep-ph/0205293 Comments and reanalysis of HD-M data

-Independent replies to the Comments

#### **Recent new papers about claim of evidence**

With respect to the 2001 results, now data with higher statistics and with better quality show an increase of the statistical significance of the "peak":



**54.98 kg•y 2.2** σ

2004



71.7 kg·y  $4.2 \sigma$ 

#### **Recent new papers about claim of evidence**



### NEMO3

Source ≠ detector Well established technologies in particle detection: tracking volume with Geiger cells plastic scintillators magnetic field

The most sophisticated DBD detector with external source

- Different sources in form of foil can be used simultaneously
- Underground operation in the Frejus laboratory (France)
- Water and iron shields

other sources					
Isotope Study Mass(g)					
100 Mo	$\beta\beta0\nu,\beta\beta2\nu$	6914			
$^{82}Se$	$\beta\beta0\nu,\beta\beta2\nu$	932			
<sup>116</sup> Cd	$\beta\beta0\nu,\beta\beta2\nu$	405			
$^{130}$ Te	$\beta\beta0\nu,\beta\beta2\nu$	454			
<sup>150</sup> Nd	$\beta\beta 2\nu$	36.6			
<sup>96</sup> Zr	$\beta\beta 2\nu$	9.4			
<sup>48</sup> Ca	$\beta\beta 2\nu$	7.0			

4.1 × 10<sup>25</sup> 100Mo nuclei

#### **1 SOURCE**





detector scheme



#### NEMO3

#### Beautiful results on <sup>100</sup>Mo and on other nuclides



$$\begin{split} \tau_{1/2}^{2\nu}(y) &= 7.8 \pm 0.09_{stat} \pm 0.8_{syst} \times 10^{18} y \\ \tau_{1/2}^{0\nu}(y) &> 3.5 \times 10^{23} y \\ \left< M_{\beta\beta} \right> &< 0.7 - 1.2 \text{ eV} \end{split} \qquad \text{int}$$

final sensitivity: 0.2 – 0.35 eV

intrinsic limits:

- source strength
- low energy resolution  $\Rightarrow 2v$  background

## **CUORICINO**

Source = detector Bolometric technique: young (born in  $\sim$  1985) but now firmly established

The bolometric technique for the study of DBD was proposed by E. Fiorini and T.O. Niinikoski in **1983** 

**CUORICINO** source 5.2 × 10<sup>25</sup> <sup>130</sup>Te nuclei

Nuclide under study: <sup>130</sup>Te  $\longrightarrow$  • 0v DBD is a factor 5-10 faster than in <sup>76</sup>Ge • A.I.:  $34\% \Rightarrow$  enrichment not necessary

experiments can be expanded at low cost

Bolometric technique: the nuclear energy is measured as a temperature increase of a single crystal

$$\Delta T = E/C$$

thanks to a proper thermometer,

$$\Delta T \Rightarrow \Delta V$$

In order to get low specific heat, the temperature must be very low (5 - 10 mK)

Typical signal sizes: 0.1 mK / MeV, converted to about 1 mV / MeV



## **CUORICINO modules**



#### **CUORICINO results and sensitivity**



## The future: a great number of proposed experiments

COBRA	<sup>130</sup> Te	10 kg CdTe semiconductors
DCBA	<sup>150</sup> Nd	20 kg Nd layers between tracking chambers
CAMEO	<sup>114</sup> Cd	1 t CdWO <sub>4</sub> crystals
CANDLES	<sup>48</sup> Ca	Several tons CaF <sub>2</sub> crystals in liquid scint.
CUORE	<sup>130</sup> Te	750 kg TeO <sub>2</sub> bolometers
EXO	<sup>136</sup> Xe	1 ton Xe TPC (gas or liquid)
GEM	<sup>76</sup> Ge	1 ton Ge diodes in liquid nitrogen
GENIUS	<sup>76</sup> Ge	1 ton Ge diodes in liquid nitrogen
LNGS-LoI 35/04	<sup>76</sup> Ge	1 ton Ge diodes in liquid nitrogen/argon
GSO	<sup>160</sup> Gd	2 t Gd <sub>2</sub> SiO <sub>5</sub> :Ce crystal scint. in liquid scint.
Majorana	<sup>76</sup> Ge	500 kg Ge diodes
MOON	<sup>100</sup> Mo	Mo sheets between plastic scint., or liq. scint.
Xe	<sup>136</sup> Xe	1.56 t of Xe in liq. Scint.
XMASS	<sup>136</sup> Xe	10 t of liquid Xe

#### **Potential large-mass (~1 ton) future experiments**

More promising projects (attack the 50 meV mass scale):



IDEA project – Integrated Double-beta-decay European Activities funded by the European Commission inside a large astroparticle physics program

## **GERDA**

New <sup>76</sup>Ge experiment. Basic points:

- Collect all the existing <sup>76</sup>Ge material (HM+IGEX)  $\Rightarrow$  ~20 kg (+ 30 kg new) (collaboration with Kurchatov)
- Operate it in liquid nitrogen, which acts as a coolant and as a passive shielding (+ traditional shielding)
- Replace possibly liquid nitrogen with scintillating liquid argon (active shield)
- Acquire the 20 kg enriched material in a 0 background set-up



- powerful intermediate experiment
  test KDHK evidence with the same nuclides (lifetime sensitivity:  $3 \times 10^{25} \text{ y}$ )
- Procurement of further enriched material  $\Rightarrow$  final  $\sim$  1 ton experiment

 $\langle M_{\beta\beta} \rangle < 20 - 50 \text{ meV}$ 

#### **GERDA: Baseline design**



#### From CUORICINO to CUORE (Cryogenic Underground Observatory for Rare Events)



Each tower is a CUORICINO-like detector

Special dilution refrigerator

### **CUORE background and sensitivity**

Montecarlo simulations of the background show that

b = 0.001 counts / (keV kg y)

is possible with the present bulk contamination of detector materials

The problem is the surface background (alpha, beta energy-degraded)



it must be reduced by a factor 10 – 100 work in progress!

5 y sensitivity with pessimistic background:5 y sensitivity with optimistic background:b = 0.01 counts/(keV kg y)b = 0.001 counts/(keV kg y)

 $F^{0v} = 9.4 \times 10^{25} \times (T [y])^{1/2}$ 

 $\langle M_{\beta\beta} \rangle \leq 20 - 100 \text{ meV}$ 

 $F^{0v} = 2.9 \times 10^{26} \times (T [y])^{1/2}$ 

 $\langle M_{\beta\beta} \rangle < 10 - 50 \text{ meV}$ 

enriched CUORE 
$$\langle M_{\beta\beta} \rangle < 7 - 38 \text{ meV}$$

#### **Conclusions**

Exciting times for neutrino masses:

- degeneracy will be deeply probed
- discovery potential in case of inverted hierarchy



